

**Analysis of α_s
from
the realization of quark-hadron duality**

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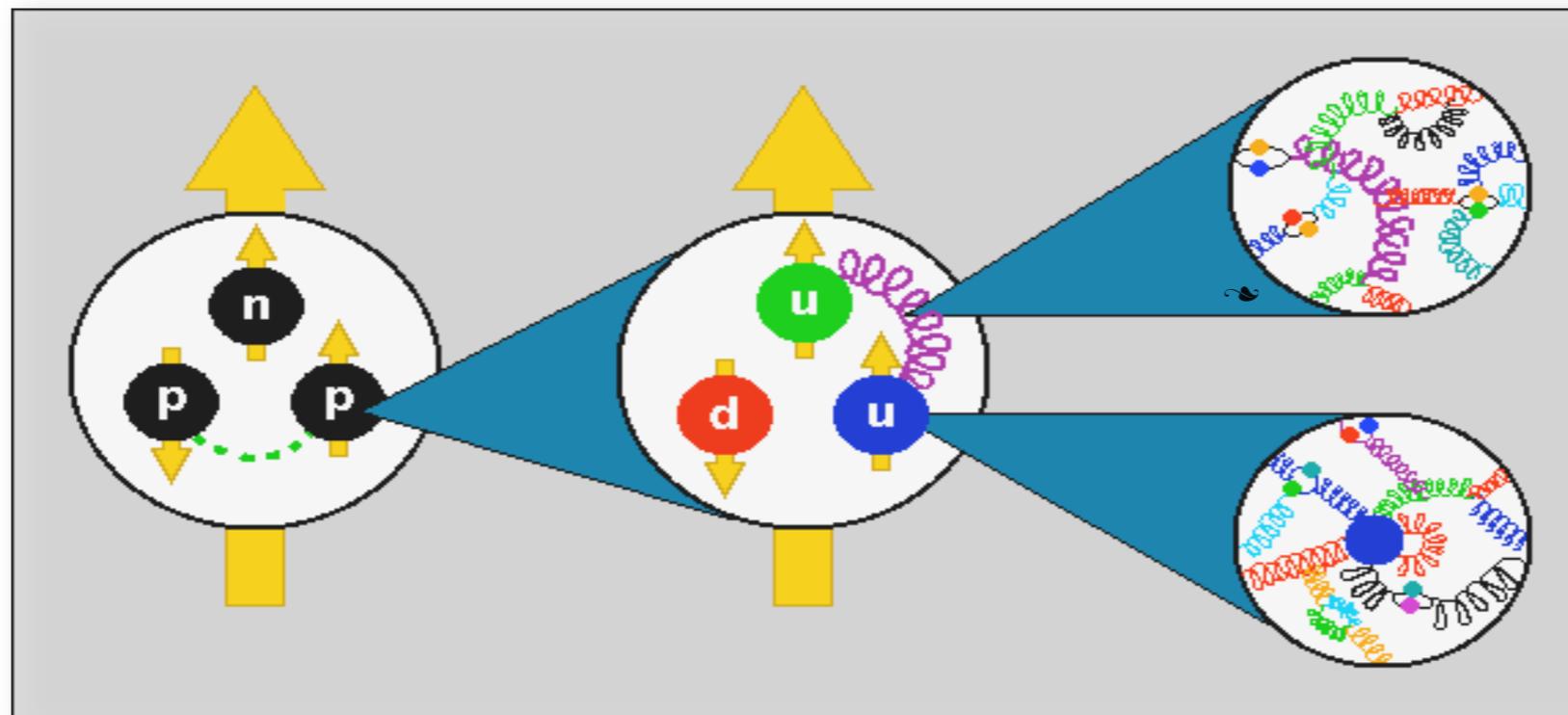
Outline

- **Hadron Phenomenology**
 - ▶ PDFs at large- x
- **Parton-Hadron Duality**
 - ▶ How to explain it?
 - ▶ Rôle of perturbative QCD
 - ▶ New data analysis: JLab
 - ▶ Intersection of pQCD and nonperturbative QCD
- **Strong coupling constant at low energy**

Hadron Phenomenology

Hadron Structure

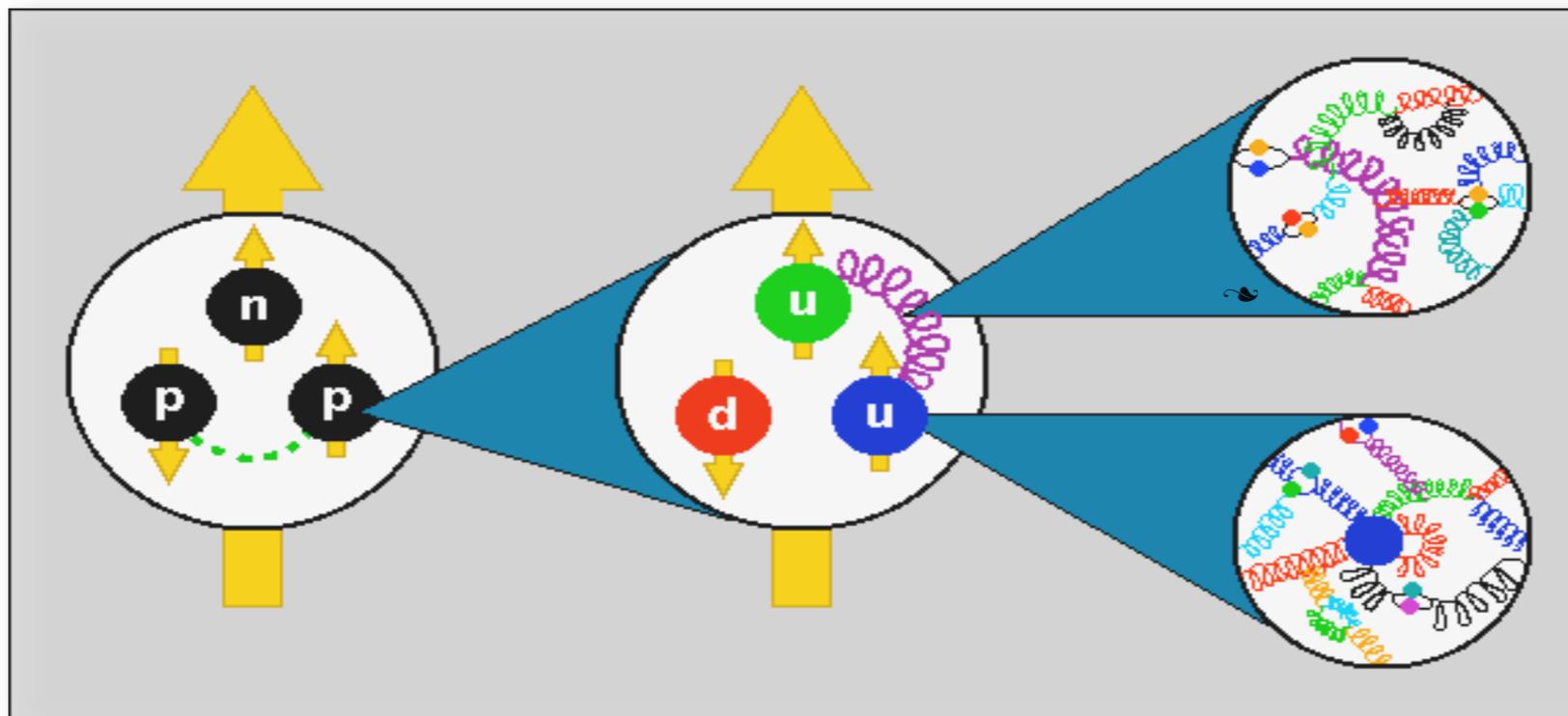
Hadron \Leftrightarrow Constituent quarks \Leftrightarrow Current quarks



Energy scale

Hadron Structure

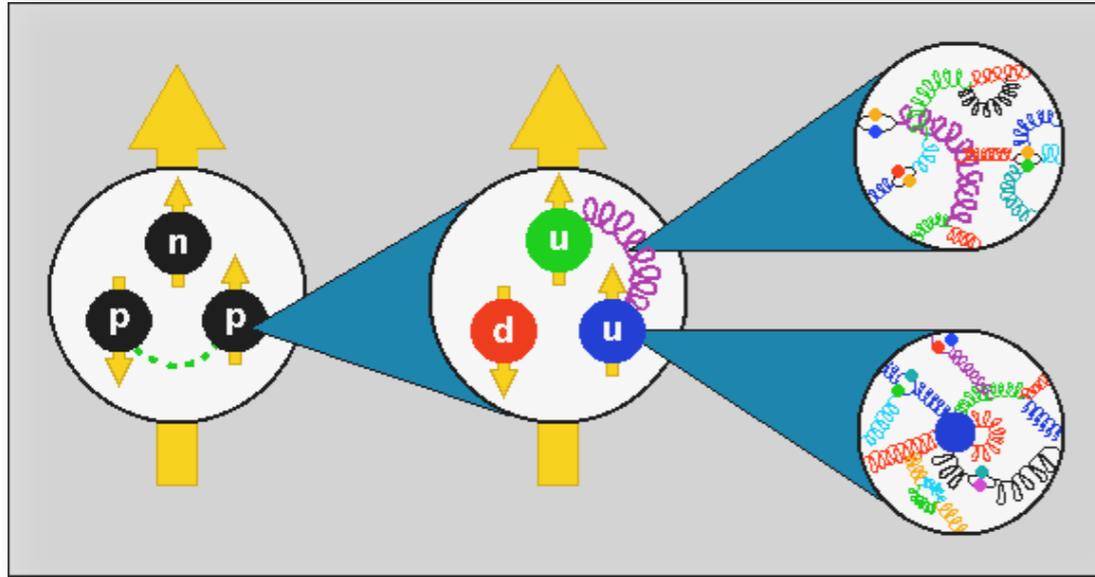
Hadron \Leftrightarrow Constituent quarks \Leftrightarrow Current quarks



Nonperturbative vs. Perturbative QCD

Energy scale \rightarrow

Hadron Structure

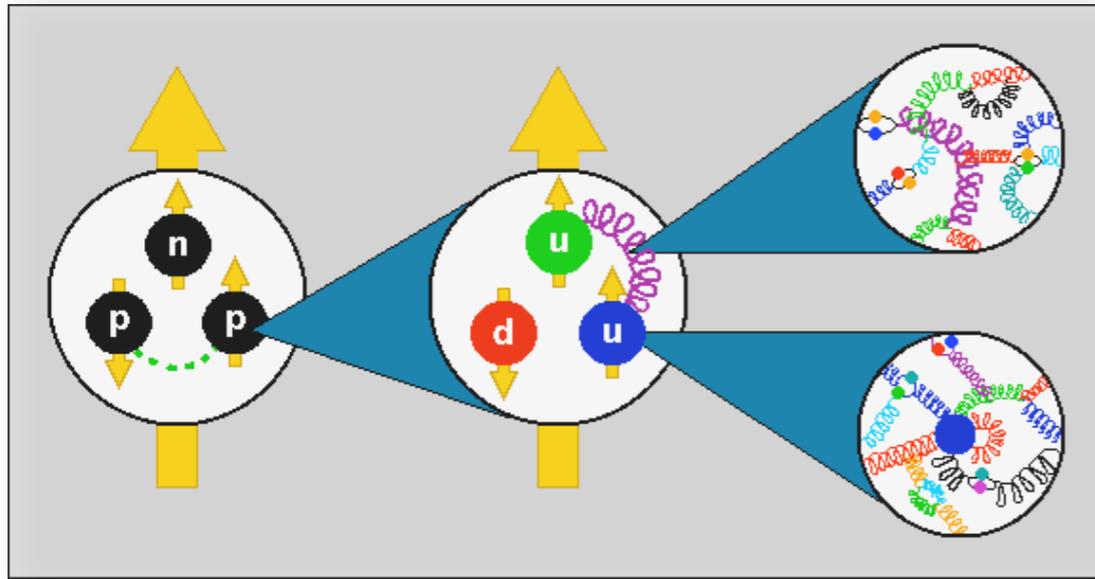


Nonperturbative vs. Perturbative QCD



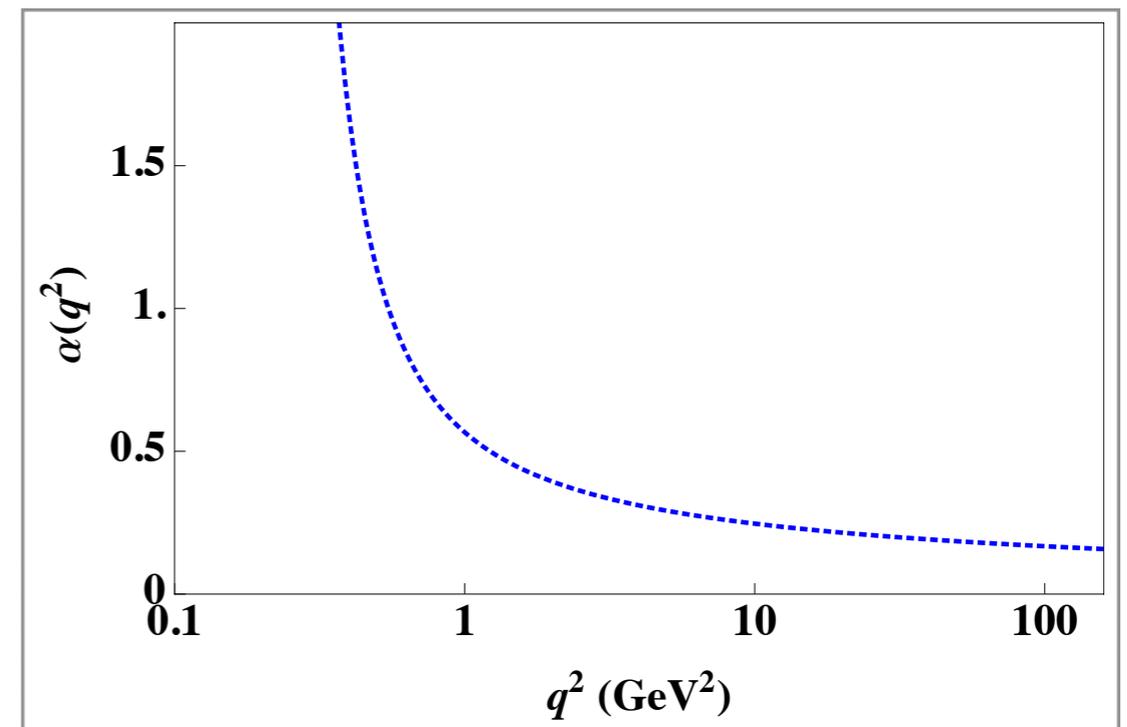
Energy scale

Hadron Structure



Nonperturbative vs. Perturbative QCD

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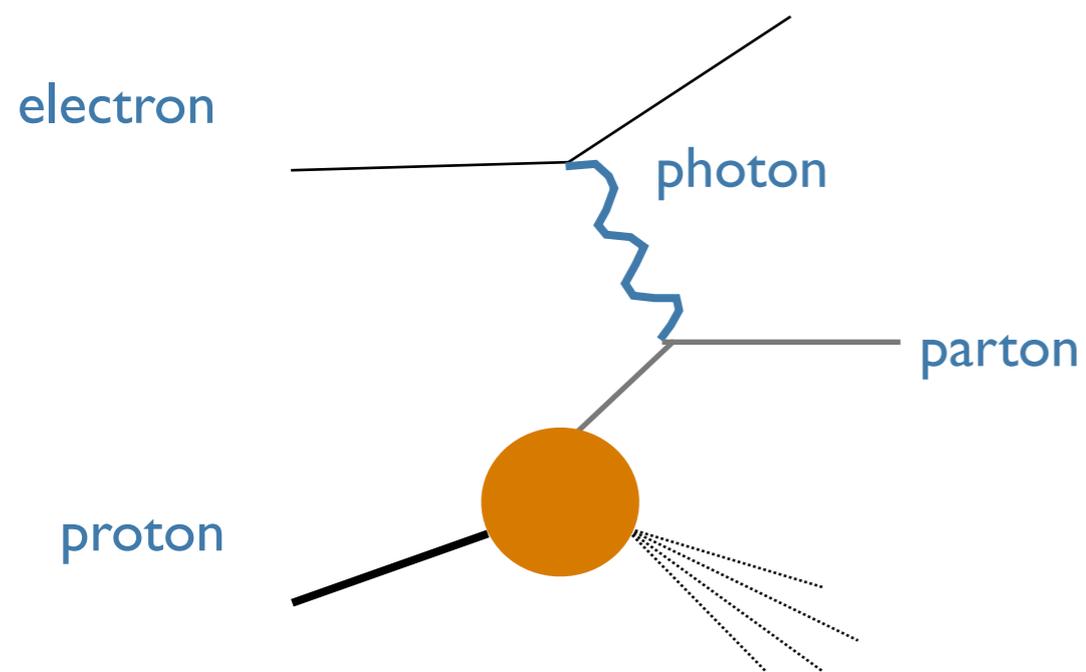
Nonperturbative vs. Perturbative QCD

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Hard Probes and Factorization

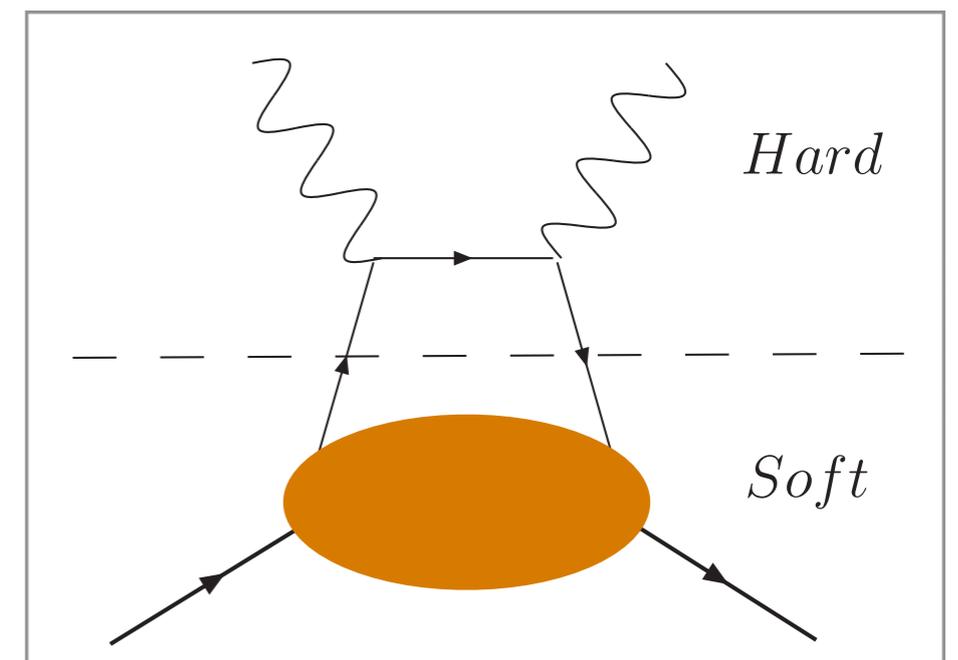
Small size configuration \Rightarrow Hard Probes \Rightarrow Hard processes

Deep Inelastic Scattering



Hadronic tensor \Rightarrow

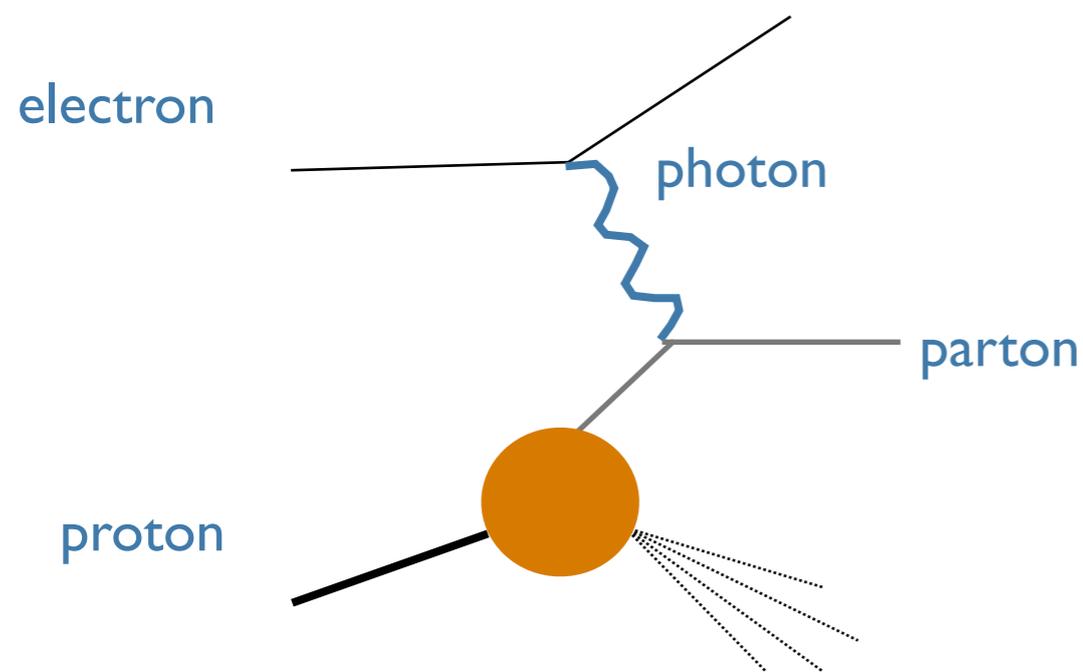
Parton Model
High energy photon Q^2
Fast-moving proton
Bjorken scaling



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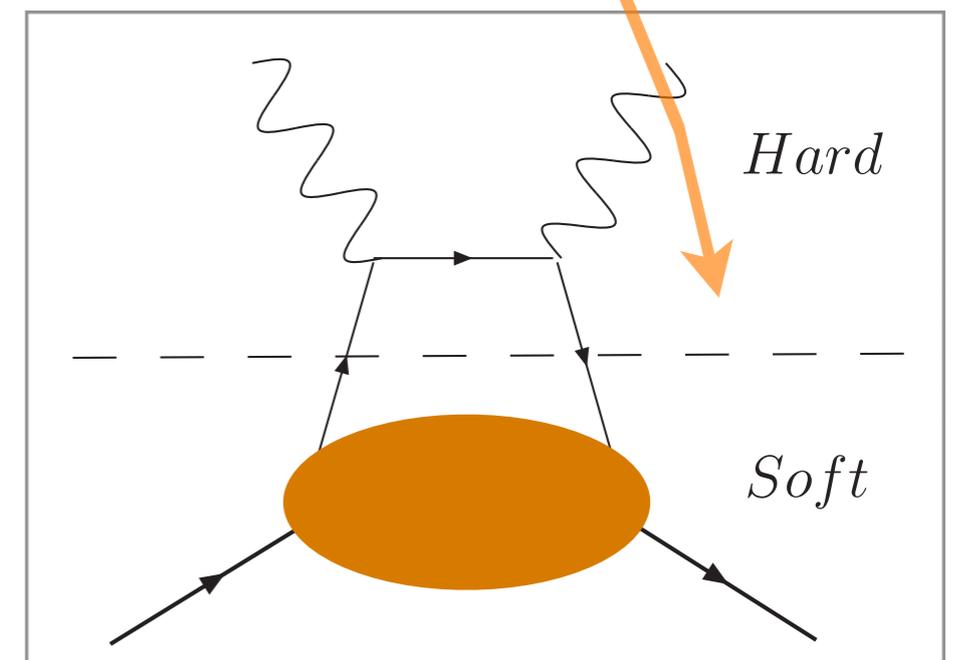
Deep Inelastic Scattering



