



# Spin Structure of the Deuteron from the CLAS/EG1b Data

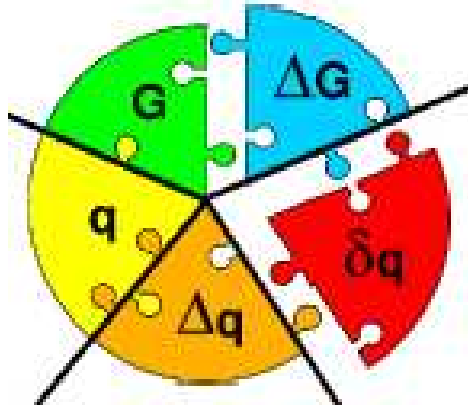
*Nevzat Guler (the CLAS Collaboration)  
Old Dominion University*

## OUTLINE

- ☐ Formalism
- ☐ Experimental setup
- ☐ Data analysis
- ☐ Results
- ☐ Parameterizations
- ☐ Conclusion



# Motivation



$$S_N = \frac{1}{2} = \frac{1}{2} \Delta\Sigma + \Delta G + L_q + L_G$$

## Quark helicity distribution:

$$\Delta\Sigma \equiv \Delta u + \Delta d + \Delta s + \bar{\Delta u} + \bar{\Delta d} + \bar{\Delta s}$$

$$(\Delta\Sigma \sim 0.2 - 0.3)$$

Simple quark model with relativistic corrections predicts  $\Delta\Sigma \sim 60\%$ . Experimental results for  $\Delta\Sigma$  is generally around  $30\% \pm 10\%$ . Large range of possible values require more precise measurements, possibly by using electron-ion colliders.

## Gluon spin distribution:

$$\Delta G$$

topic of active investigation

## Orbital angular momenta of quark and gluon:

$$L_q + L_G$$

Can be tackled using GPDs and SIDIS (active at JLab)





# Motivation

- ❑ Study valence quark distribution at large  $x$  Bjorken.
- ❑ Study  $Q^2$  evolution, higher twist contributions, and ChPT limit of sum rules.
- ❑ Better understand duality.
- ❑ Learn about resonant structure of the nucleon.
- ❑ Contribute to our knowledge of  $\Delta\Sigma$  and  $\Delta G$  by providing a low  $Q^2$  anchor point for DGLAP analysis.
- ❑ In particular for deuteron: Check neutron SSFs from  $^3\text{He}$  data to assess nuclear model dependence.



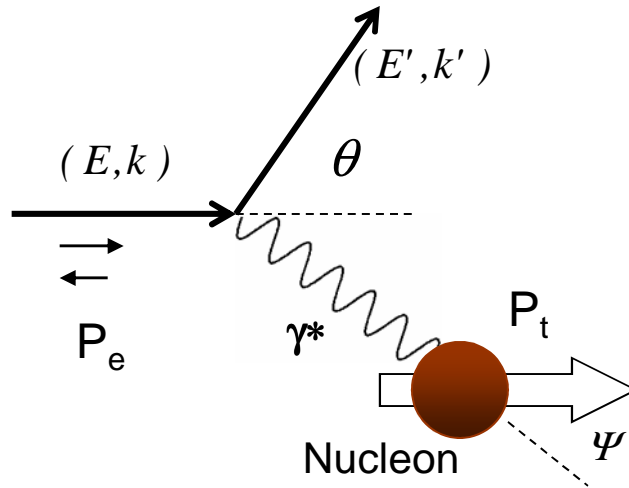


# Experimental Goals

- ❑ Measuring the double spin asymmetry for the proton and the deuteron in a very interesting kinematic range that covers the resonance region and extends into the DIS region: from hadronic to quark-gluon degrees of freedom.
- ❑ Calculating the virtual photon asymmetry  $A_1$  and the longitudinal spin structure function  $g_1$  as well as the moments of  $g_1$ .
- ❑ Determining  $Q^2$  evolution of the structure functions and their moments. Compare to the predictions by OPE and  $\chi$ PT and other phenomenological models. Two ends of the kinematic region are constrained by two very important sum-rules: GDH sum rule at  $Q^2 = 0$  and Bjorken sum rule at high  $Q^2$ .
- ❑ Extracting neutron spin structure function from the combined proton and deuteron data.