Hadronic tensor \Rightarrow

Parton Model
High energy photon Q^2
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Bjorken scaling

Factorization
& factorization scale



Structure Functions and DIS

Parton Model
Bjorken scaling

$$F_2(x, Q^2) = \sum_{q\bar{q}} \int_0^1 d\xi f_1(\xi, Q^2) x e_q^2 \delta(x - \xi)$$

$$F_2(x) \equiv F_2(x, Q^2)$$

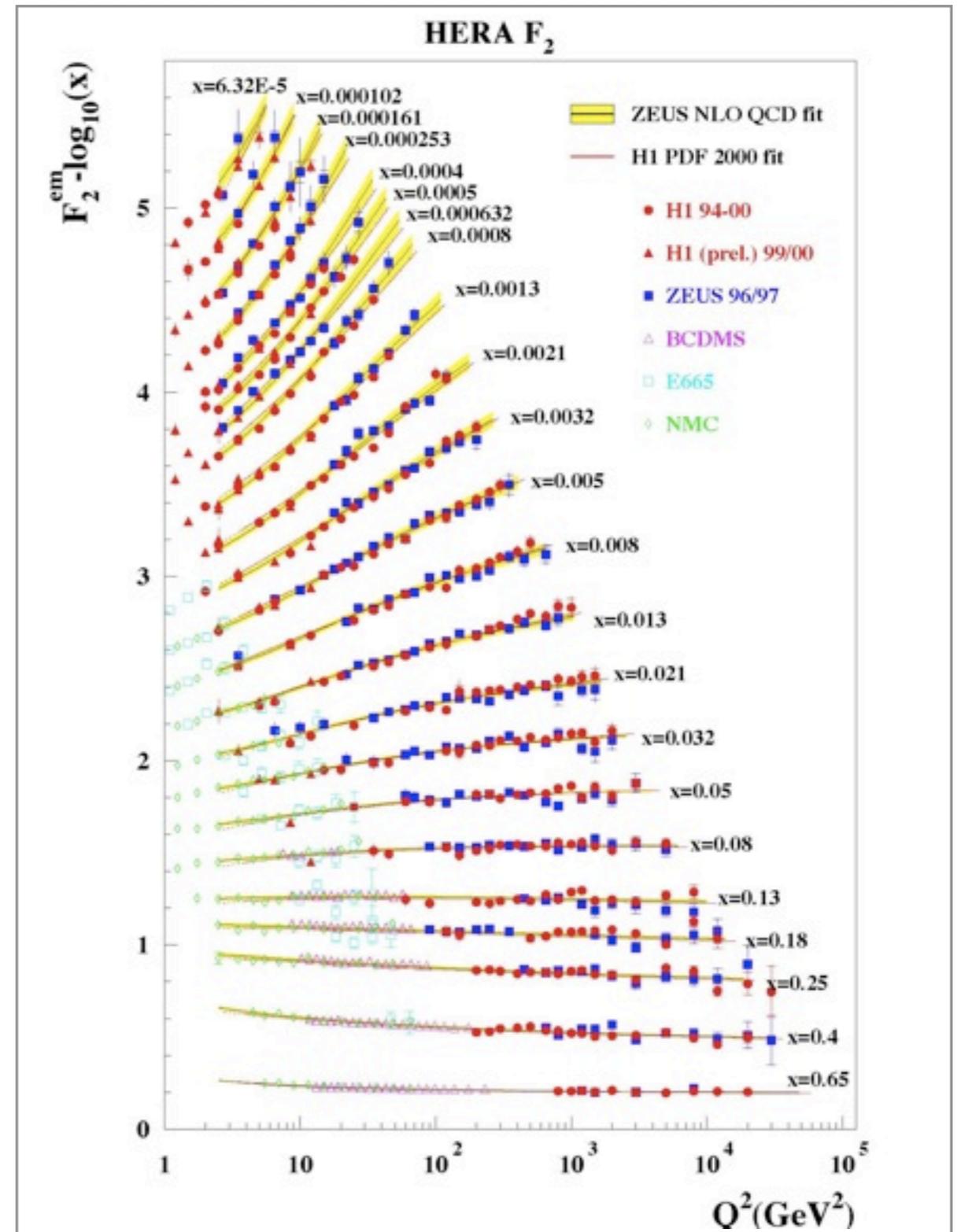
Scaling violations
lead to

Q^2 -dependence of the Structure Functions

→ DGLAP equations

[Dokshitzer–Gribov–Lipatov Altarelli-Parisi]

→ Jargon: “ Q^2 or QCD evolution”



F_2 in perturbative QCD

$$F_2(x, Q^2) = x \sum_{q, \bar{q}} e_q^2 \left[q_0(x) + \frac{\alpha_S}{2\pi} \int_x^1 \frac{d\xi}{\xi} q_0(\xi) \left\{ P\left(\frac{x}{\xi}\right) \ln \frac{Q^2}{\kappa^2} + C\left(\frac{x}{\xi}\right) \right\} + \dots \right]$$

$$q(x, \mu^2) = q_0(x) + \frac{\alpha_S}{2\pi} \int_x^1 \frac{d\xi}{\xi} q_0(\xi) \left\{ P\left(\frac{x}{\xi}\right) \ln \frac{\mu^2}{\kappa^2} + C\left(\frac{x}{\xi}\right) \right\}$$

$q_0 \rightarrow$ input PDFs

$P \rightarrow$ splitting functions

$C \rightarrow$ coefficient functions

F_2 in perturbative QCD

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1. DGLAP
2. convolution with coefficient functions

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DIS scheme \rightarrow

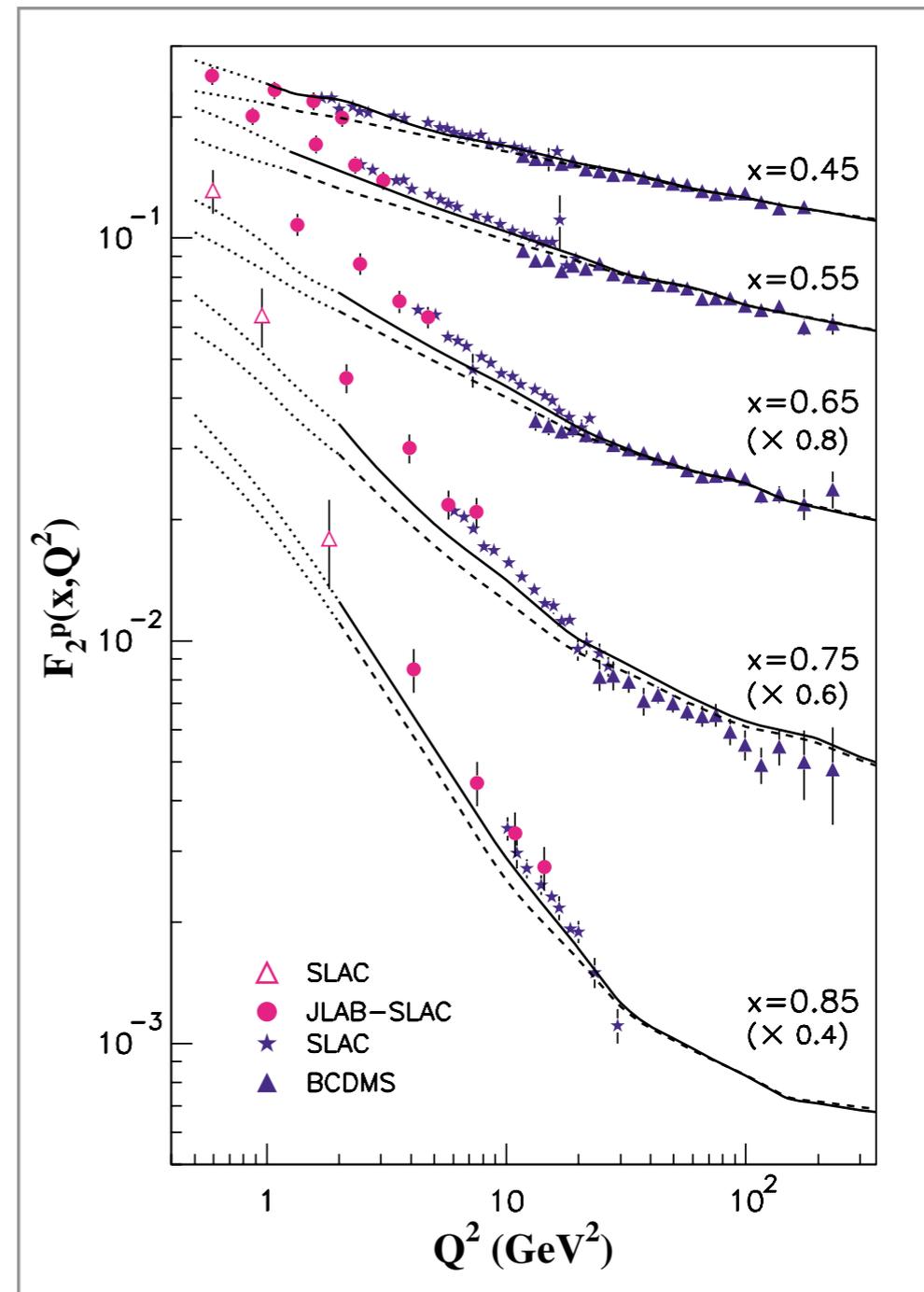
$$F_2(x, Q^2) = x \sum_{q, \bar{q}} e_q^2 \int_x^1 \frac{d\xi}{\xi} q(\xi, \mu^2) \times \left\{ \delta\left(1 - \frac{x}{\xi}\right) + \frac{\alpha_S}{2\pi} P\left(\frac{x}{\xi}\right) \ln \frac{Q^2}{\mu^2} + \dots \right\}$$

$\overline{\text{MS}}$ scheme \rightarrow

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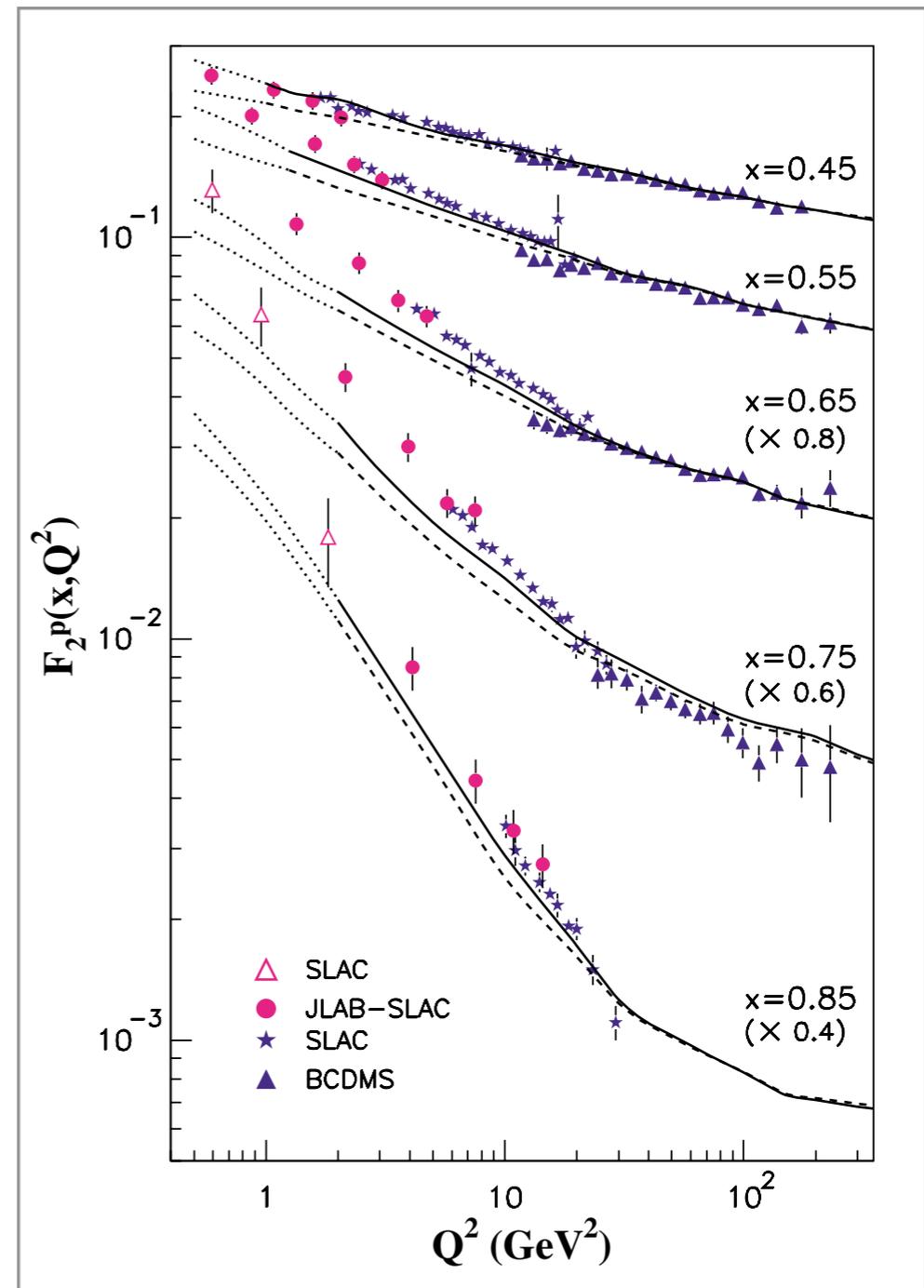
Large-x region

- When $x \rightarrow 1$, \rightarrow elastic scattering
- Exclusive scattering
- Intertwine with resonance region
- How to obtain clean PDFs?



Large-x region

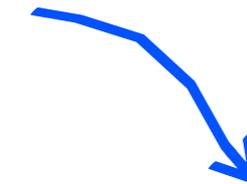
- When $x \rightarrow 1$, \rightarrow elastic scattering
- Exclusive scattering
- Intertwine with resonance region
- How to obtain clean PDFs?
- Order in pQCD?
- Higher order in PDFs?
- Corrections due to target mass
- Tuning of pQCD?



Target Mass Corrections

- ▶ Effects associated with the nonzero mass of the target
- ▶ infinite vs. finite target mass \Rightarrow Bjorken vs. Nachtmann variable

$$x = \frac{Q^2}{2P \cdot q} \Leftrightarrow \xi = \frac{2x}{1 + \sqrt{1 + 4x^2 M^2 / Q^2}}$$

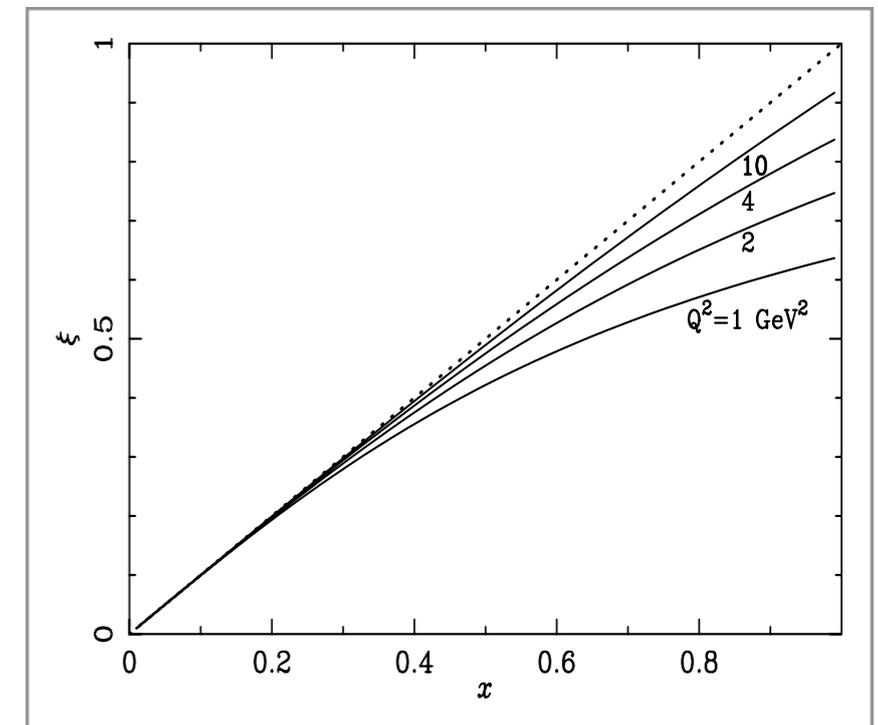


$$F_2^{NS(TMC)}(x, Q^2) = \frac{x^2}{\xi^2 \gamma^3} F_2^\infty(\xi, Q^2) + 6 \frac{x^3 M^2}{Q^2 \gamma^4} \int_\xi^1 \frac{d\xi'}{\xi'^2} F_2^\infty(\xi', Q^2)$$

Georgi & Politzer (1976)

$$F(x, Q^2, M^2) \propto \int_\xi^{\xi/x} \frac{dx}{x} H(\xi/x, Q^2) q(x, Q^2)$$

, ..., Accardi & Qiu (2008)



Parton-Hadron Duality

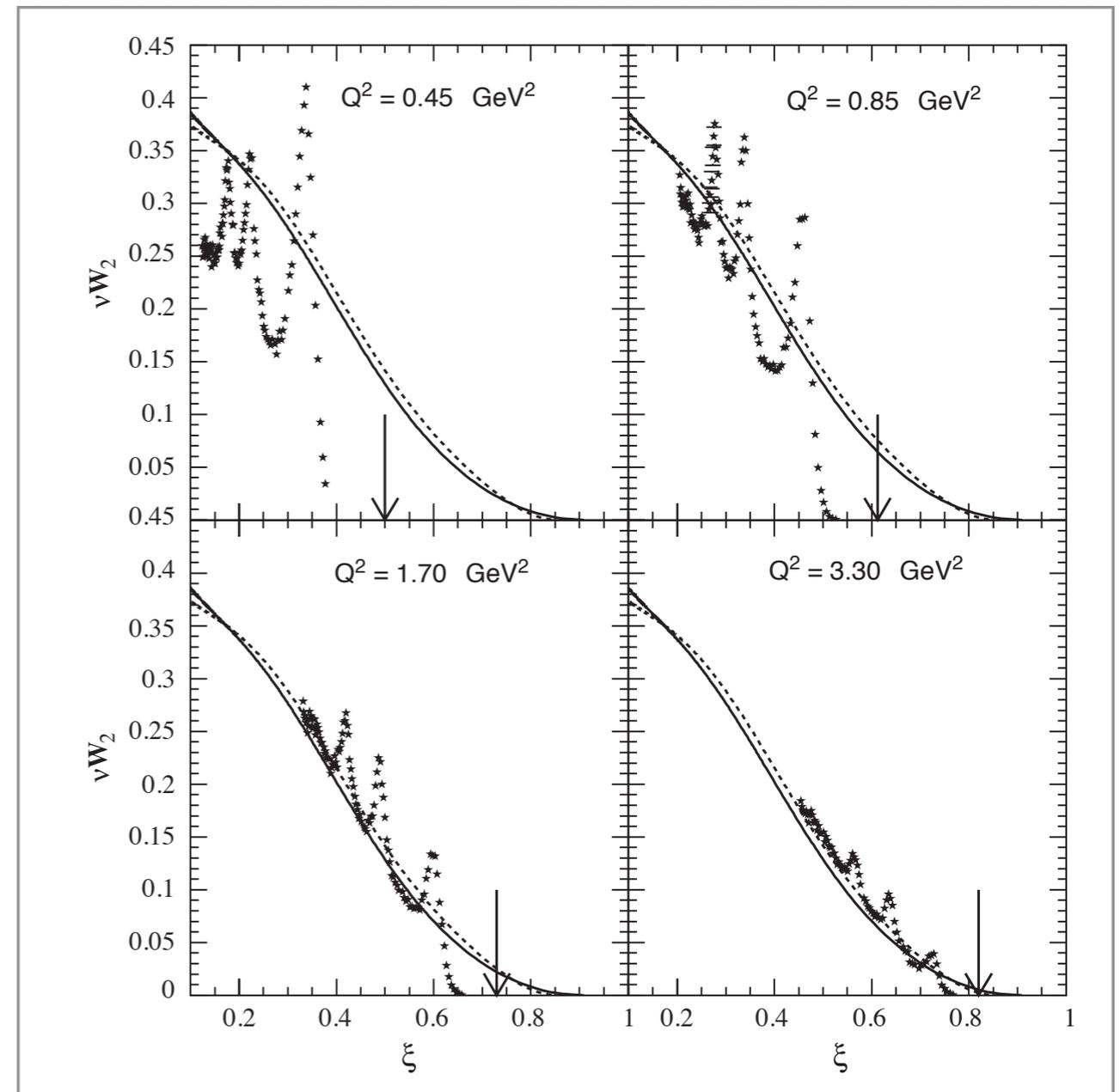
Bloom-Gilman duality

PreQCD

- ▶ **Inclusive electroproduction** can be studied in both the resonance and the scaling region
- ▶ **Connection in the data between structure function**
 - ▶ in resonance region
 - ▶ in the scaling region

$$W^2 = Q^2(1/x - 1) + M^2$$

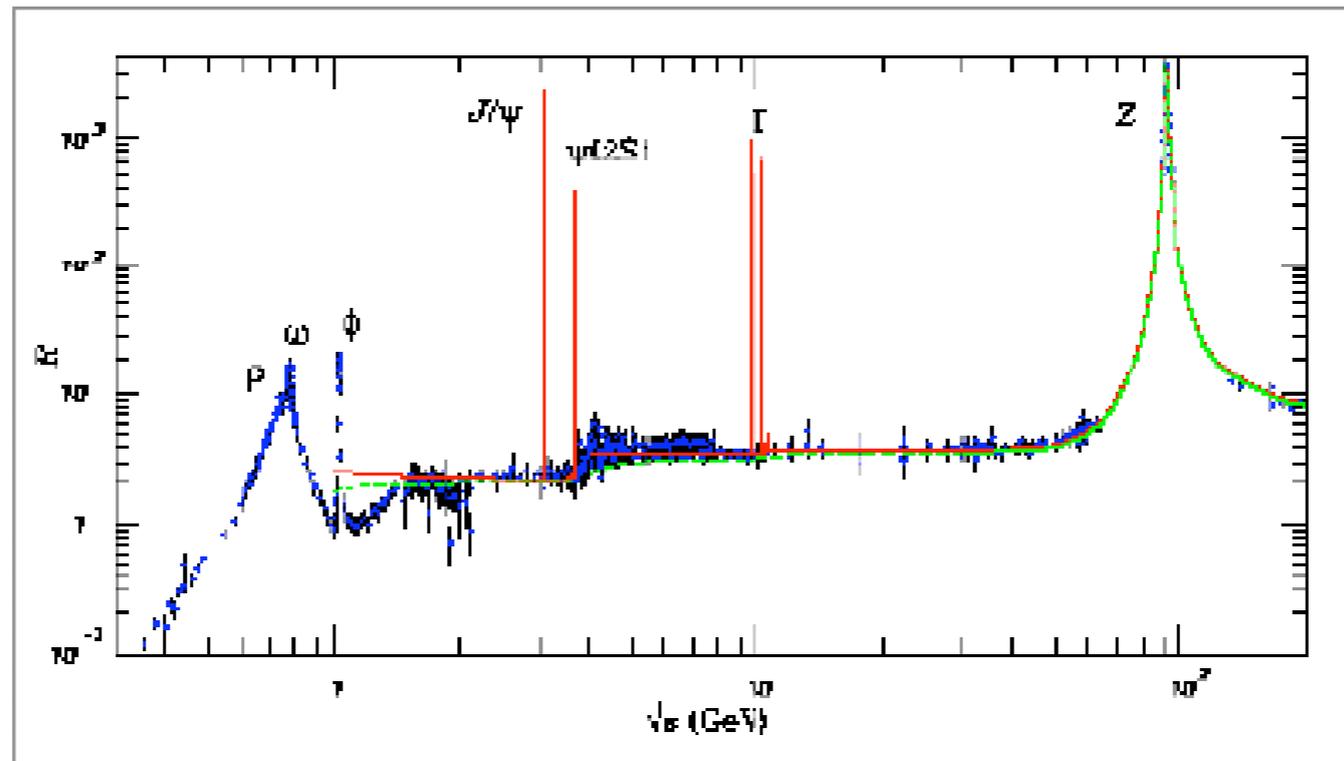
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Parton-Hadron Duality

[Poggio, Quinn & Weinberg, Phys Rev D13]

$$e^+ - e^- \rightarrow \text{hadrons} \equiv \sum_q (e^+ e^- \rightarrow q \bar{q}) \Rightarrow \sigma_{\text{hadrons}} \equiv \sum_q \hat{\sigma}_q$$

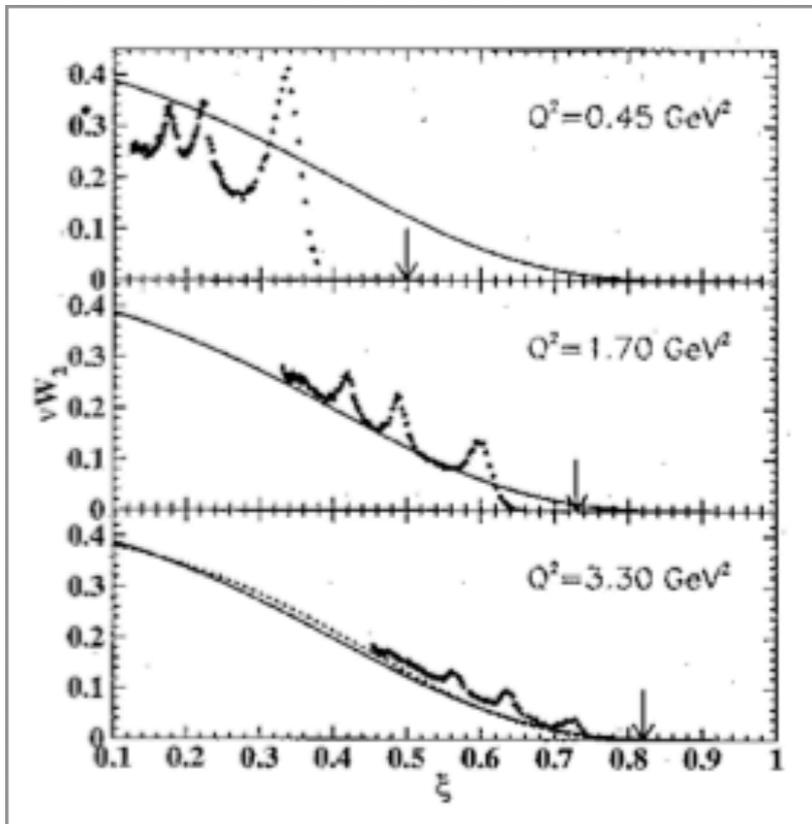


averaged hadronic cross section \Leftrightarrow averaged quark cross section

\Rightarrow **Smearing techniques**

Complementarity between Parton and Hadron descriptions of observable

Bloom-Gilman: what do we understand?



Structure functions
Resonance region \Leftrightarrow Scaling region

$x_{Bj} > 0.5$, Q^2 multi-GeV region $\Rightarrow 1.2 < W^2 \leq 4 \text{ GeV}^2$

[Bloom & Gilman, Phys.Rev.Lett.25]

[Niculescu et al., PRL85]

- ▶ The resonance region data oscillate around the scaling curve.
- ▶ The resonance data are on average equivalent to the scaling curve
- ▶ The resonance region data “slide” along the deep inelastic curve with increasing Q^2 .

Duality and QCD

experiment

$$I^{res}(Q^2) = \int_{x_m}^{x_M} F_2^{Res}(x, Q^2) dx$$
$$I^{DIS}(Q^2) = \int_{x_m}^{x_M} F_2^{DIS}(x, Q^2) dx$$

perturbative QCD

Global duality: $x_M \div x_m \Leftrightarrow W_m^2 \div W_M^2 \Rightarrow 1.2 \div 4 \text{ GeV}^2$

- Nonperturbative models analysis
- Perturbative analysis

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Start with NLO PDF and then ...

- Target Mass Corrections (TMC)
- Higher-order in pQCD
- Higher-Twists
- Large-x Resummation (LxR)

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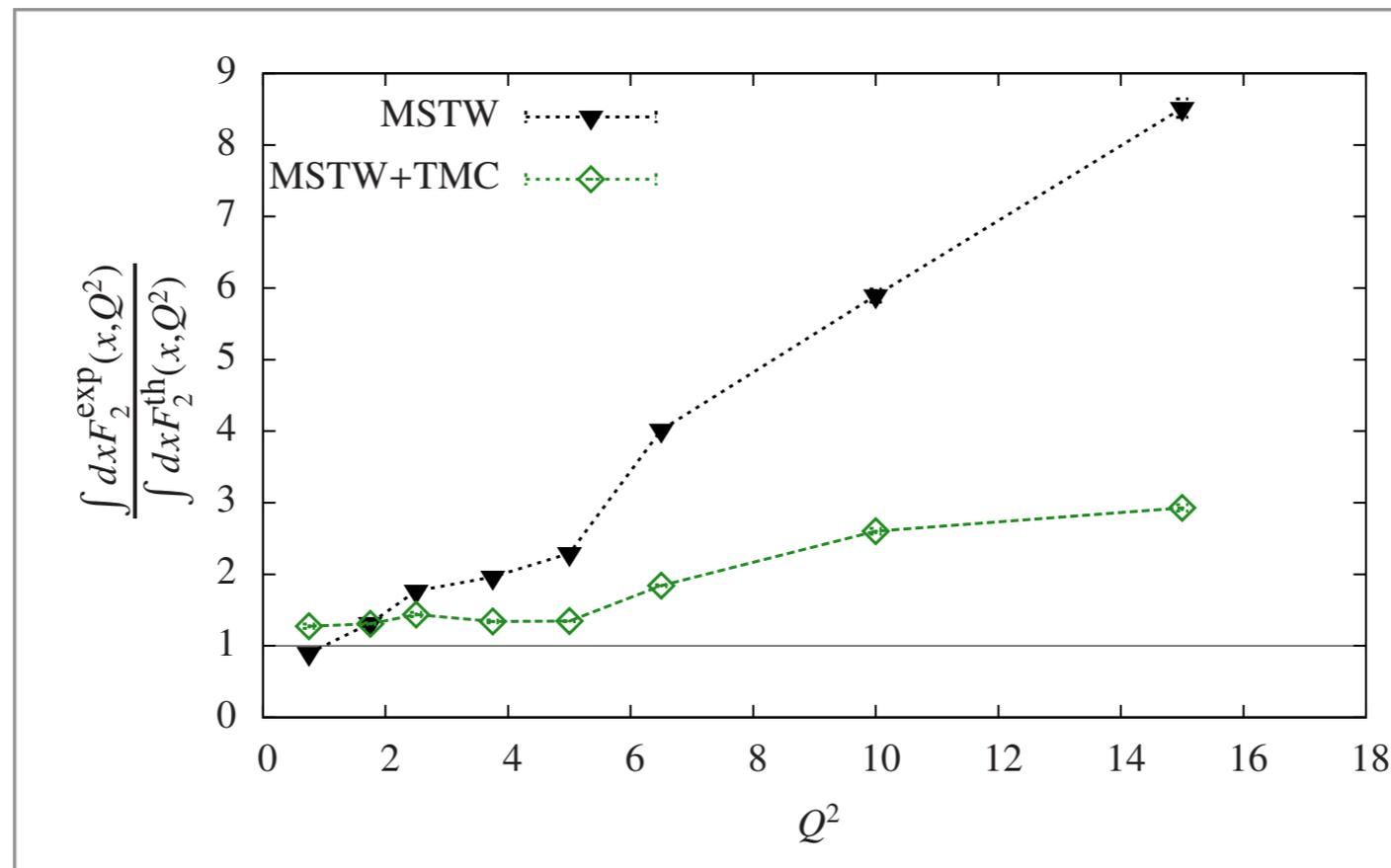
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- Higher-order in pQCD \longrightarrow **?**
- Higher-Twists
- Large-x Resummation (LxR) \longrightarrow **pQCD**

Data analysis: F_2 at JLab

Hall C E94-110 reanalyzed by Monaghan [1209.4542]

$$R^{\text{exp/th}}(Q^2) = \frac{\int_{x_{\min}(W^2=4\text{GeV}^2)}^{x_{\max}(W^2=1.2\text{GeV}^2)} dx F_2^{\text{exp}}(x, Q^2)}{\int_{x_{\min}(W^2=4\text{GeV}^2)}^{x_{\max}(W^2=1.2\text{GeV}^2)} dx F_2^{\text{th}}(x, Q^2)}$$

=1 if duality fulfilled

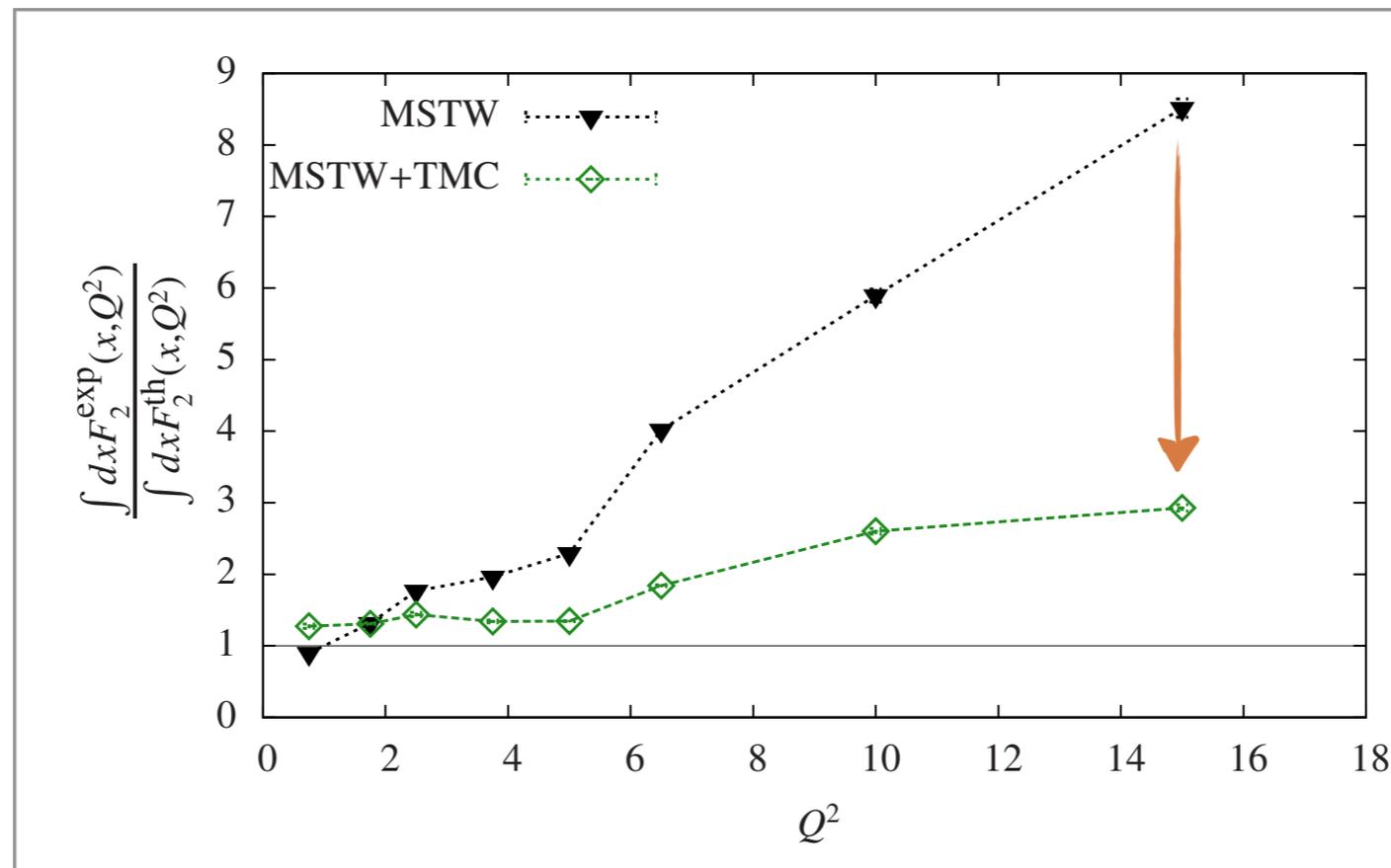


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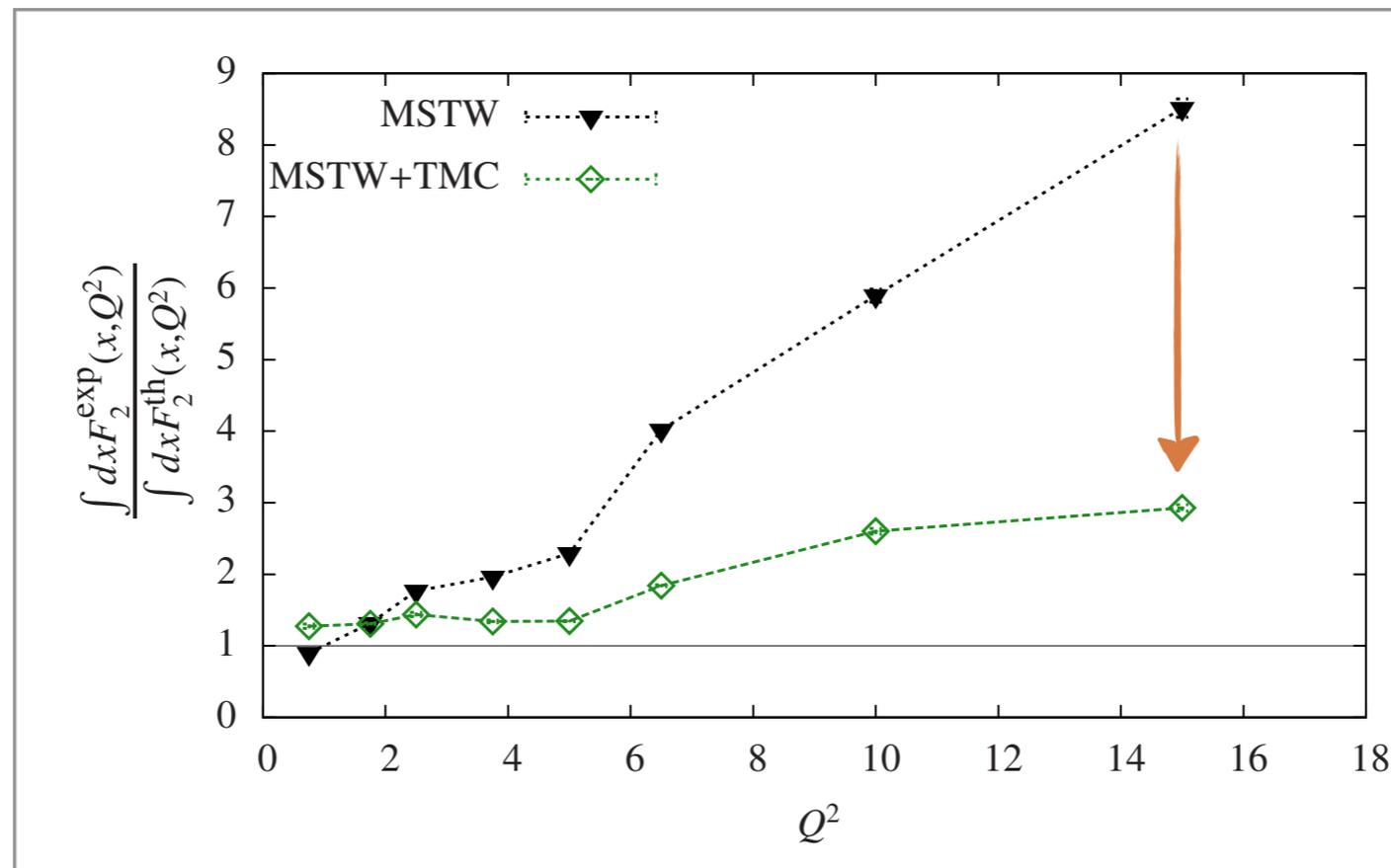
TMC effect

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TMC effect

Still missing something...

F_2 in perturbative QCD

$\overline{\text{MS}}$ scheme \rightarrow

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In practice:

1. DGLAP
2. convolution with coefficient functions

1. $q_0 \rightarrow$ leading-twist PDFs
here MSTW08NLO
2. $q_0 \rightarrow$ evolved to $q(x, Q^2)$ via DGLAP
with
 $P \rightarrow$ splitting functions, to NLO
3. $C \rightarrow$ coefficient functions, to NLO

F₂ in perturbative QCD

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Is it still true at large-x ?

Large-x Resummation

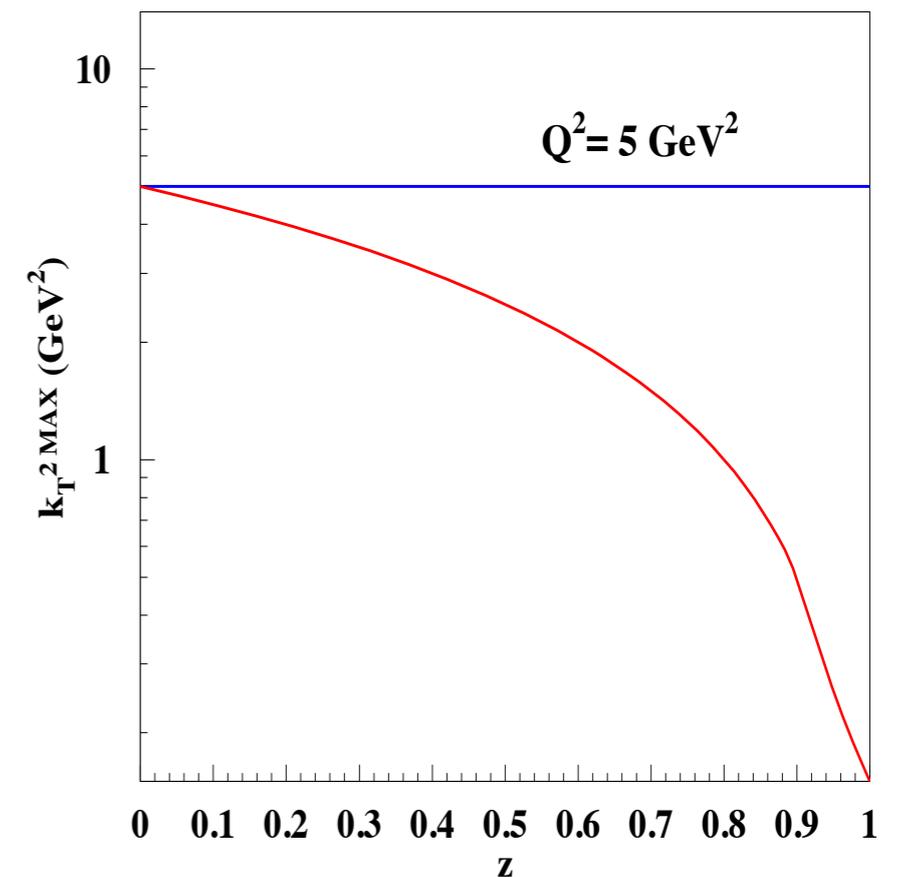
Amati et al., Nucl.Phys. B173 (1980) 429

- Large invariants: $\Lambda^2 \ll W^2 \sim Q^2$
- Argument for α_s is ω^2 , mass square of final state of γ^* parton collision

$$\omega^2 = \frac{Q^2}{z} (1-z)$$

Without LxR, upper limit = Q^2

$$q(x, Q^2) = \int_x^1 \frac{dz}{z} \int_{\mu^2}^{Q^2 \frac{1-z}{4z}} dk_T^2 \alpha_S(k_T^2) P_{qq}(z) q\left(\frac{x}{z}, k_T^2\right)$$



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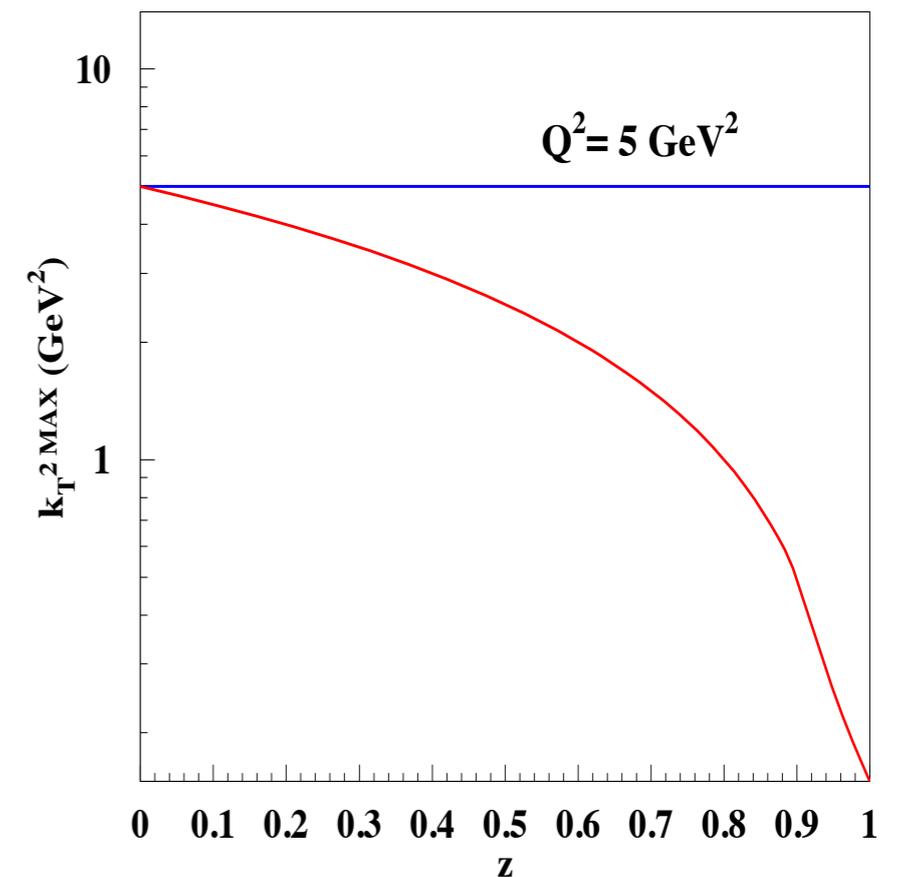
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The structure functions become

$$F_2^{NS}(x, Q^2) = \sum_q \int_x^1 dz \frac{\alpha_s\left(\frac{Q^2(1-z)}{4z}\right)}{2\pi} C_{NS}(z) q_{NS}\left(\frac{x}{z}, Q^2\right)$$