# Lepton – Nucleon Scattering



## Kinematics

$$\nu = E - E'$$

$$Q^2 = 4EE' \sin^2\left(\frac{\theta}{2}\right)$$

$$W^2 = M^2 + 2M\nu - Q^2$$

$$x = \frac{Q^2}{2M\nu}$$

- When an electron scatters from a nucleon, it transfers energy and momentum.
- Theoretically, the interaction occurs by the exchange of a *virtual photon* with energy  $\nu = E - E'$  and four-momentum  $Q^2$  (virtuality).
- The interaction can be investigated in different regimes according to the transferred energy and momentum.



# Lepton – Nucleon Scattering

## (Quasi -) Elastic Region:

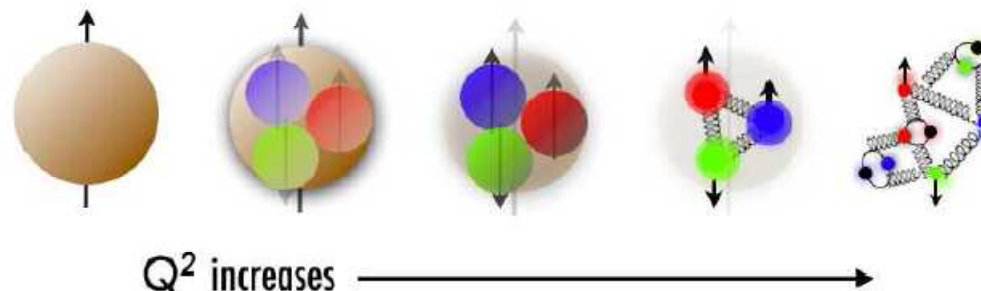
The transferred energy is small (not enough energy to create inner excitations).

## Resonance Region:

Excited states inside the nucleon (resonances) will be created when the transferred energy is large enough. The mass of a resonance state can be found by  $W^2 = (p + q)^2$ .

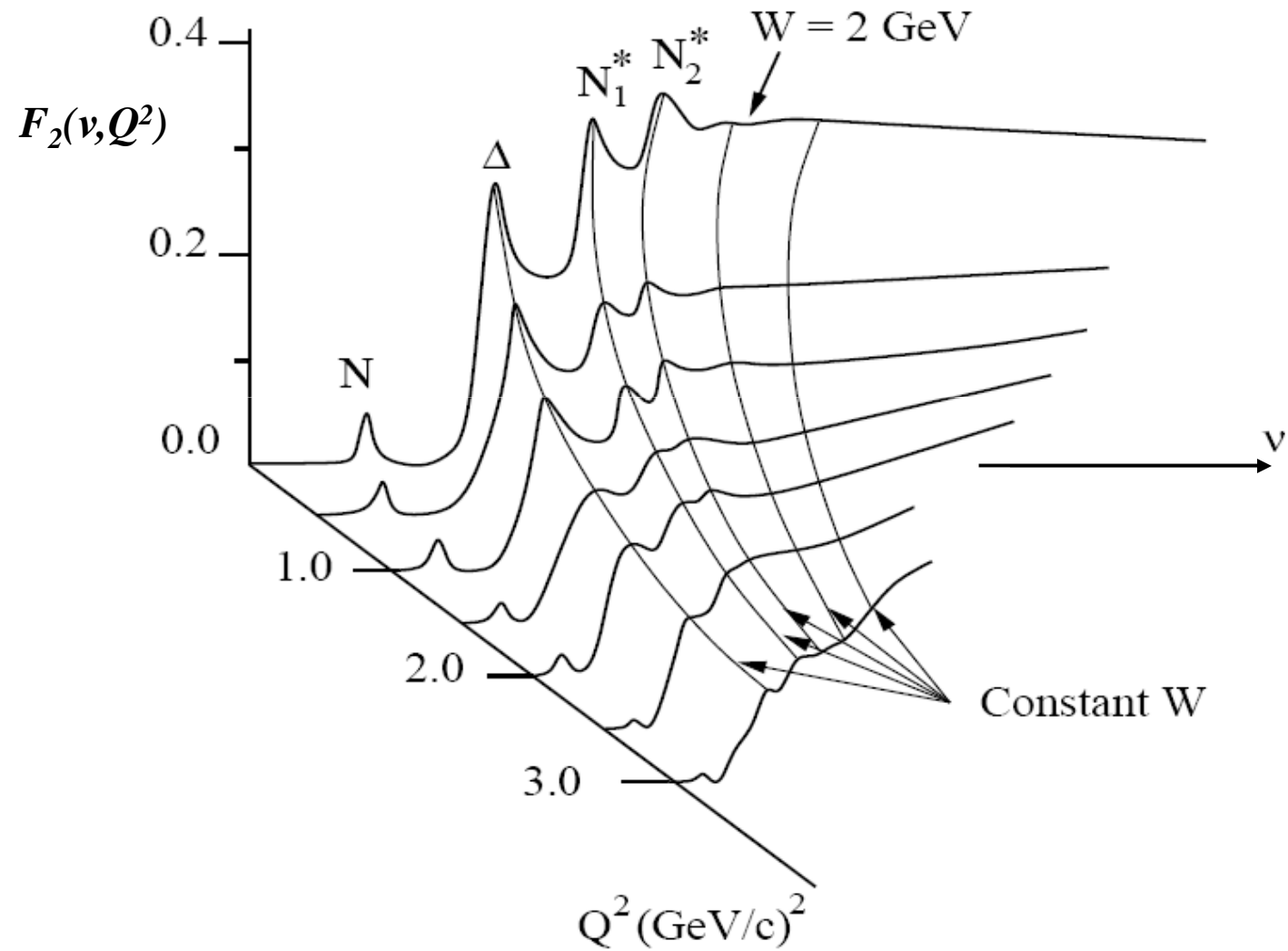
## DIS Region:

At high energies, the virtual photon can interact with individual partons inside the nucleon. The nucleon breaks apart into pieces and new hadronic states are created.





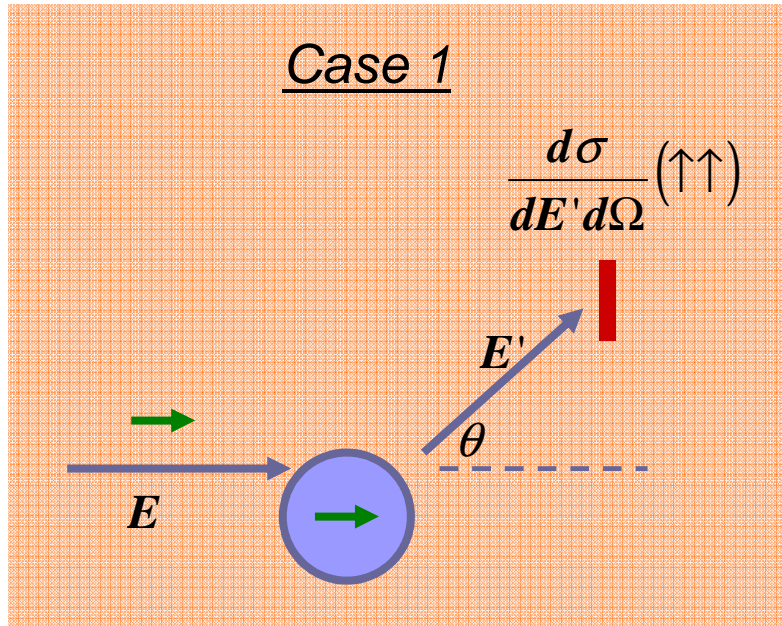