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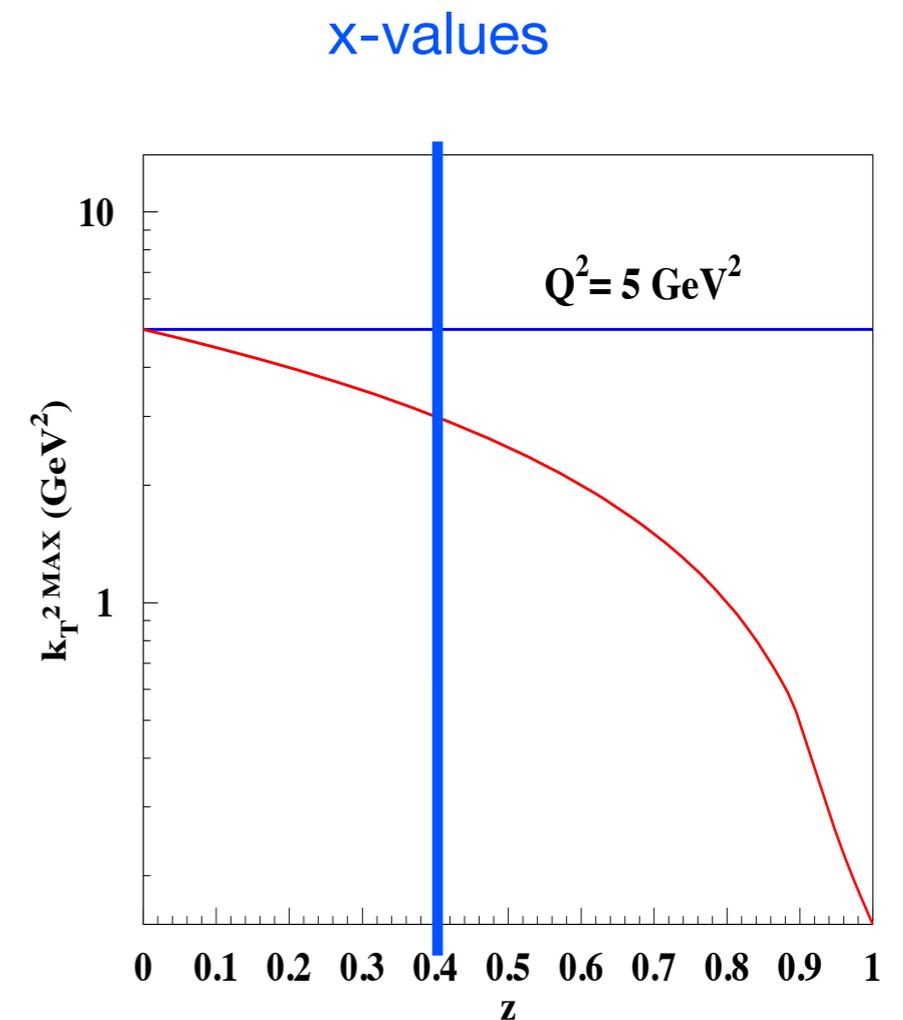
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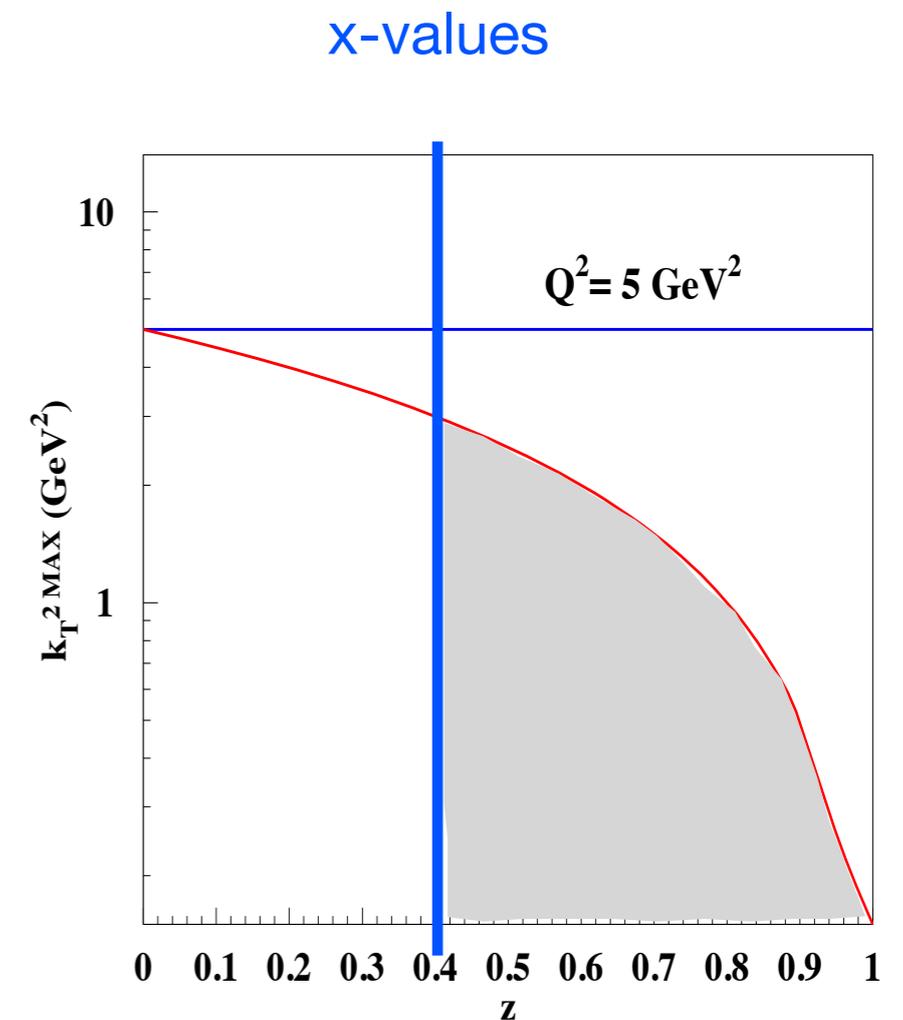
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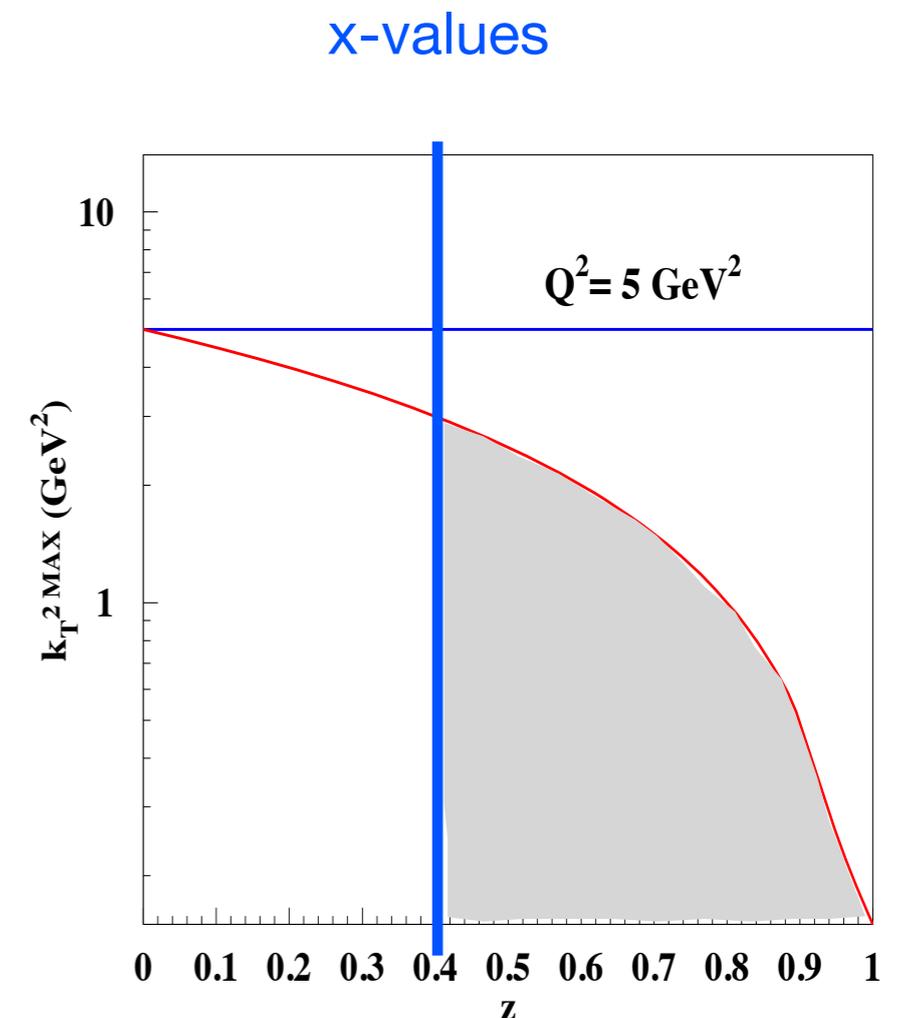
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restricted phase space for real gluon emission

Many ways to implement LxR

Our strategy

- ▶ We don't touch the DGLAP part

- ▶ Resummation at the coefficient function level :

$$F_2^{NS}(x, Q^2) = xq(x, Q^2) + \frac{\alpha_s}{4\pi} \sum_q \int_x^1 dz B_{NS}^q(z) \frac{x}{z} q\left(\frac{x}{z}, Q^2\right)$$

- ▶ Divergent term at $x \rightarrow 1$,

$$B_{NS}^q(z) = \left[\hat{P}_{qq}^{(0)}(z) \left\{ \ln\left(\frac{1-z}{z}\right) - \frac{3}{2} \right\} + \text{E.P.} \right]_+$$

Many ways to implement LxR

Our strategy

- ▶ We don't touch the DGLAP part

- ▶ Resummation at the coefficient function level :

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$$\alpha_s(Q^2) \rightarrow \alpha_s\left(Q^2 \frac{1-z}{z}\right)$$

[Courtoy & Liuti, 1302.4439]

- ▶ Resummed as :

$$\ln(1-z) = \frac{1}{\alpha_{s,LO}(Q^2)} \int^{Q^2} d \ln Q^2 \left[\alpha_{s,LO}(Q^2(1-z)) - \alpha_{s,LO}(Q^2) \right] \equiv \ln_{LxR}$$

Rôle of the coupling constant

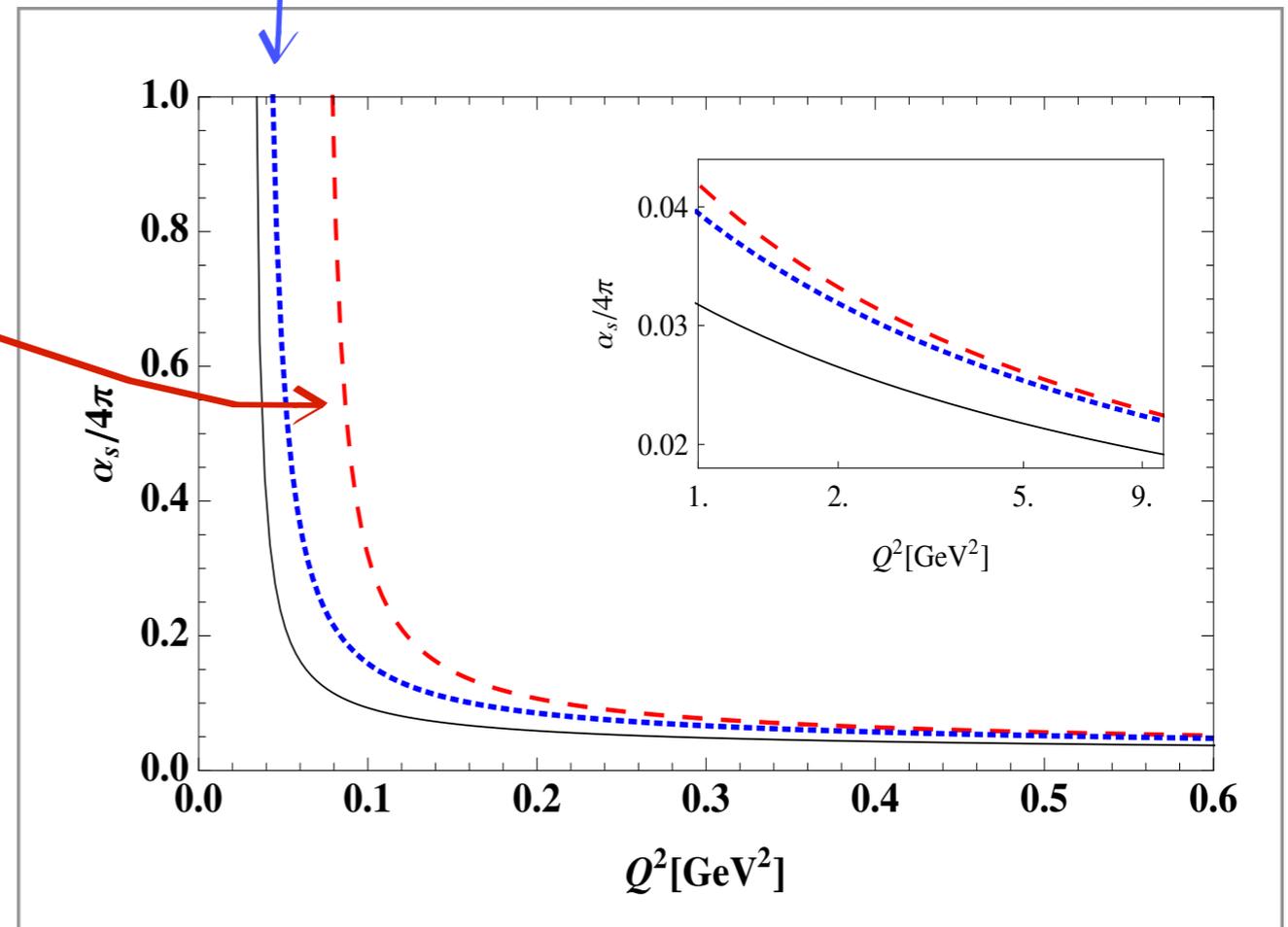
Example

- ▶ LO exact solution, $\Lambda=174\text{MeV} \rightarrow$ reaches Landau pole at $Q=174\text{MeV}$

- ▶ expansion in α_s
$$\alpha_s(\tilde{W}^2) = \alpha_s(Q^2) - \frac{\beta_0}{4\pi} \ln\left(\frac{1-z}{z}\right) \alpha_s^2(Q^2)$$

- ▶ full dependence in z ,

$\Lambda=174\text{MeV} \rightarrow$ reaches Landau pole at $Q > 174\text{MeV}$



Rôle of the coupling constant

Example

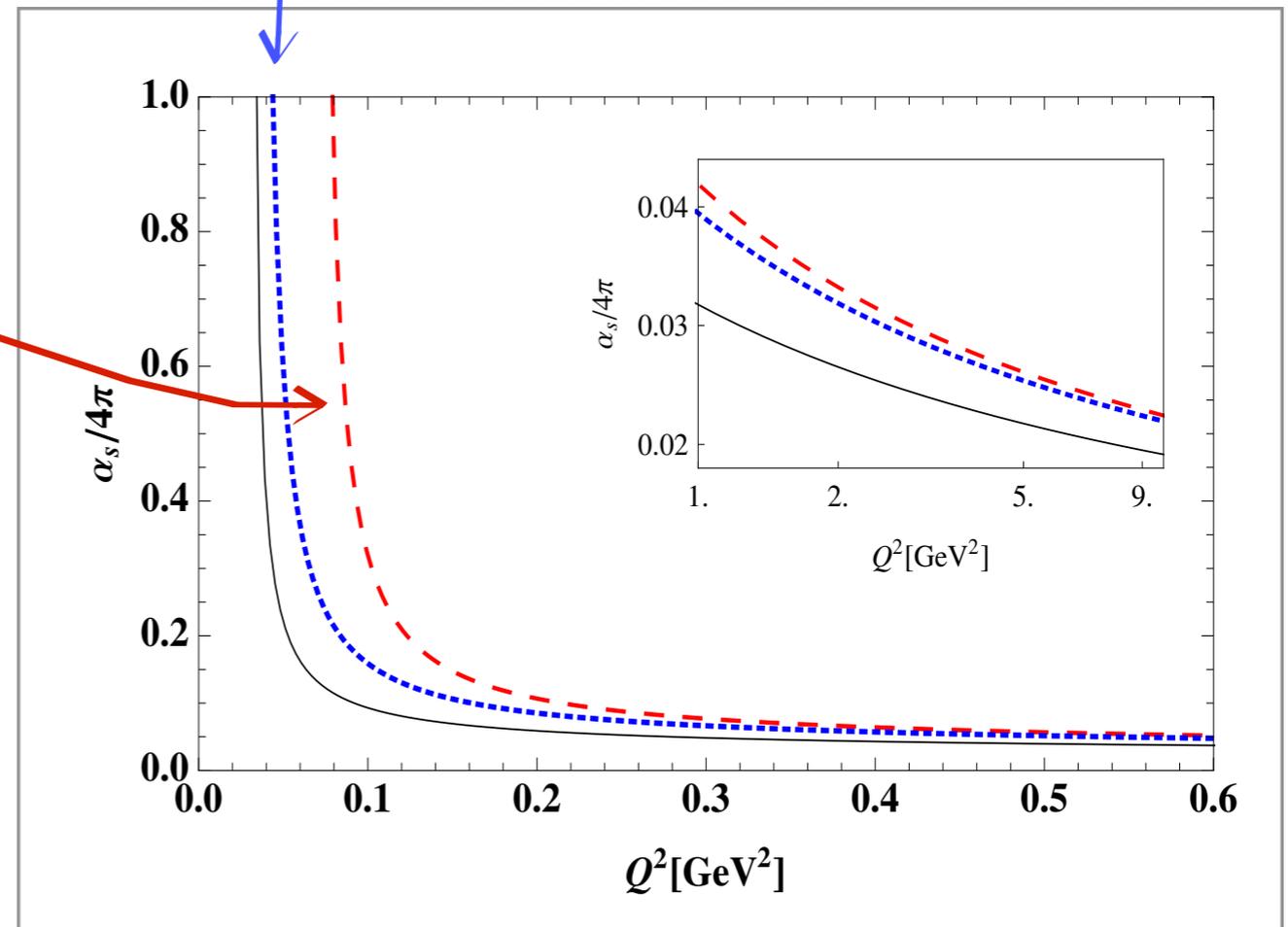
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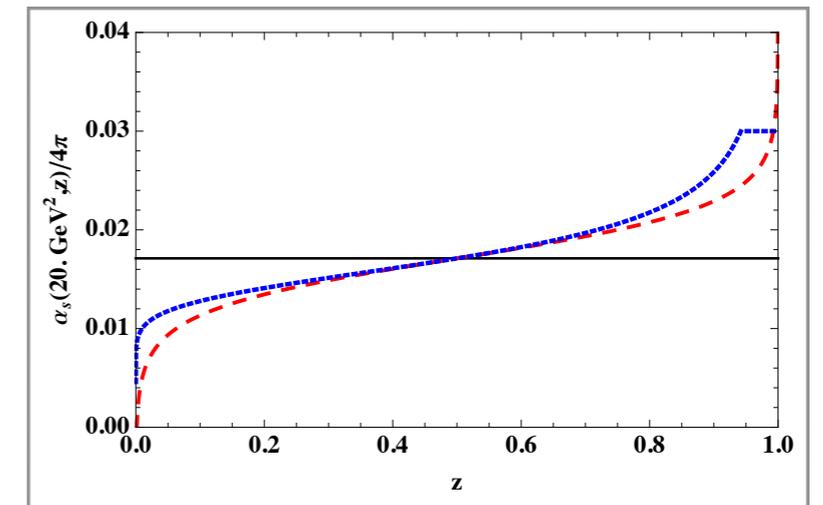
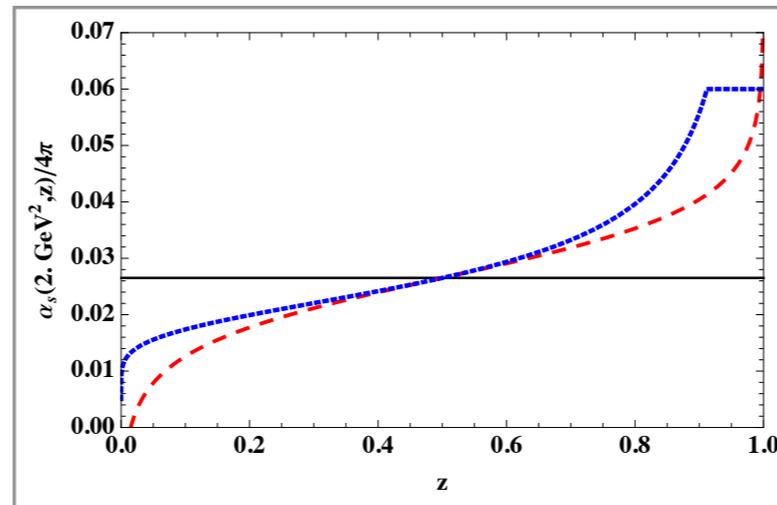
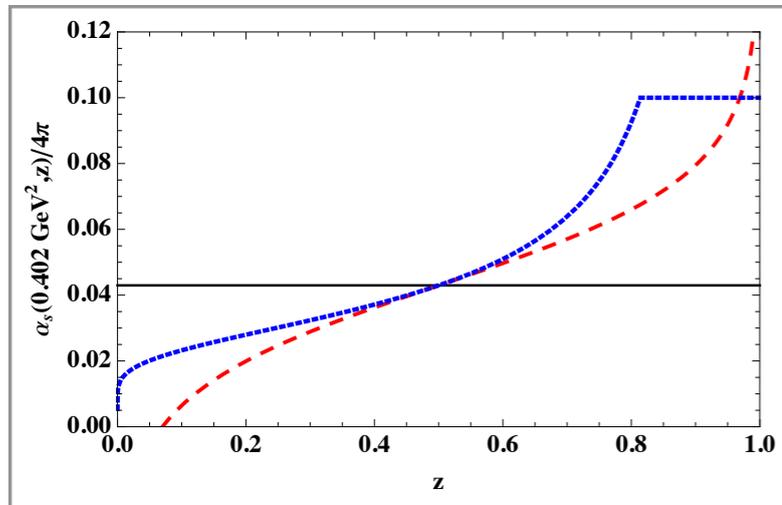
$\Lambda=174\text{MeV}$ → reaches Landau pole at $Q > 174\text{MeV}$