# Lepton – Nucleon Scattering



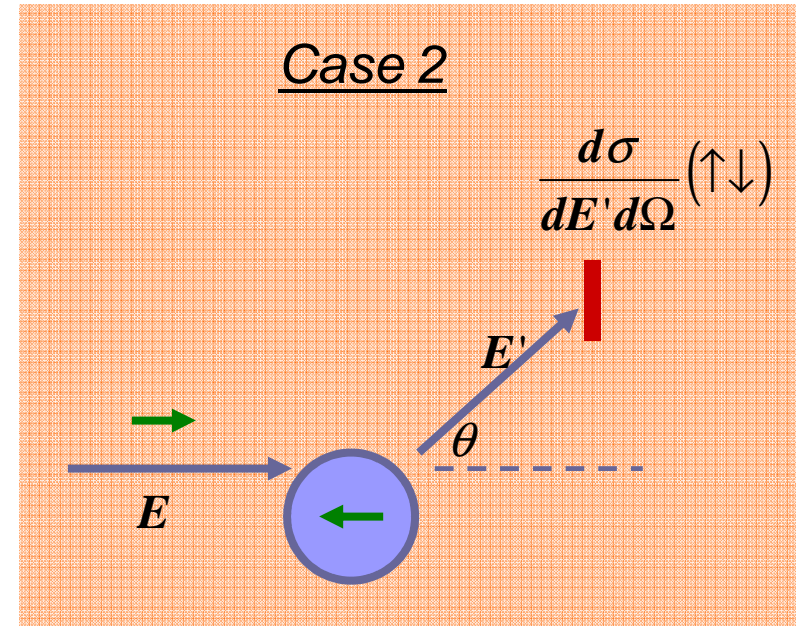


# Double polarized inclusive electron scattering

Case 1



Case 2

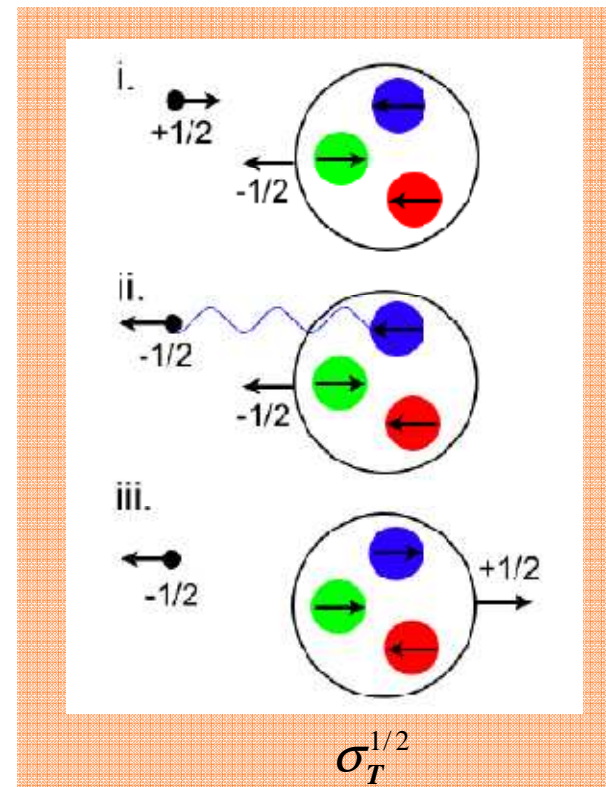
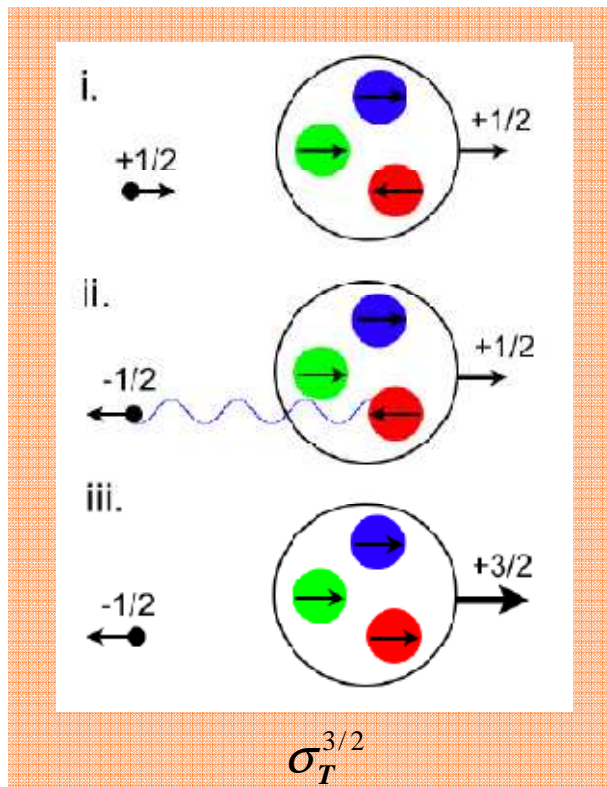


$$A_{\parallel} = \frac{\frac{d\sigma}{dE' d\Omega}(\uparrow\downarrow) - \frac{d\sigma}{dE' d\Omega}(\uparrow\uparrow)}{\frac{d\sigma}{dE' d\Omega}(\uparrow\downarrow) + \frac{d\sigma}{dE' d\Omega}(\uparrow\uparrow)}$$



# Virtual Photon Asymmetries

In the scaling region at large  $Q^2$  (kinematic region where you begin to see individual quarks as point particles), quarks may be considered almost free (by the asymptotic freedom..), so the transversely polarized virtual photon interacts with the individual quarks, which are also polarized the same or opposite to the proton's spin. The 1/2 or the 3/2 are the spins of the possible final states



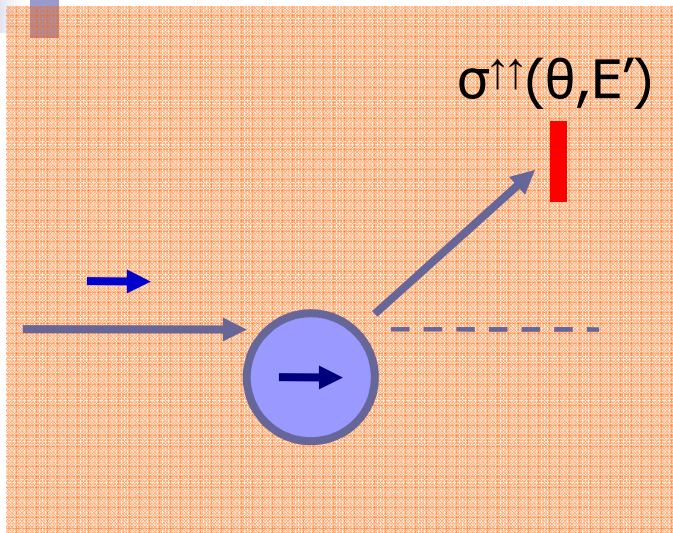
virtual photon  
asymmetries

$$A_1 = \frac{\sigma_T^{1/2} - \sigma_T^{3/2}}{\sigma_T^{1/2} + \sigma_T^{3/2}}$$