α_s might blow up



Large-x Resummation: α_s as (hidden) free parameter

[Courtoy & Liuti, 1208.5636]



- $\alpha_s(Q^2)$;



- an expansion of $\alpha_s(\tilde{W}^2)$ in $\ln((1-z)/z)$, to NLO,

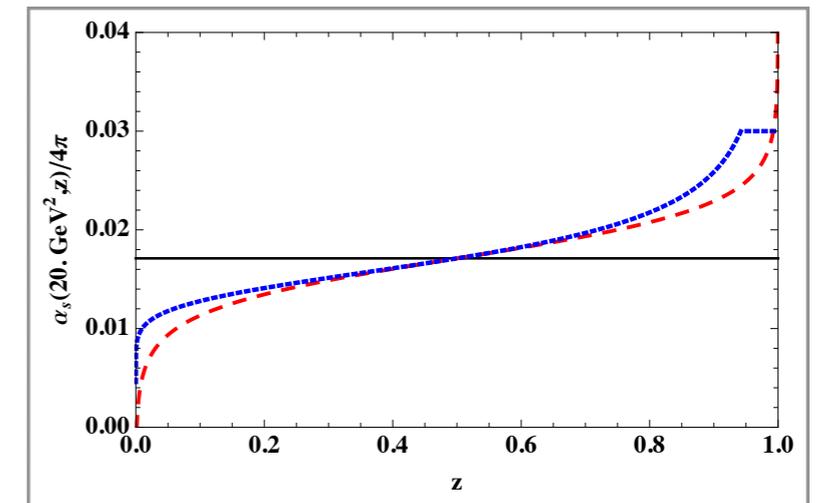
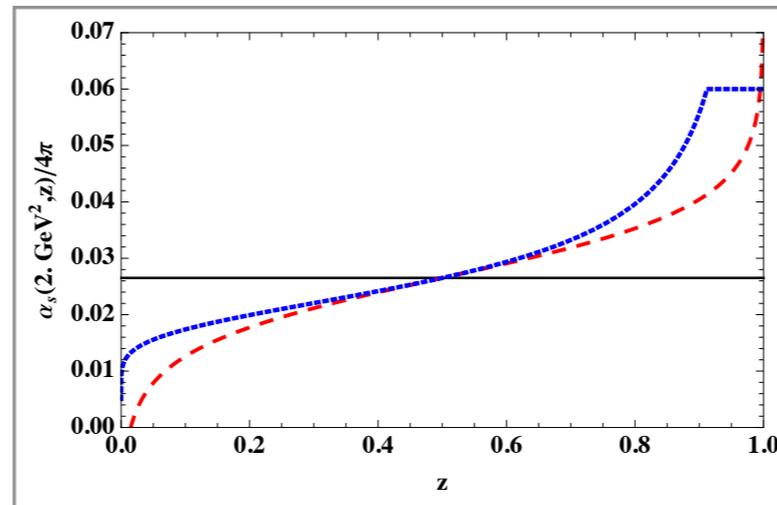
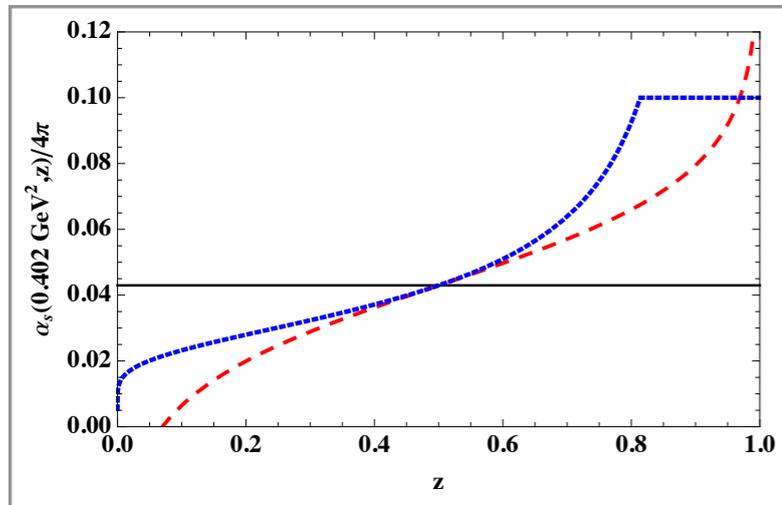
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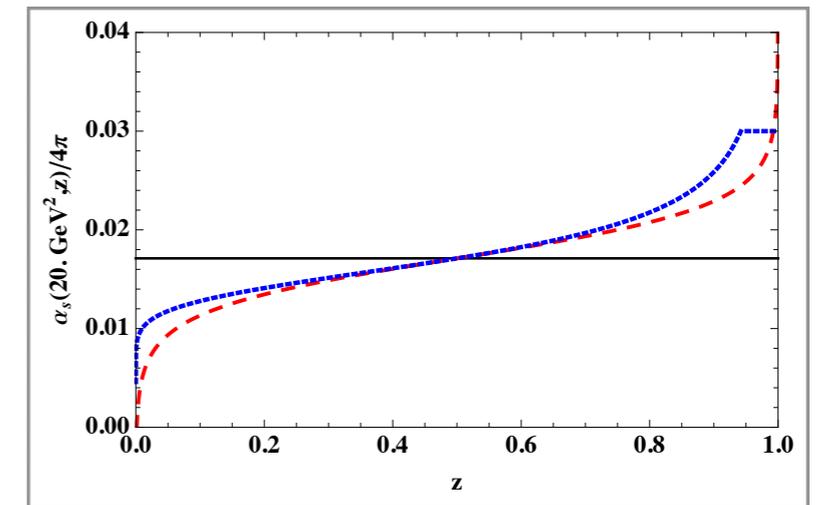
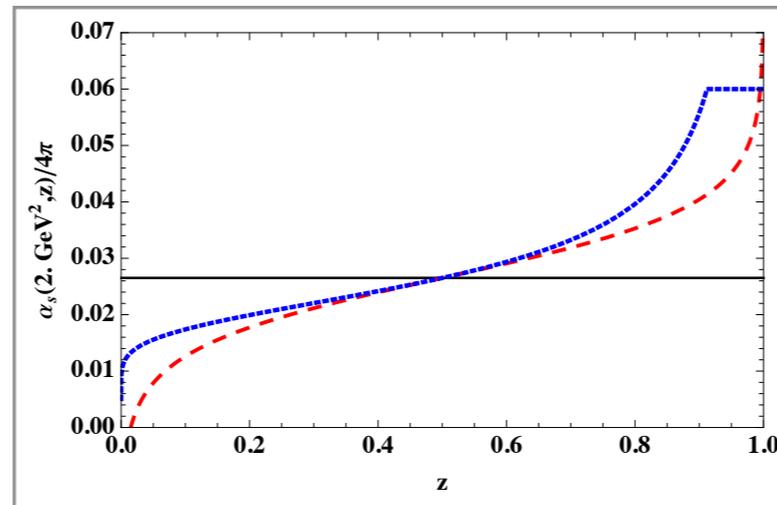
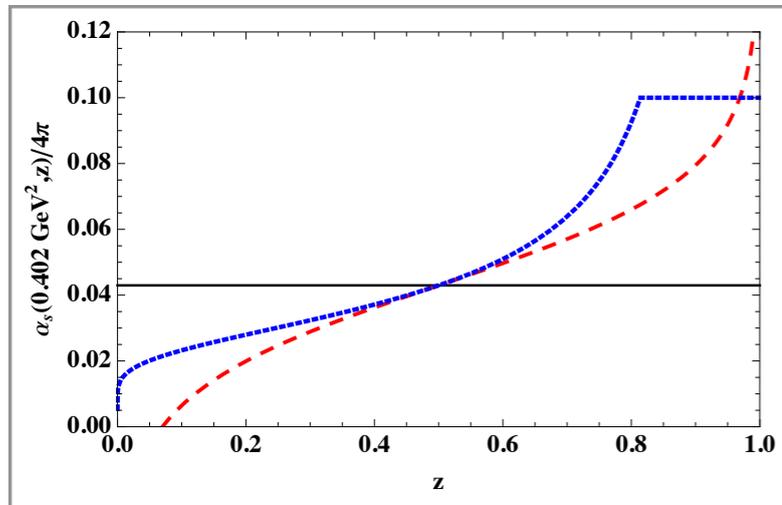
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What does a cut in α_s means?

Running Coupling Constant

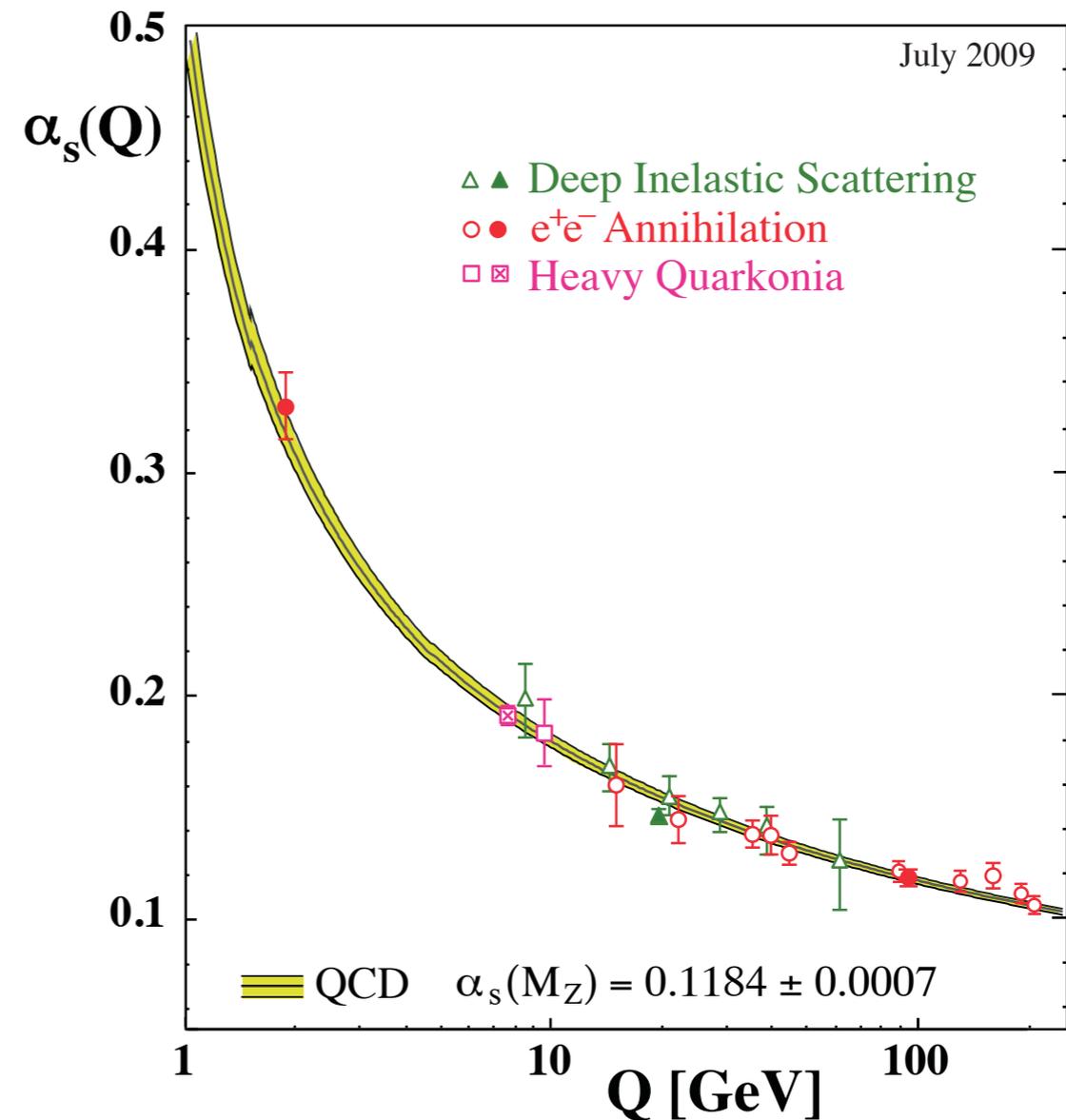
QCD Coupling Constant in pQCD

- ▶ QCD with massless quarks
 - ➔ no scale parameters
- ▶ RGE introduces a momentum scale Λ
 - ➔ interaction strength = 1
- Renormalization scheme dependence of Λ
- World data average (2009)

$$\alpha_s(M_{Z^0}) = 0.1184 \pm 0.0007$$

that corresponds to (to NNLO)

$$\Lambda_{\overline{MS}}^{(5)} = (213 \pm 9) \text{ MeV}$$



QCD Running Coupling Constant

$$\frac{d a(Q^2)}{d(\ln Q^2)} = \beta_{N^m LO}(\alpha) = \sum_{k=0}^m a^{k+2} \beta_k$$

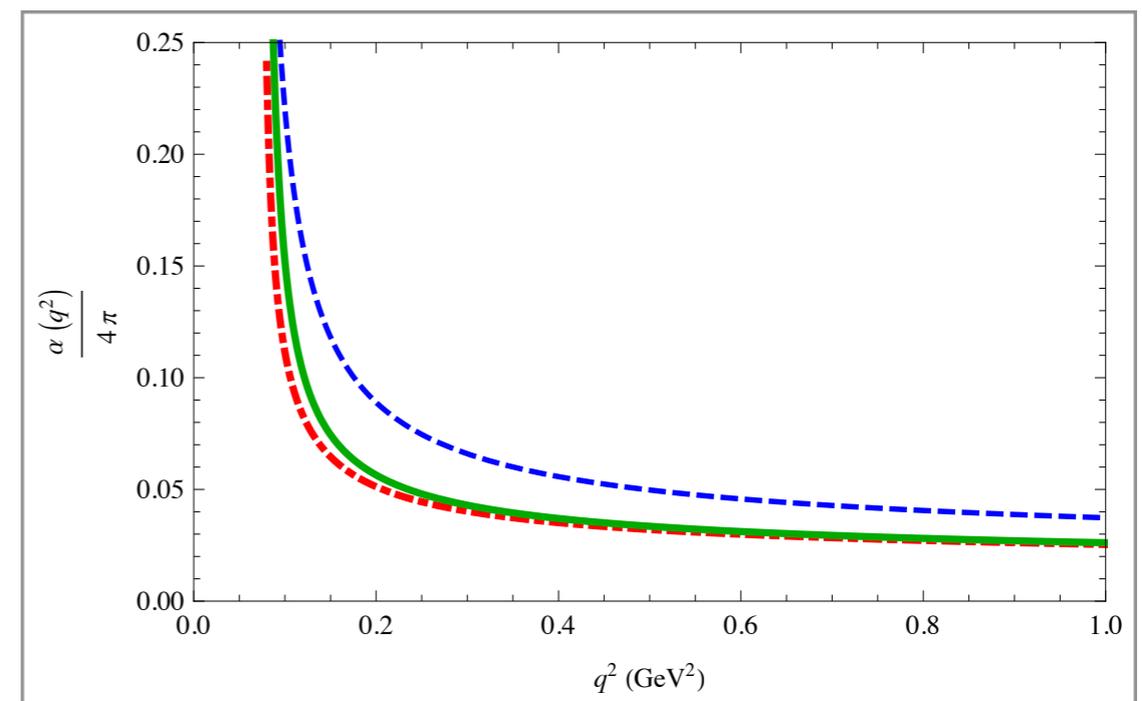
$\overline{\text{MS}}$ scheme

$$a = \alpha_s / 4\pi$$

LO exact perturbative solution $\Lambda=250$ MeV

NLO exact perturbative solution $\Lambda=250$ MeV

NNLO exact perturbative solution $\Lambda=250$ MeV



QCD predicts the shape of the running coupling constant, not its value

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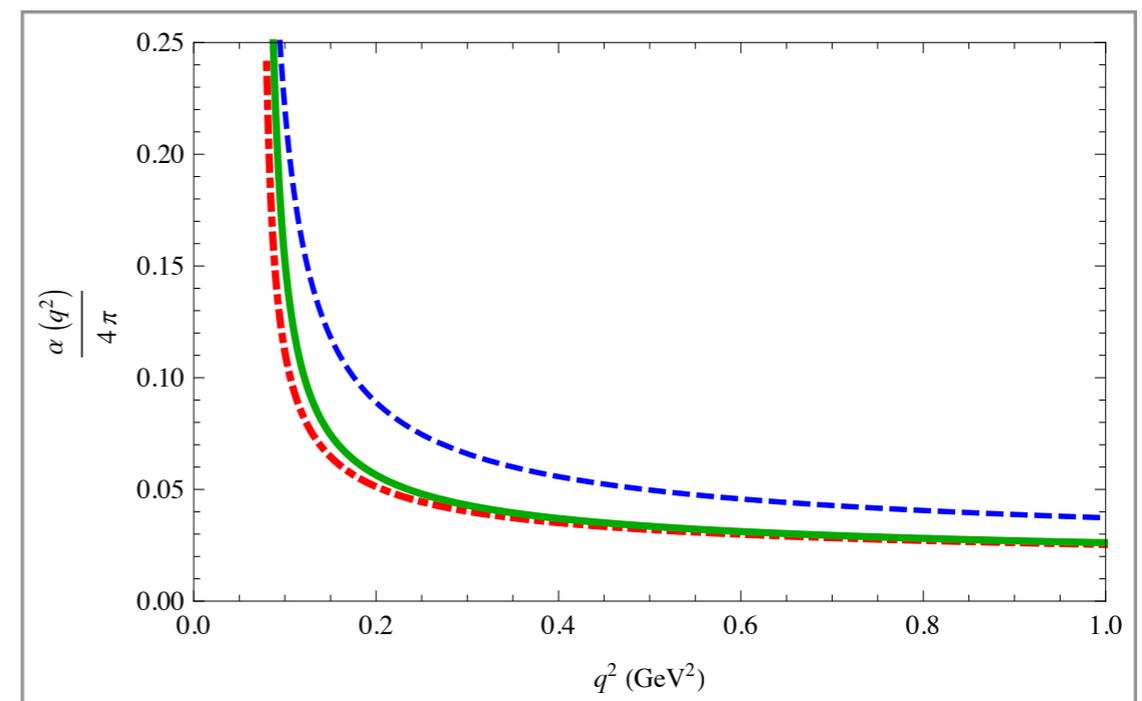
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Intermediate energy?

Perturbative to non-perturbative transition?

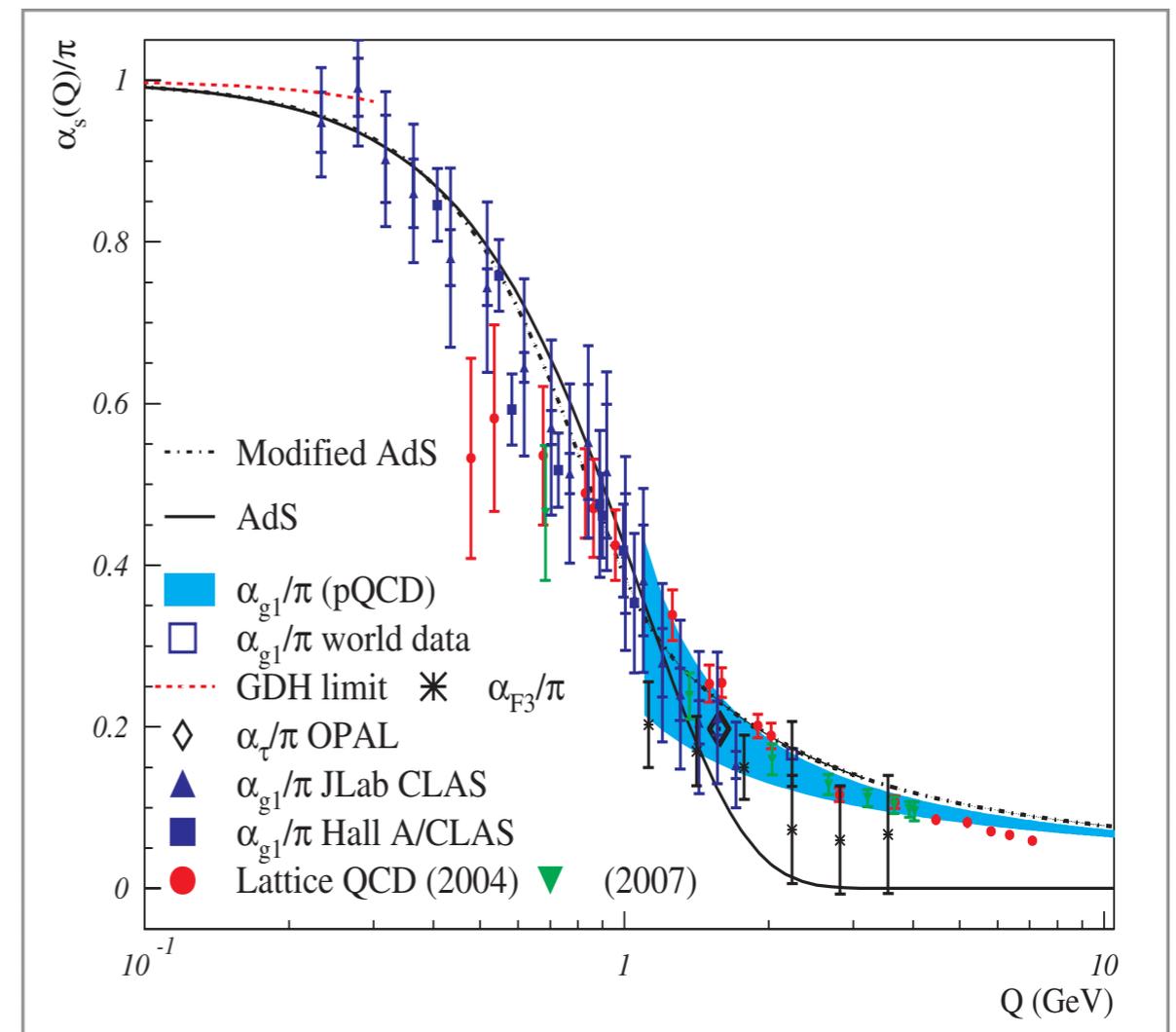
Effective Charges

The non-perturbative approach:

- ▶ Importance of finite couplings
- ▶ Taming the Landau pole

The non-perturbative extraction:

- Effective couplings from phenomenology
- Dimensional transmutation (RG-improved)
 - ➔ from RS dependence to Observable dependence (à la Grunberg)