$$A_2 = \frac{2\sigma_{LT}}{\sigma_T^{1/2} + \sigma_T^{3/2}}$$

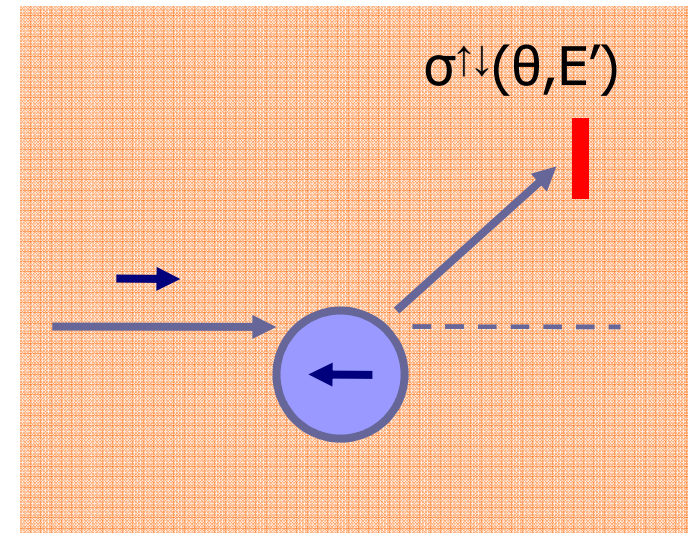


# Double polarized inclusive electron scattering



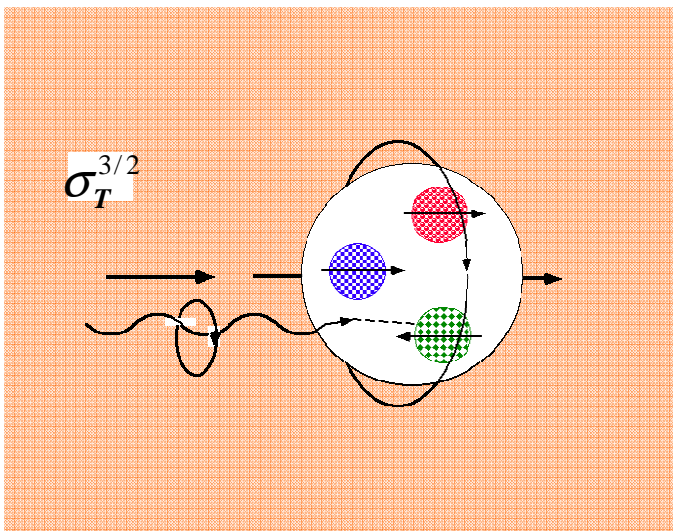
measurable

$$A_{//} = \frac{\sigma^{\uparrow\downarrow} - \sigma^{\uparrow\uparrow}}{\sigma^{\uparrow\downarrow} + \sigma^{\uparrow\uparrow}}$$



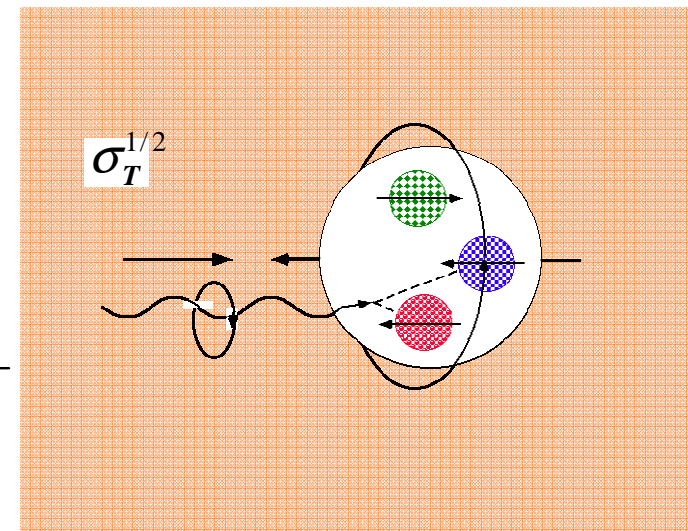
EXPERIMENT

THEORY



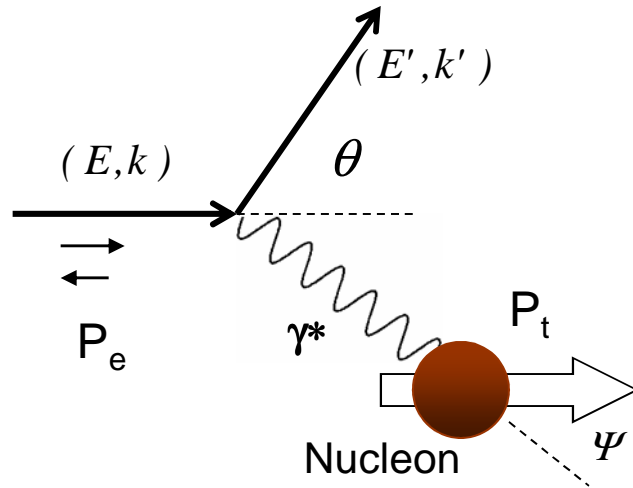
$$A_1 = \frac{\sigma_T^{1/2} - \sigma_T^{3/2}}{\sigma_T^{1/2} + \sigma_T^{3/2}}$$

$$A_2 = \frac{2\sigma_{LT}}{\sigma_T^{1/2} + \sigma_T^{3/2}}$$





# Double polarized inclusive electron scattering



*Kinematics*

$$\nu = E - E'$$

$$Q^2 = 4EE' \sin^2\left(\frac{\theta}{2}\right)$$

$$W^2 = M^2 + 2M\nu - Q^2$$

$$x = \frac{Q^2}{2M\nu}$$

selected  
kinematical  
variables

Cross section can be expressed in terms of the virtual photon asymmetries  $A_1$  and  $A_2$ .