[Brodsky et al., Phys.Rev.D81]
[Deur et al., Phys.Lett.B60]

Non-perturbative analysis

Qualitative analysis

➔ Implications of IR finite α_s in hadronic physics

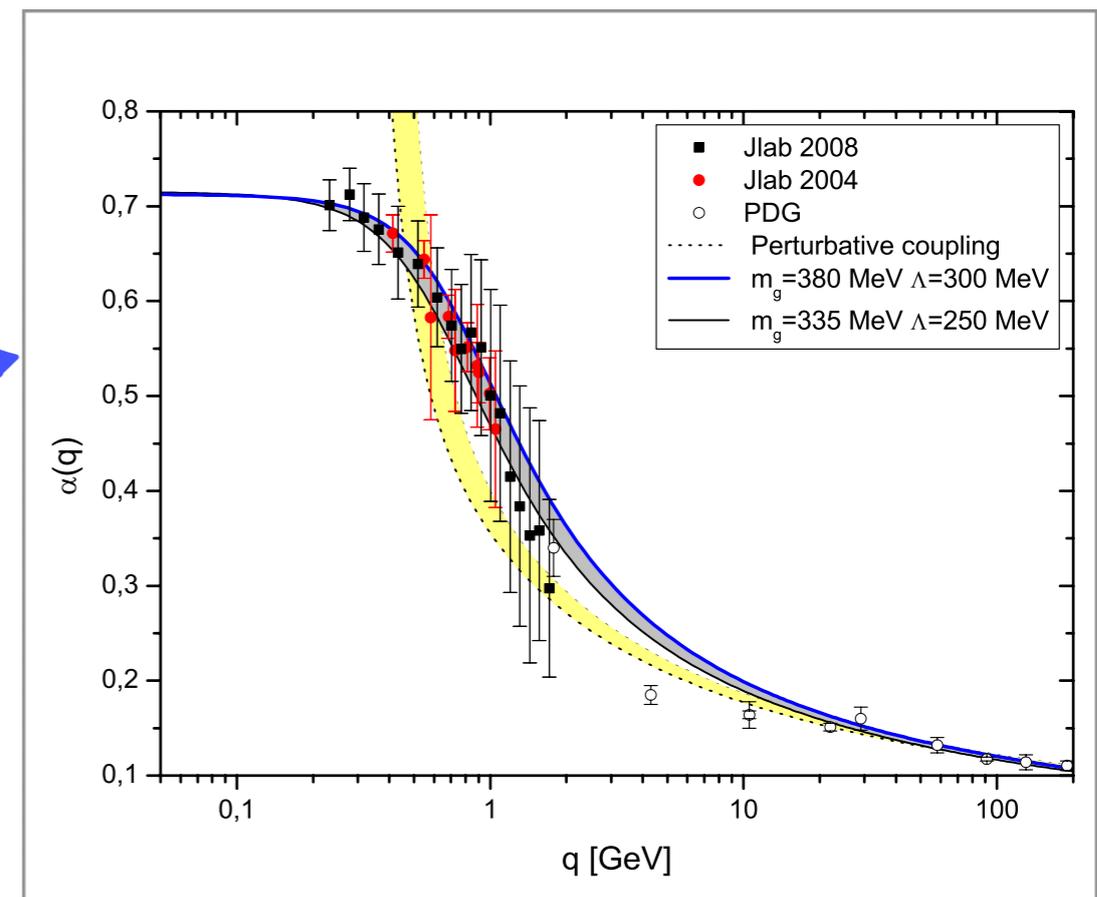
Examples of non-perturbative approaches:

- ➔ Cornwall: *gluon propagator*
- ➔ Shirkov: *analytic perturbative theory*
- ➔ Fischer & Alkofer: *ghost-gluon vertex*

Importance and applications of finite couplings:

e.g.

Dokshitzer et al., Nucl.Phys.B469 (1996) 93

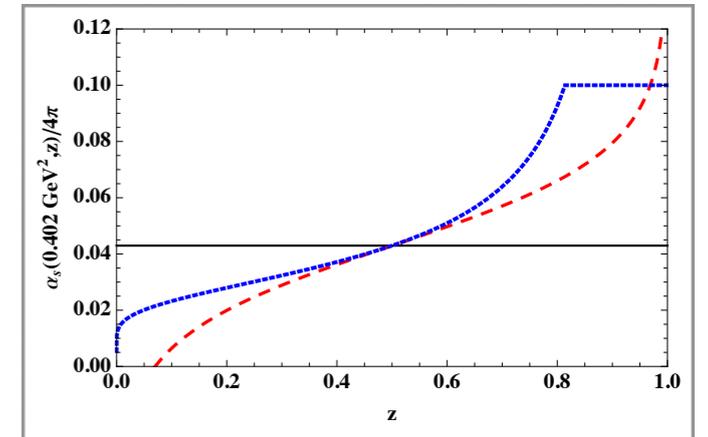


Plot by Arlene C. Aguilar

Back to duality

Parametrize the realization of duality

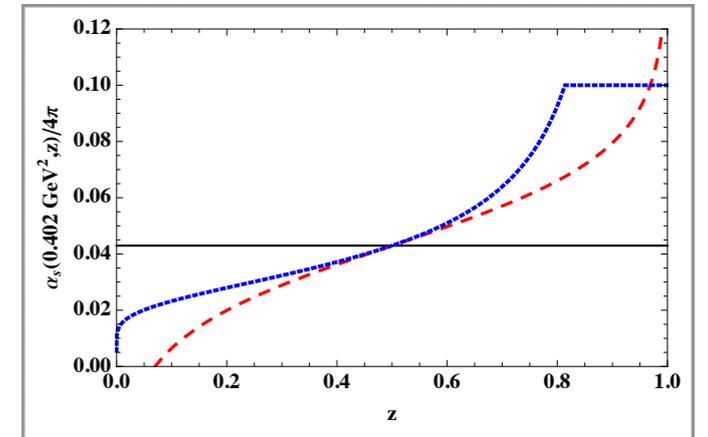
- ▶ Freeze α_s by imposing a z_{\max} : $\widetilde{W}^2(z_{\max}) = Q^2(1 - z_{\max})/z_{\max}$
- ▶ Changes the behavior of the coefficient function $x \rightarrow 1$



Back to duality

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- ▶ Realization of duality depends on z_{\max} :

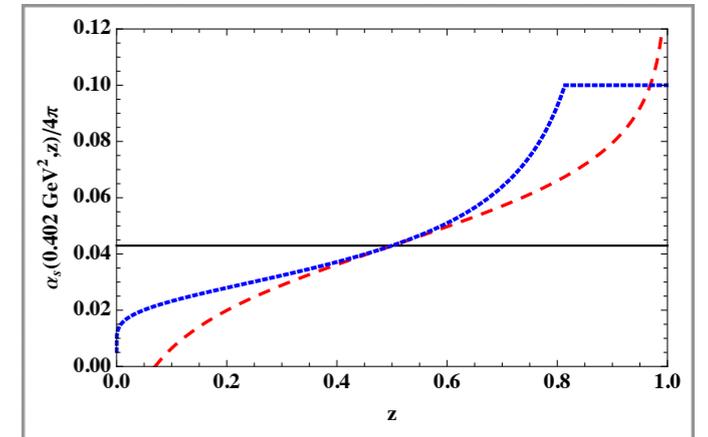


$$R^{\text{exp/th}}(z_{\max}, Q^2) = \frac{\int_{x_{\min}}^{x_{\max}} dx F_2^{\text{exp}}(x, Q^2)}{\int_{x_{\min}}^{x_{\max}} dx F_2^{NS, \text{Resum}}(x, z_{\max}, Q^2)} = \frac{I^{\text{exp}}}{I^{\text{Resum}}} = 1$$

Back to duality

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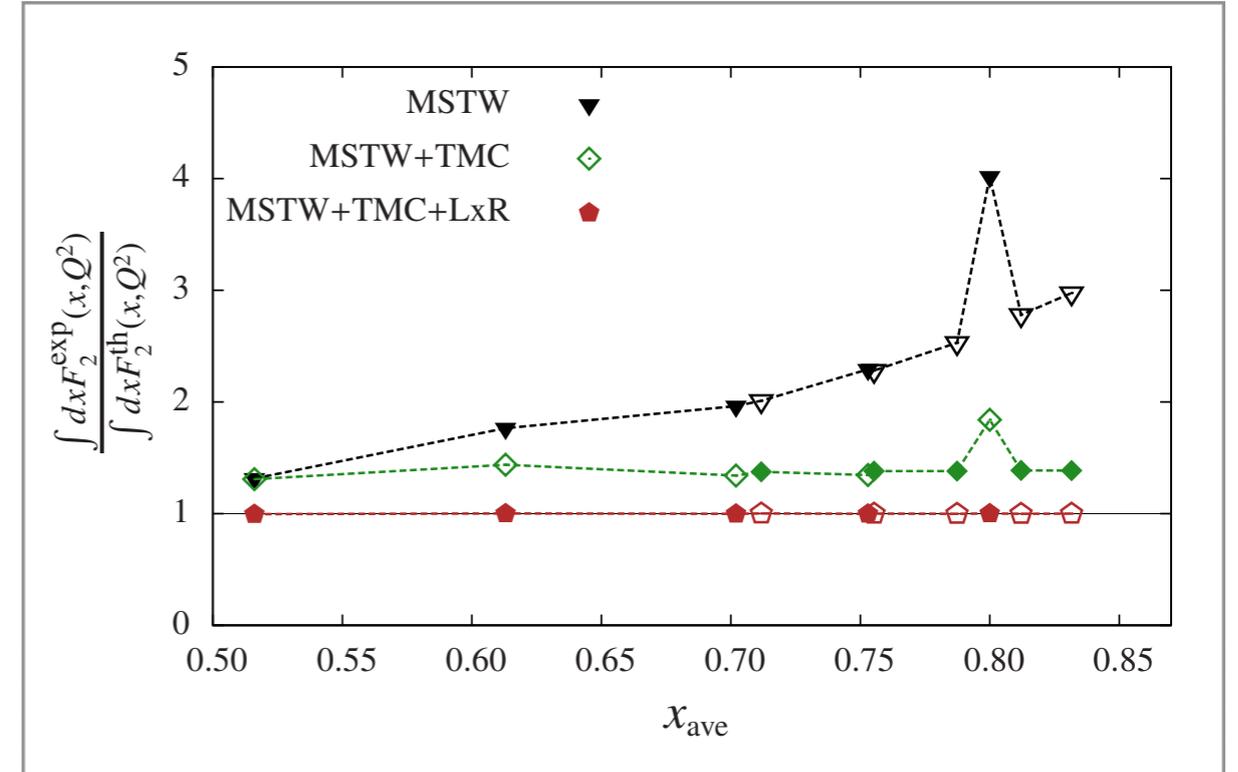
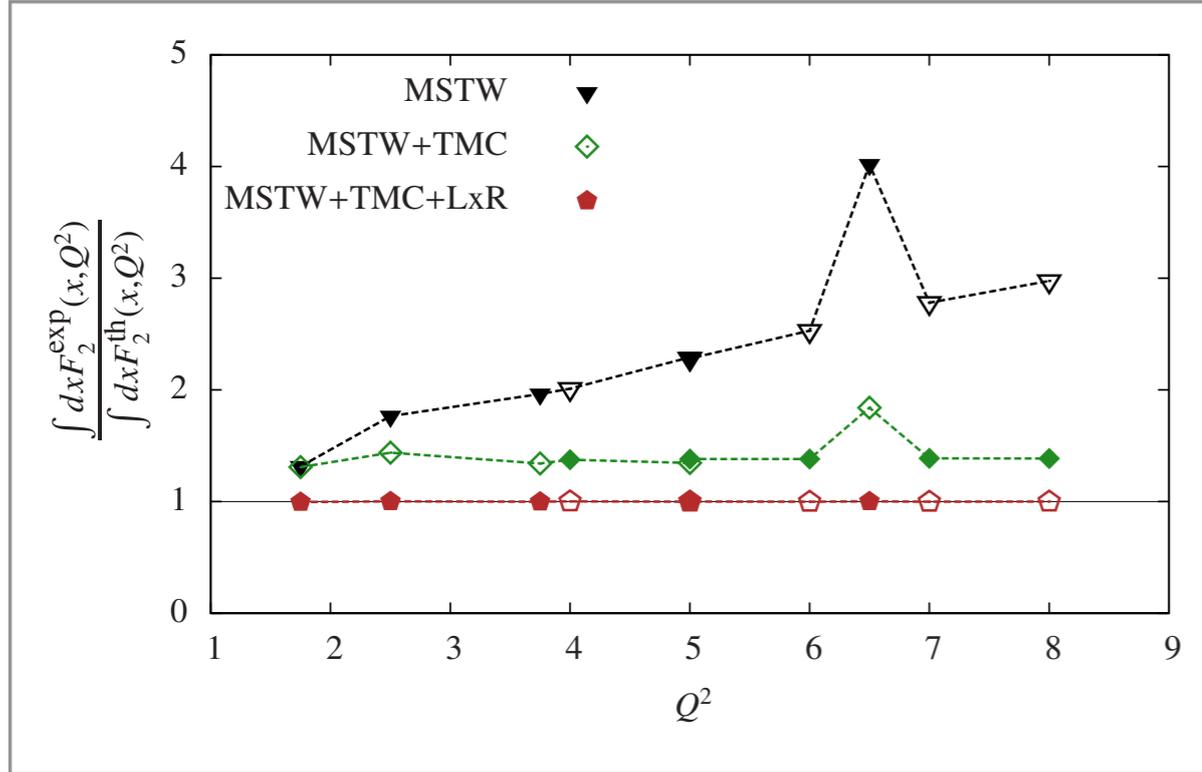
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- ▶ Adjust z_{\max} according to the data

Results



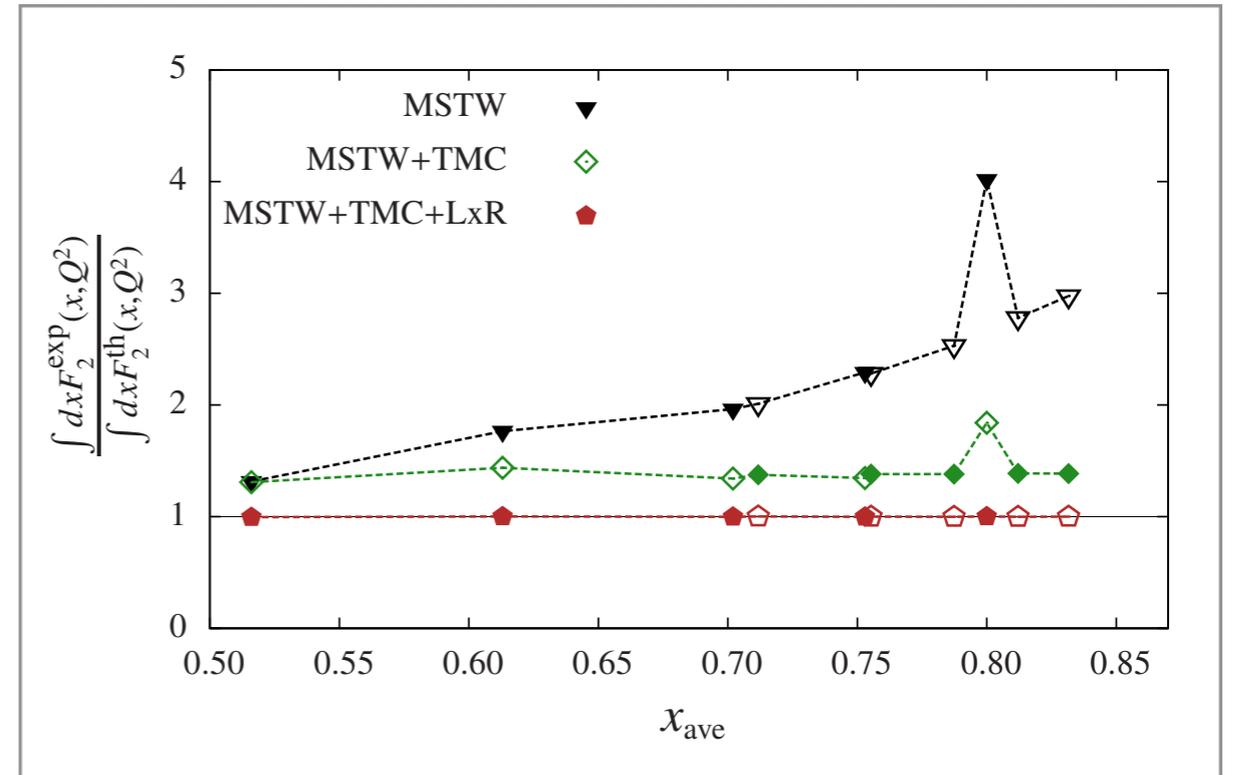
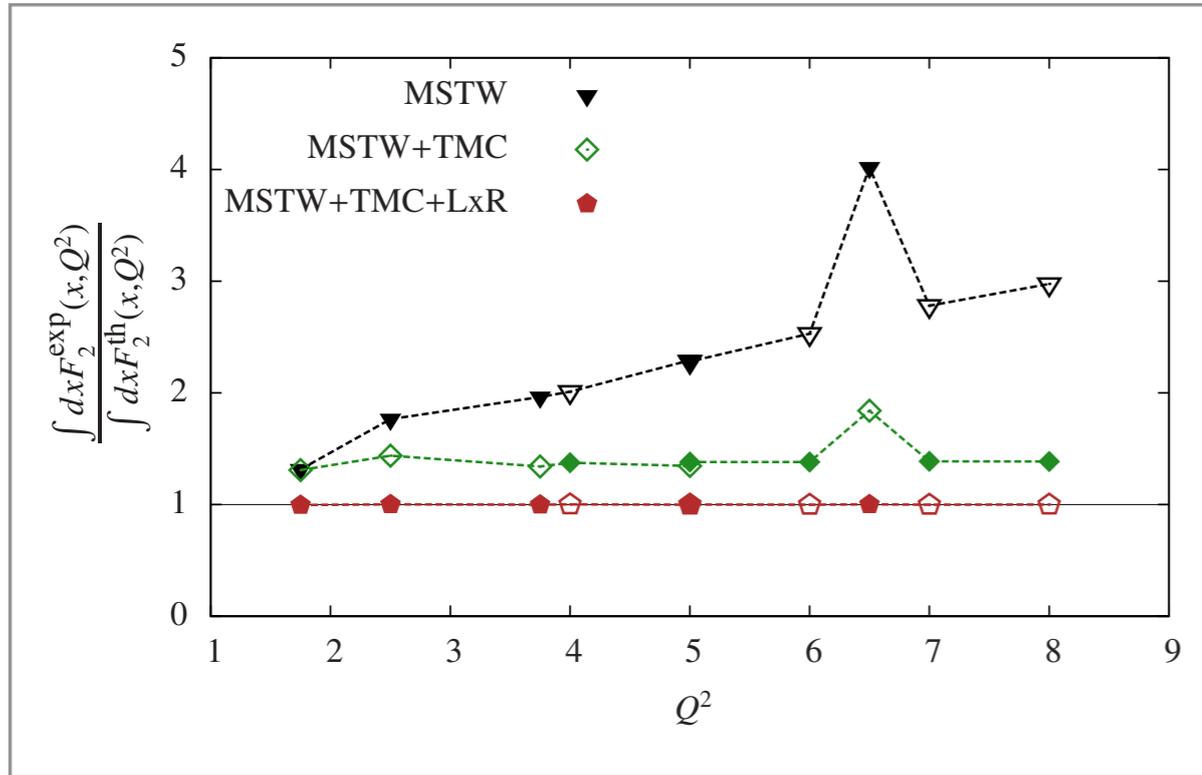
JLab data

SLAC data

Phys.Lett. B282

Q^2 [GeV ²]	$I^{\text{exp}}(Q^2)$	$I^{(0),\text{DIS}}(Q^2)$	$I^{(0),\text{DIS}+\text{TMC}}(Q^2)$	$I^{\text{Resum}}(z_{\text{max}}, Q^2)$	z_{max}
1.75	6.994×10^{-2}	5.316×10^{-2}	5.345×10^{-2}	7.025×10^{-2}	0.63
2.5	4.881×10^{-2}	2.765×10^{-2}	3.393×10^{-2}	4.872×10^{-2}	0.745
3.75	2.356×10^{-2}	1.201×10^{-2}	1.756×10^{-2}	2.359×10^{-2}	0.76
5.	1.267×10^{-2}	0.553×10^{-2}	0.942×10^{-2}	1.270×10^{-2}	0.79
6.5	0.685×10^{-2}	0.170×10^{-2}	0.372×10^{-2}	0.683×10^{-2}	0.9
4.	2.045×10^{-2}	1.017×10^{-2}	1.487×10^{-2}	2.041×10^{-2}	0.79
5.	1.255×10^{-2}	0.550×10^{-2}	0.909×10^{-2}	1.255×10^{-2}	0.811
6.	0.802×10^{-2}	0.317×10^{-2}	0.581×10^{-2}	0.803×10^{-2}	0.825
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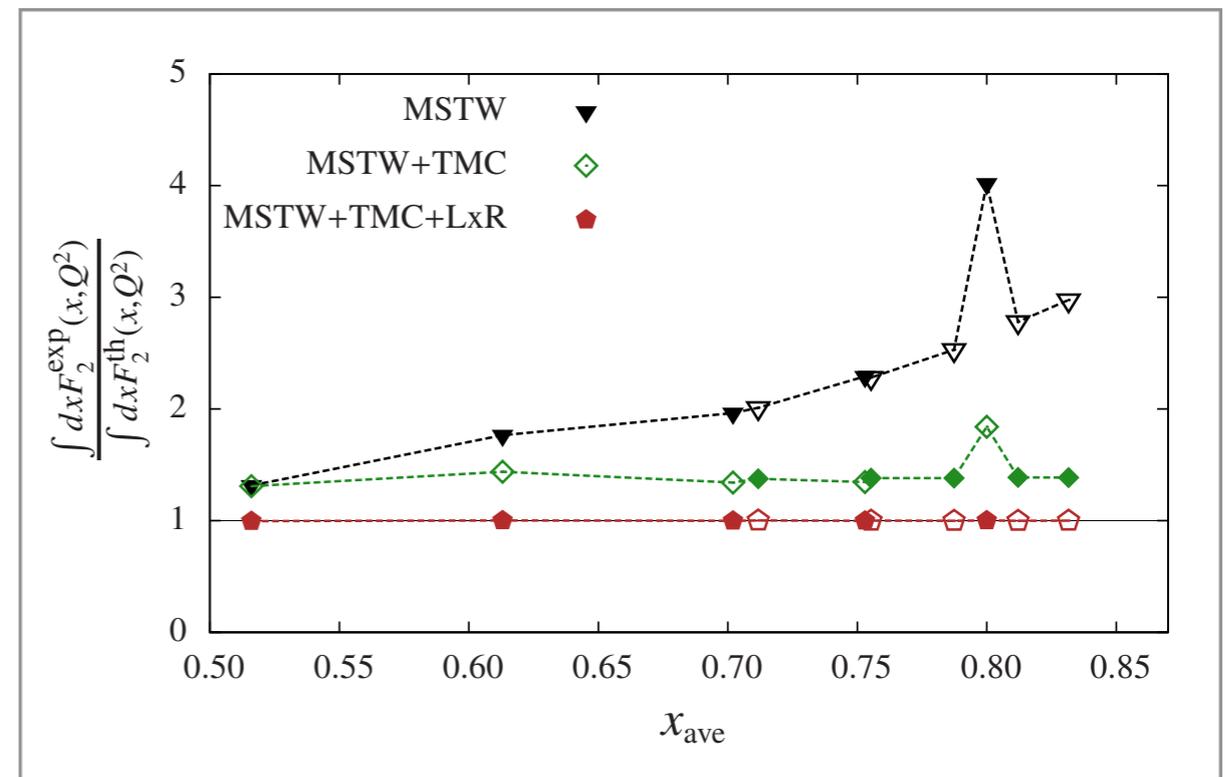
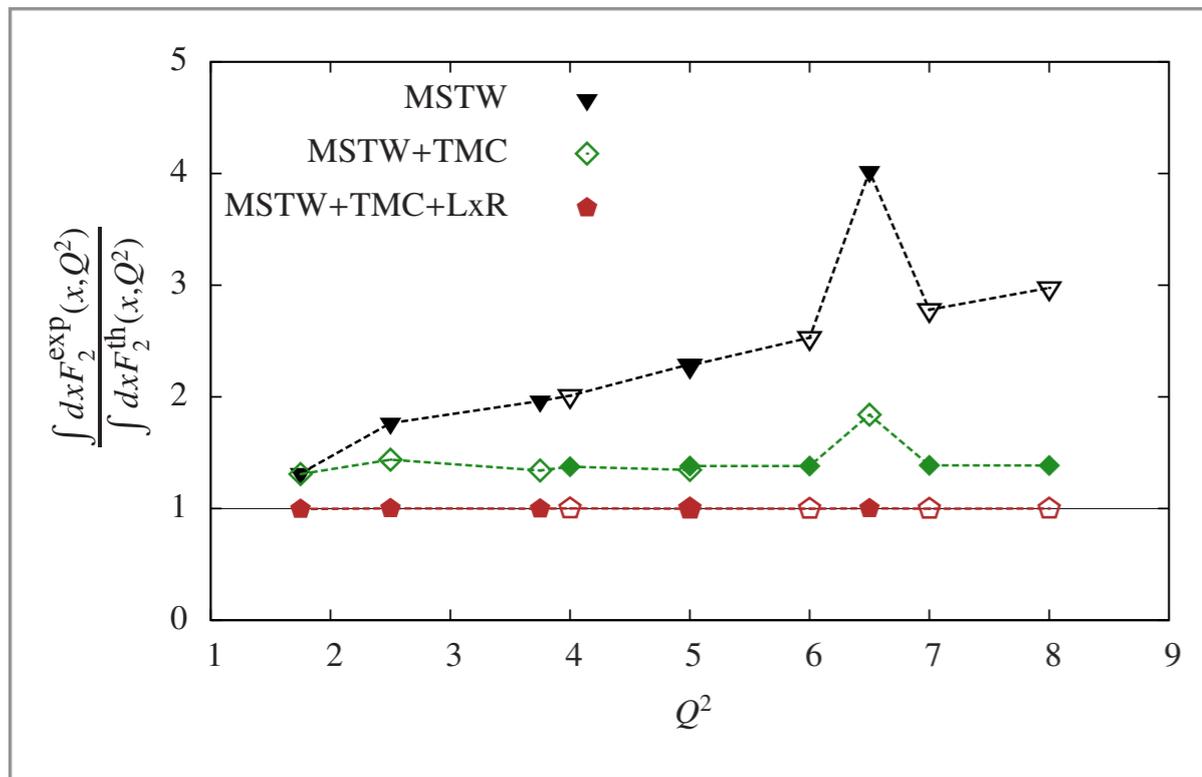
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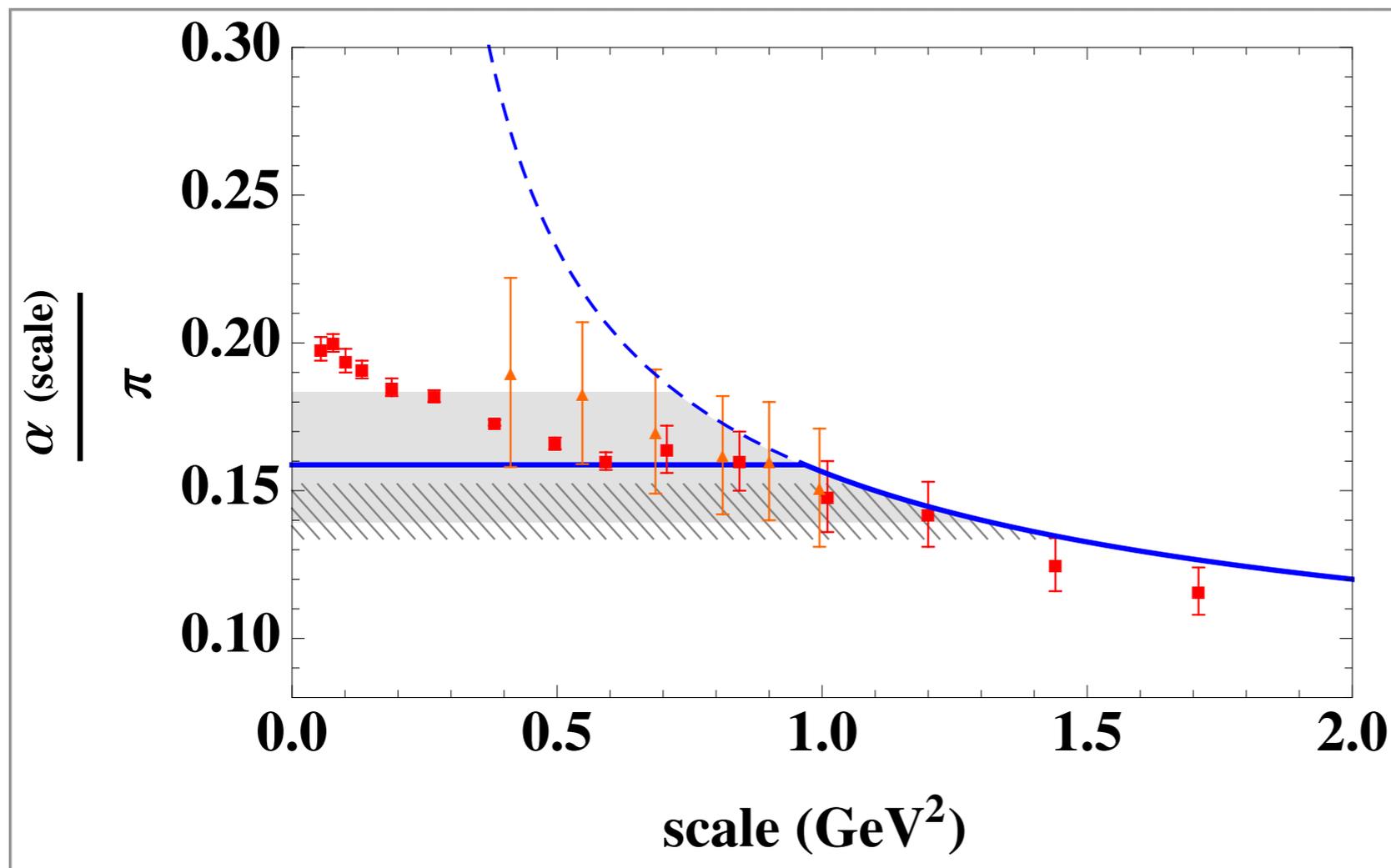
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?

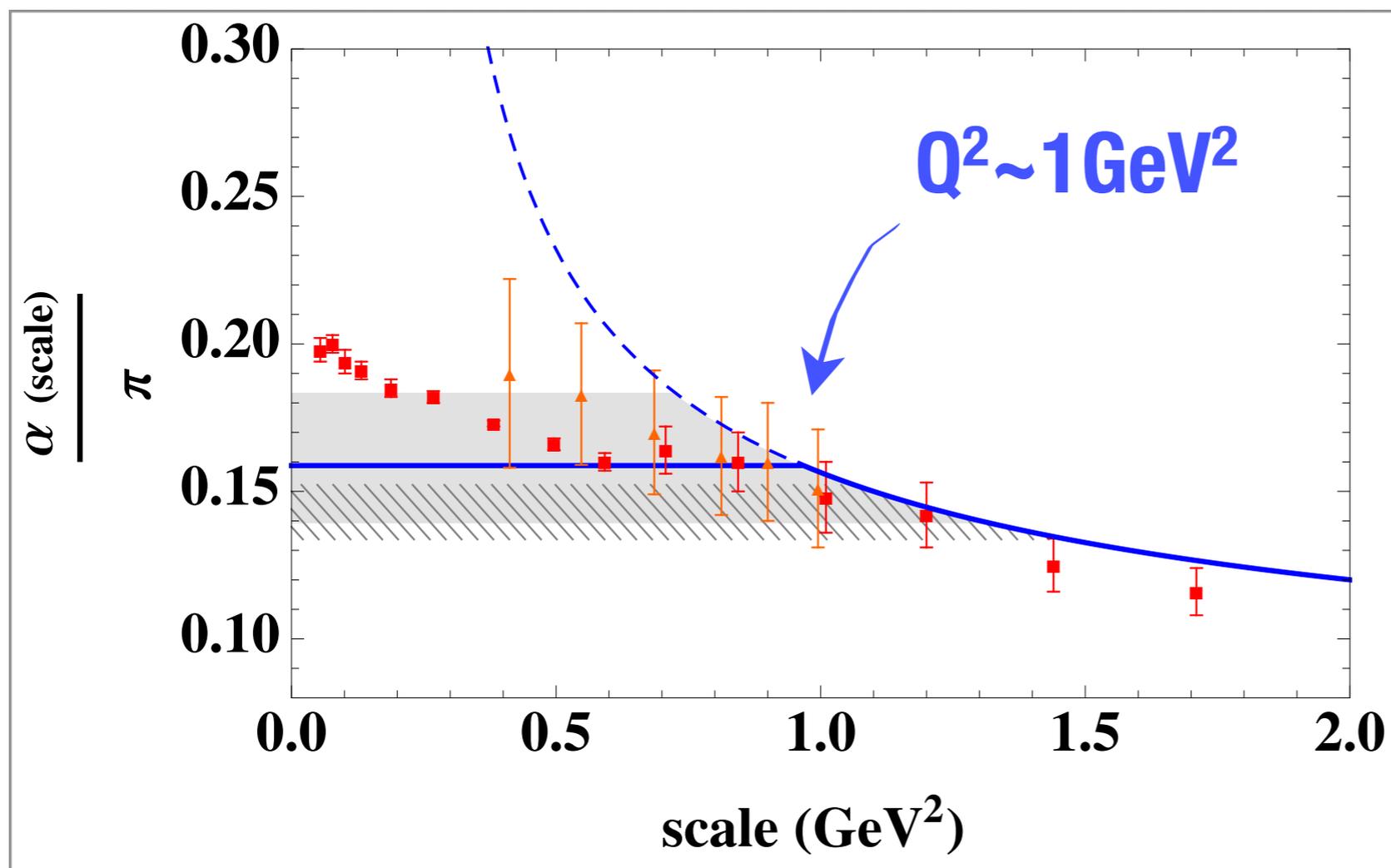
$$\alpha_s \left(Q^2 \frac{(1-z)}{z} \right)$$

Effective behavior of α_s



- Our extraction α_s
- - - Exact NLO α_s
- Error band z_{max} JLab
- ▨ Error band z_{max} SLAC
- Hall B CLAS EG1b Bjorken SR
- ▲ Hall B CLAS EG1a
Hall A E94010 Bjorken SR

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Possible twist-3 effects

- ▶ Higher twist effects are expected to dominate at $x \rightarrow 1$
- ▶ de Rújula et al: **Duality means suppression of higher-twist**
- ▶ **Intricate rôle of higher-twist at the frontier with NP QCD**
 - compatibility with confinement?
- ▶ Here: all the **nonperturbative** effects into α_s
 - smooth transition from perturbative to nonperturbative physics

Conclusions and more

- ▶ **Analysis of the Bloom-Gilman duality in perturbative QCD**
- ▶ **Parametrized by the freezing of the running coupling constant**
- ▶ **$\alpha_s (Q^2 < 1 \text{ GeV}^2) / \pi = 0.1588$**

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Go deeper into the Qualitative analysis

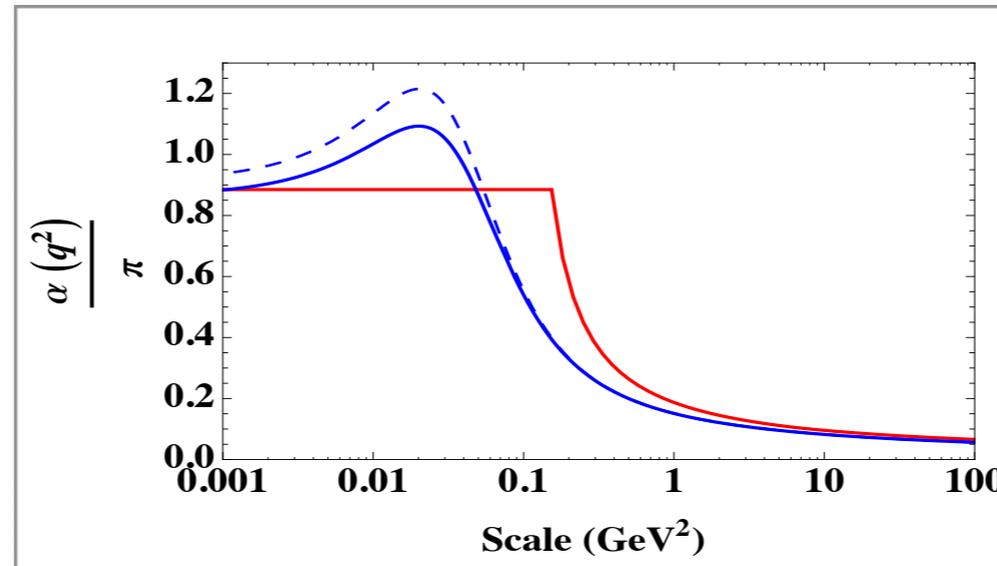
- **from pQCD: systematic study of all input PDF sets**
 - ▶ **Self Organizing Map analysis of PDF with/without Large-x physics**
 - ▶ **UVa: S. Liuti and E. Askanazi and D. Day**
- **from NP QCD: systematic study of different approaches to effective charge**

Nonperturbative QCD coupling from Phenomenology

Joint analysis: Chen, Courtoy, Deur, Liuti & Vento

Nonperturbative Coupling Constant & LxR

How we go further : Nonperturbative Coupling Constant from DSE



Cornwall α_s^{NP}

3-4 free parameters

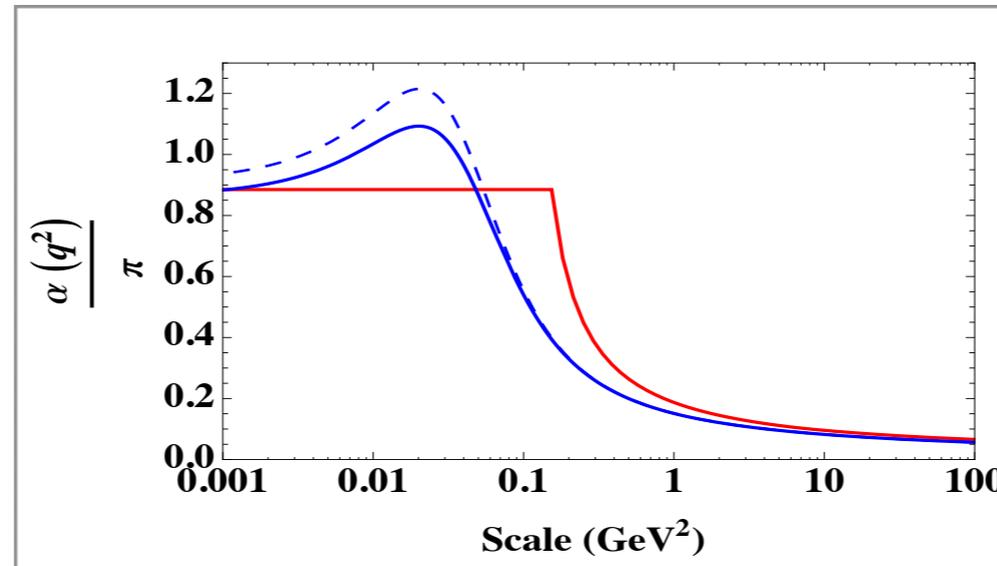
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- Include in LxR
- Apply with Shirkov and Fischer effective coupling as well

[Courtoy, Liuti & Vento, in progress]

Nonperturbative Coupling Constant & LxR

How we go further : Nonperturbative Coupling Constant from DSE



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[Courtoy, Liuti & Vento, in progress]

▶ How to relate the coupling constant?

- ▶ Commensurate Scale Relations?

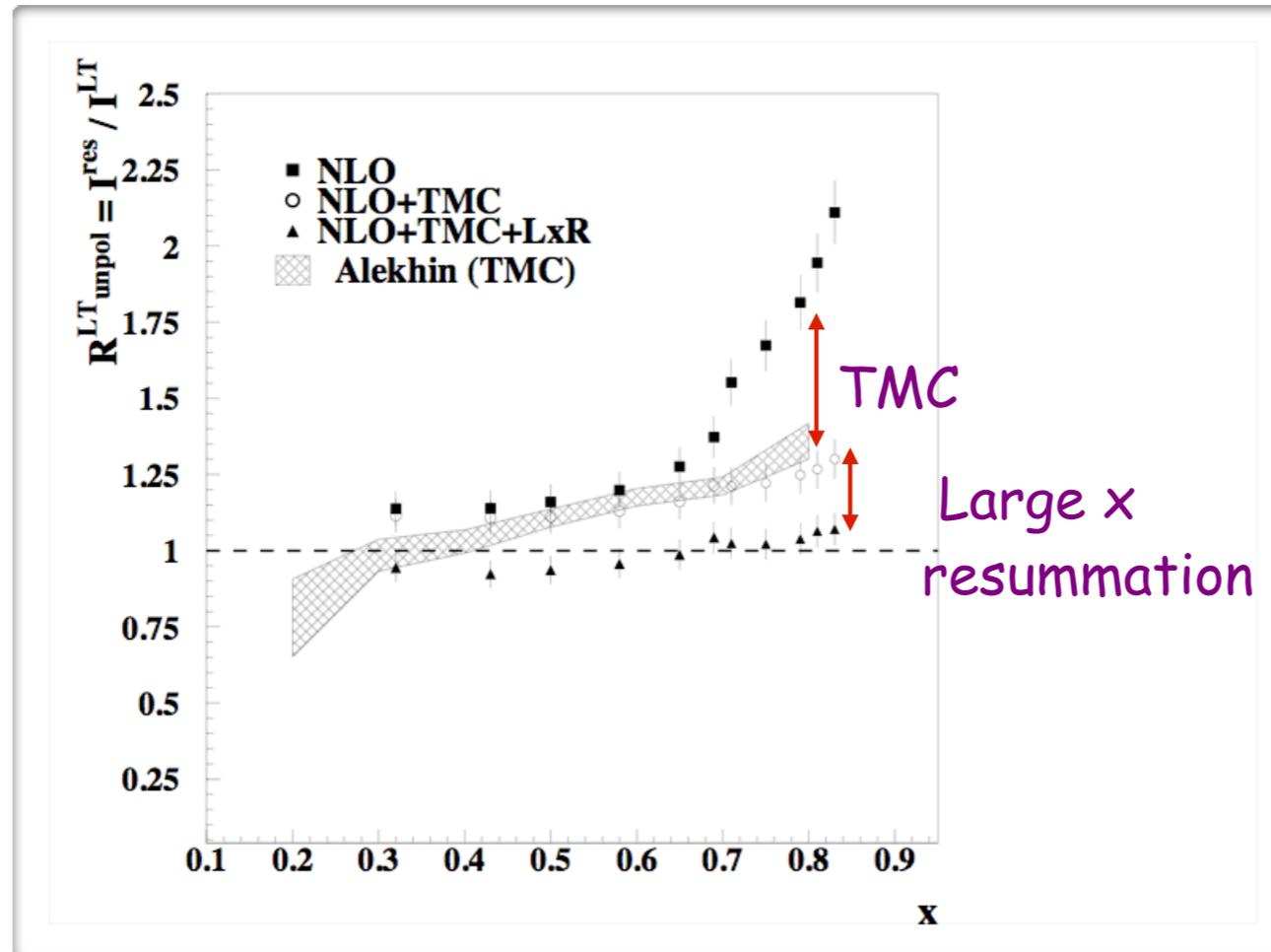
[Brodsky & Lu, Phys. Rev. D251]

- ▶ RG-improved perturbation theory?

[Grunberg, Phys. Rev. D29]

Backup

Size of Nonperturbative Contributions

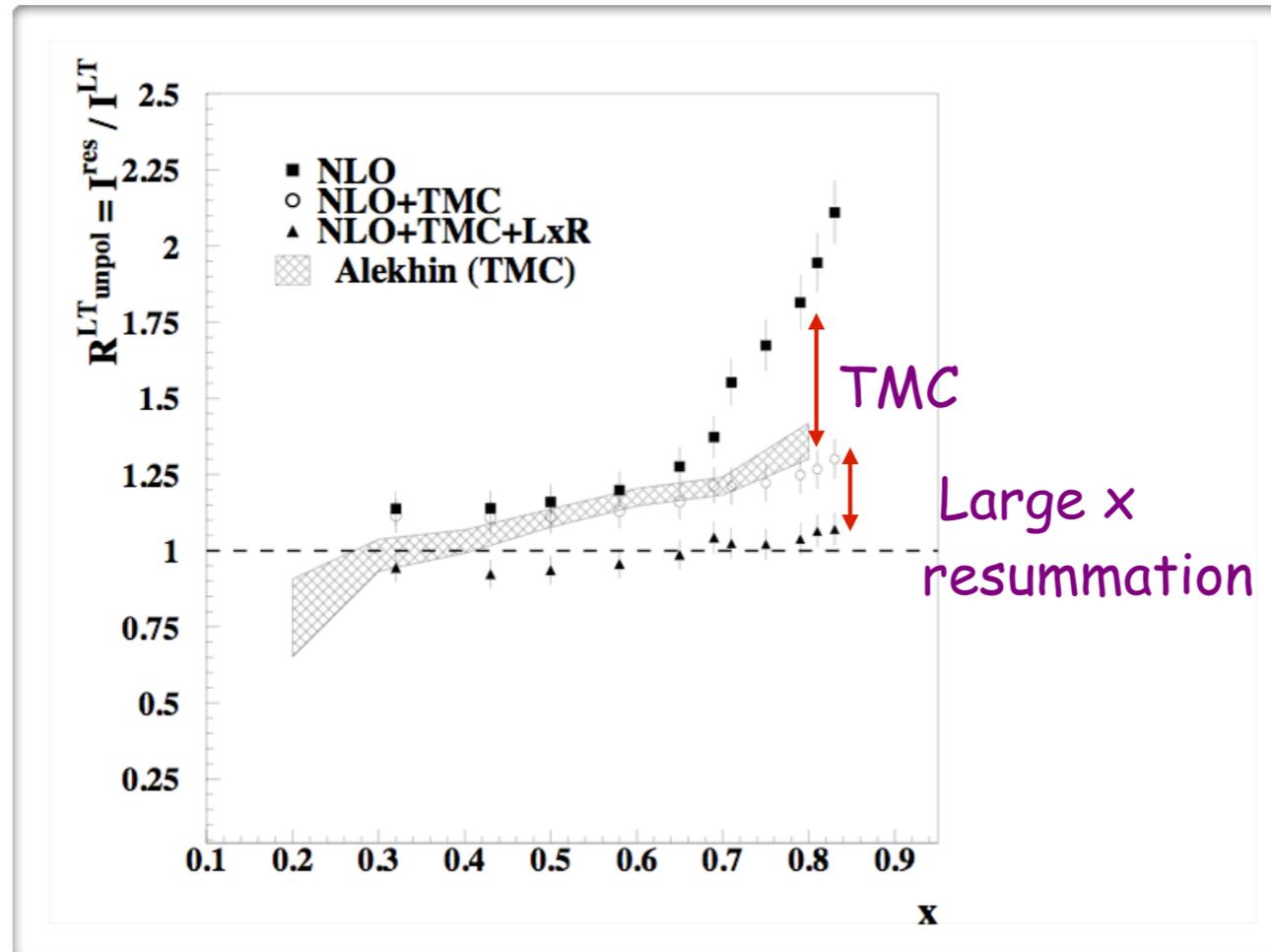


[Niculescu et al., PRD60]

[Bianchi, Fantoni & Liuti, PRD69]

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Size of Nonperturbative Contributions



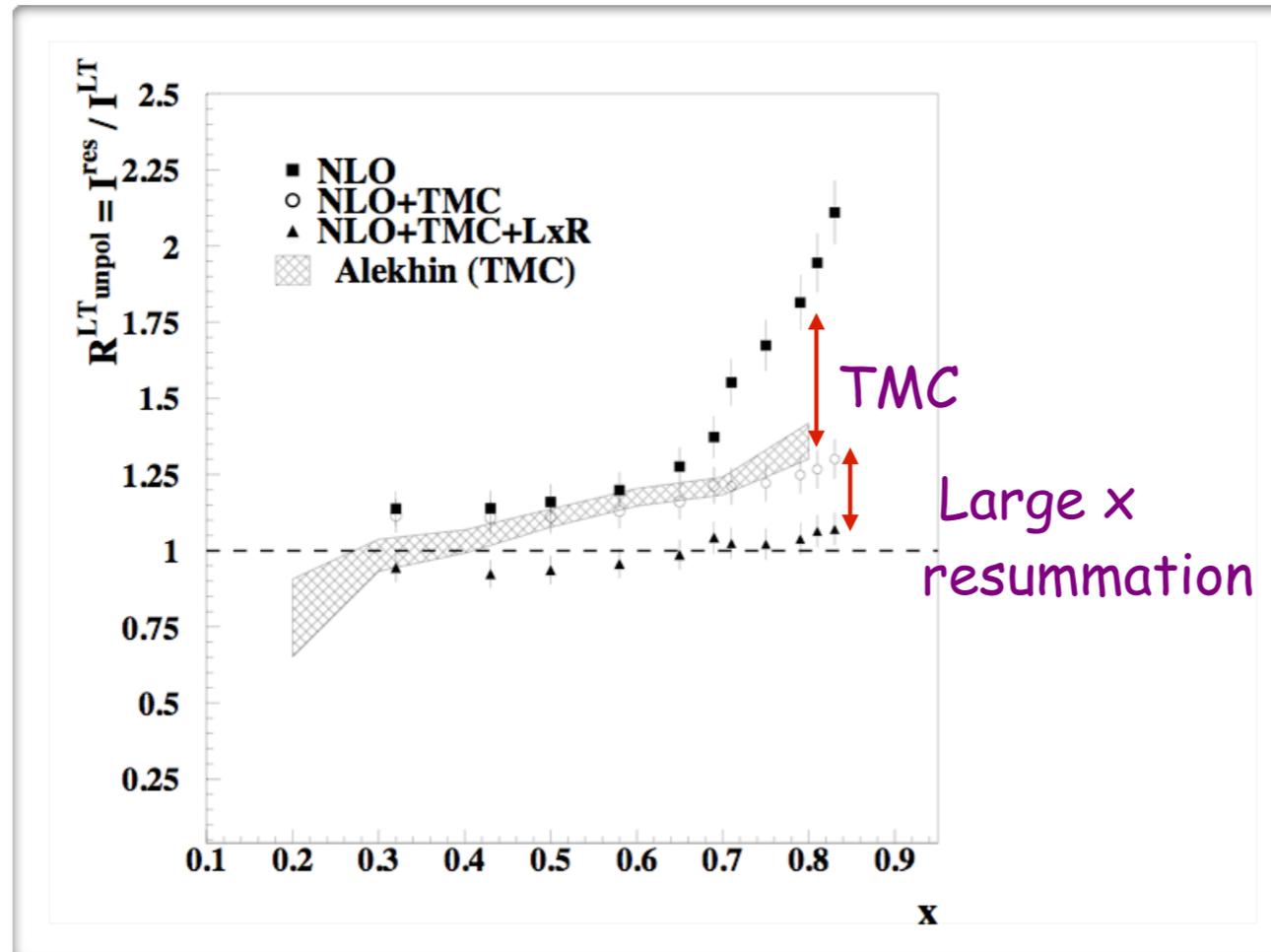
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$$\Leftarrow \text{LxR sensitive to } \alpha_s$$

Size of Nonperturbative Contributions



[Niculescu et al., PRD60]

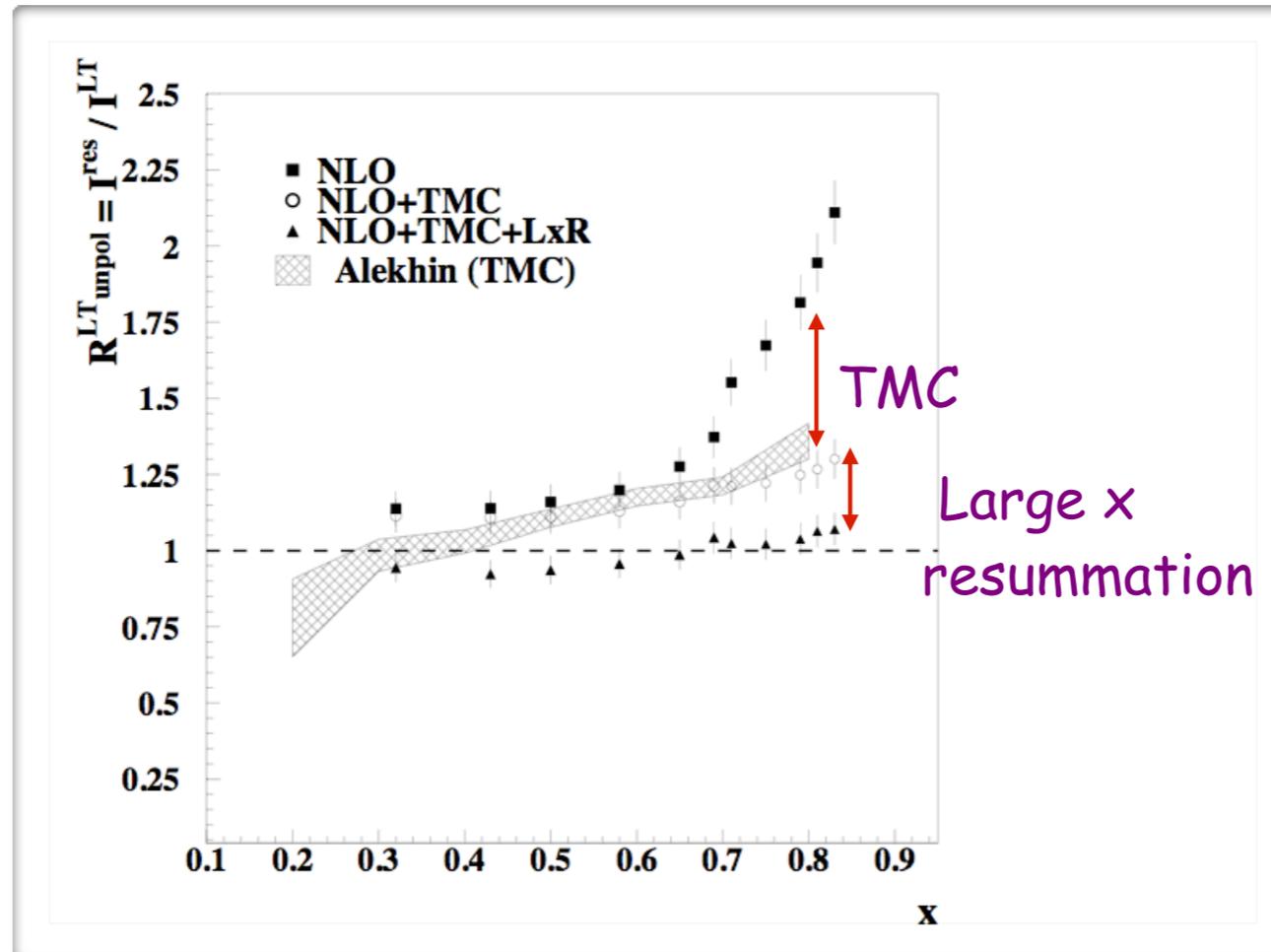
[Bianchi, Fantoni & Liuti, PRD69]

$$R \equiv \frac{I^{\text{res}}(Q^2)}{I^{\text{DIS}}(Q^2)}$$

⇔ Duality fulfilled if $R=1$

⇐ LxR sensitive to α_s

Size of Nonperturbative Contributions



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$$R \equiv \frac{I^{\text{res}}(Q^2)}{I^{\text{DIS}}(Q^2)}$$

⇔ Duality fulfilled if R=1

⇐ LxR sensitive to α_s



New JLab data has been analyzed (P. Monaghan)

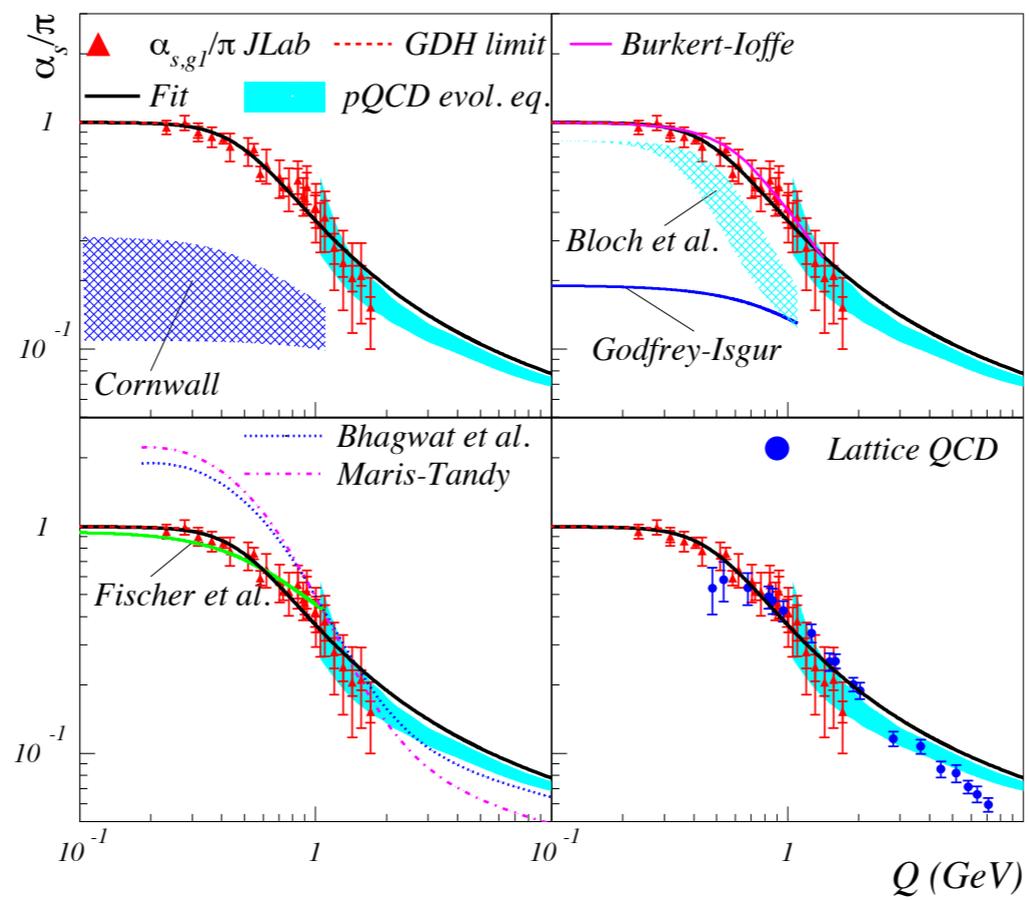


FIGURE 5. The effective coupling $\alpha_{s,g1}$ extracted from JLab data, its fit, and its extraction using the Burkert and Ioffe [24] model to obtain Γ_1^{p-n} . The α_s calculations are: Top left: Schwinger-Dyson equations (Cornwall [35]); Top right: Schwinger-Dyson equations (Bloch) [36] and α_s used in a quark constituent model [37]; Bottom left: Schwinger-Dyson equations (Maris-Tandy [38]), Fischer, Alkofer, Reinhardt and Von Smekal [39] and Bhagwat et al. [40]; Bottom right: Lattice QCD [41].

LxR

The functional form \ln_{LxR} is therefore slightly changed. Two distinct regions can be studied: the “running” behavior in $x < z < z_{\text{max}}$ and the “steady” behavior $z_{\text{max}} < z < 1$,

$$F_2^{NS, \text{Resum}}(x, z_{\text{max}}, Q^2) = xq(x, Q^2) + \frac{\alpha_s}{4\pi} \sum_q \left\{ \int_x^1 dz \left[B_{\text{NS}}^q(z) - \hat{P}_{qq}^{(0)}(z) \ln(1-z) \right] \right. \\ \left. + \int_x^{z_{\text{max}}} dz \hat{P}_{qq}^{(0)}(z) \ln_{\text{LxR}} + \ln_{\text{LxR}, \text{max}} \int_{z_{\text{max}}}^1 dz \hat{P}_{qq}^{(0)}(z) \right\} \frac{x}{z} q\left(\frac{x}{z}, Q^2\right).$$

Extraction of α_s at low energy

- Polarized scattering from both proton and neutron

Deur et al. Phys.Lett. B650 (2007) 244-248

Natale, PoS QCD-TNT09 (2009) 031

Bjorken Sum Rule from JLab & GDH Sum Rule at $Q^2=0$ GeV²

- Deep Inelastic Scattering (DIS) at large Bjorken-x & parton-hadron duality

Liuti, [arXiv:1101.5303 [hep-ph]].

- Semi-Inclusive DIS & Extraction of T-odd TMDs from SSAs

A.C., Vento & Scopetta, Eur. Phys. J. A47, 49 (2011)

Joint analysis: Chen, Courtoy, Deur, Liuti & Vento

Nonperturbative Gluon Propagator

Solving the Schwinger-Dyson eqs ...

$$\Delta^{-1}(Q^2) = Q^2 + m^2(Q^2)$$

J. M. Cornwall, Phys. Rev. D26, 1453 (1982)

A. C. Aguilar and J. Papavassiliou, JHEP0612, 012 (2006)

$$m^2(Q^2) = m_0^2 \left[\ln \left(\frac{Q^2 + \rho m_0^2}{\Lambda^2} \right) / \ln \left(\frac{\rho m_0^2}{\Lambda^2} \right) \right]^{-1-\gamma}$$

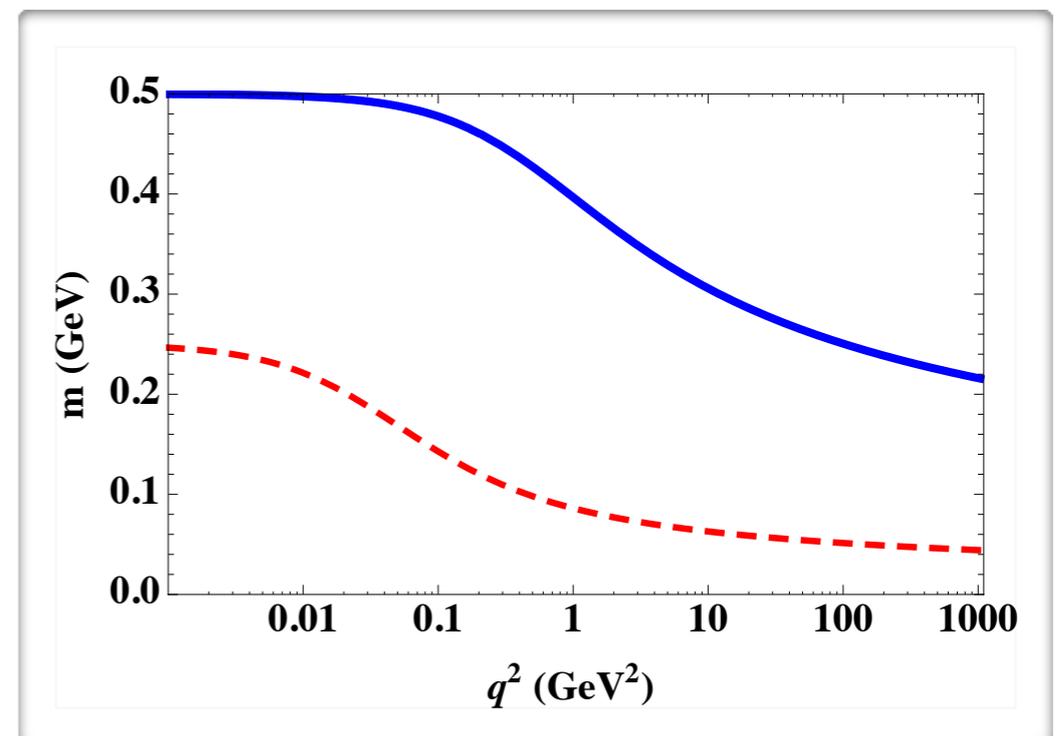
Gluon Mass as IR Regulator

- **effective gluon mass**
phenomenological estimates

$$m_0 \sim \Lambda - 2\Lambda$$

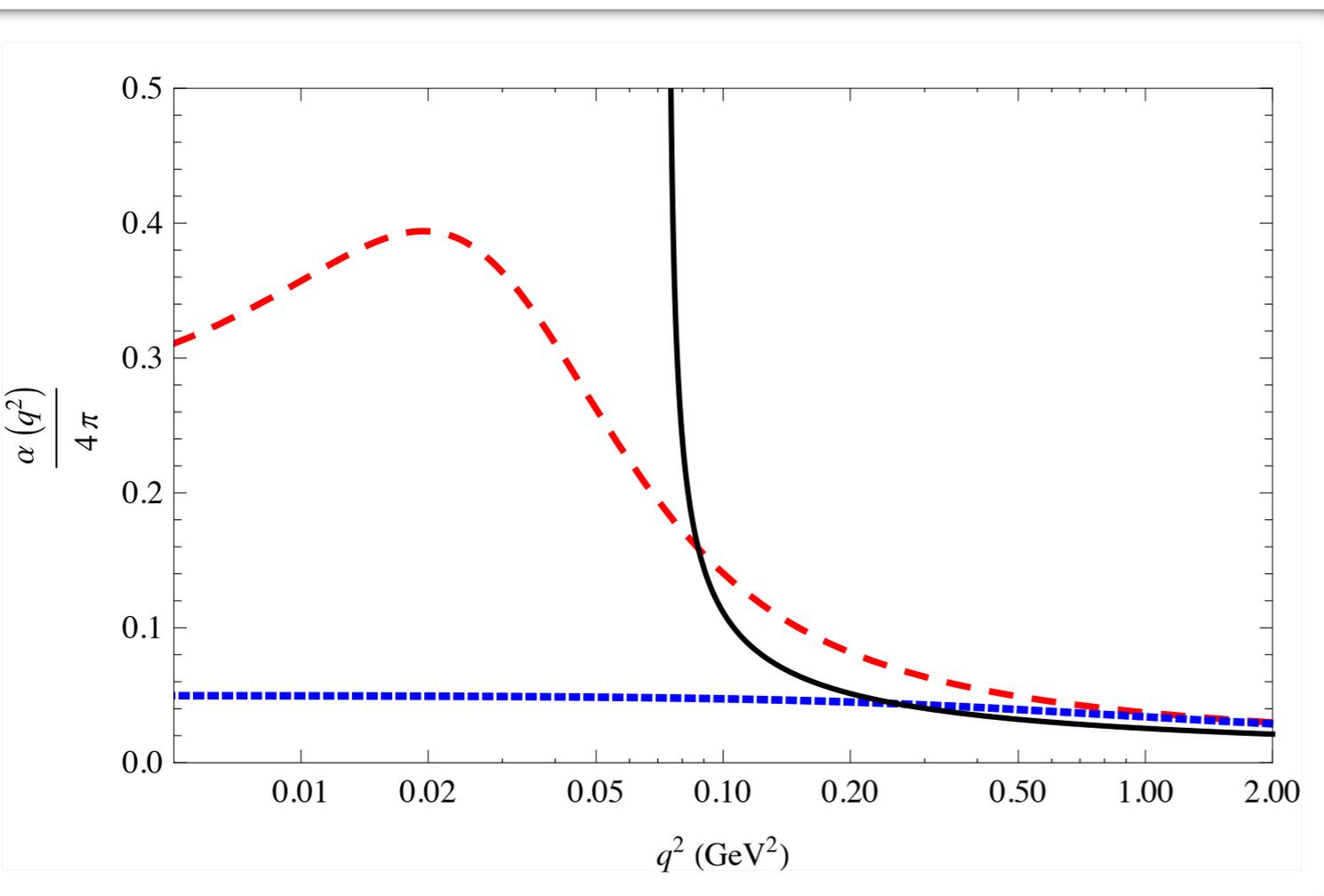
- **Solution free of Landau pole**
- **Freezes in the IR**

Low mass scenario
High mass scenario



NP Momentum-dependence of the Coupling Constant

$$\frac{\alpha_{\text{NP}}(Q^2)}{4\pi} = \left[\beta_0 \ln \left(\frac{Q^2 + \rho m^2(Q^2)}{\Lambda^2} \right) \right]^{-1}$$



NLO exact perturbative evolution
 $\Lambda=250$ MeV ; \overline{MS} scheme

Low mass scenario NP coupling constant
 $m_0=250$ MeV ; $\Lambda=250$ MeV ; $\rho=1.5$

High mass scenario NP coupling constant
 $m_0=500$ MeV ; $\Lambda=250$ MeV ; $\rho=2$.