$$\frac{d\sigma}{dE' d\Omega} = \Gamma_\nu \left[ \sigma_T + \varepsilon \sigma_L + P_e P_t \left( \sqrt{1 - \varepsilon^2} A_1 \sigma_T \cos \theta + \sqrt{2\varepsilon(1 - \varepsilon)} A_2 \sigma_T \sin \theta \right) \right]$$

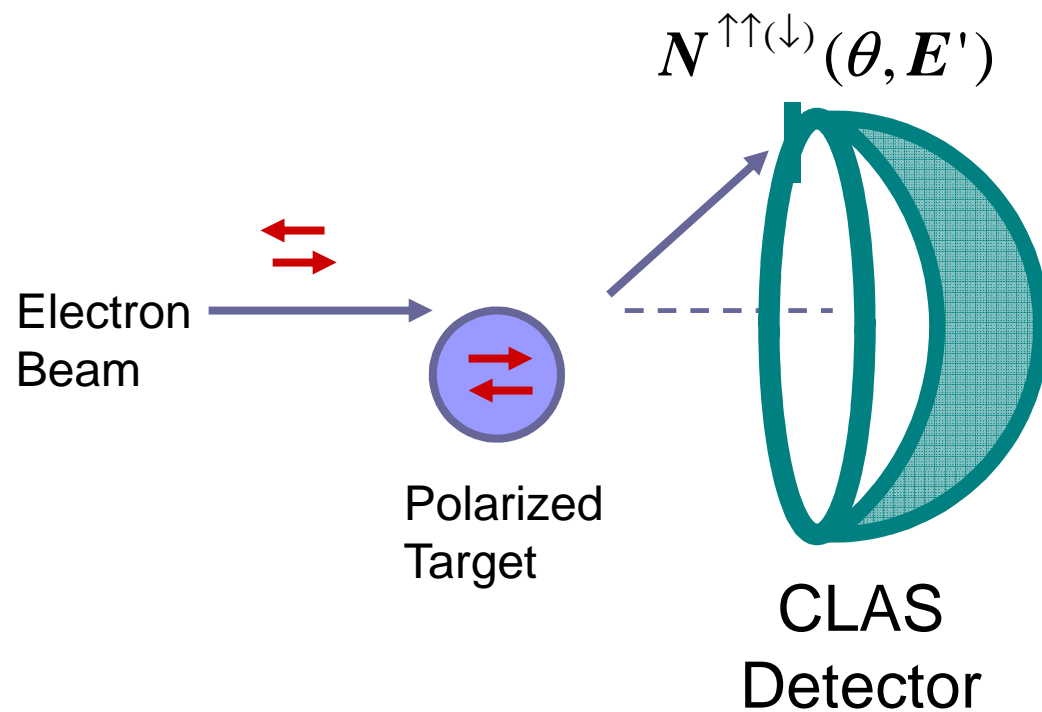
$$A_{||} = \frac{\frac{d\sigma}{dE' d\Omega}(\uparrow\downarrow) - \frac{d\sigma}{dE' d\Omega}(\uparrow\uparrow)}{\frac{d\sigma}{dE' d\Omega}(\uparrow\downarrow) + \frac{d\sigma}{dE' d\Omega}(\uparrow\uparrow)} = D(A_1 + \eta A_2)$$

$$D = \frac{1 - E'\varepsilon/E}{1 + \varepsilon R} \quad \text{where} \quad R = \frac{\sigma_L}{\sigma_T}$$

$$\eta = \frac{\varepsilon \sqrt{Q^2}}{E - E'\varepsilon}$$



# Detector



We need to collect huge number of events to get precision results.

Use azimuthal symmetry

Collect data for different kinematics.



# Asymmetry Analysis

❑ raw asymmetry from normalized counts

$$A_{raw} = \frac{N^+ / Q^+ - N^- / Q^-}{N^+ / Q^+ + N^- / Q^-}$$

❑ double spin asymmetry

$$A_{\parallel} = \frac{C_1}{f_{RC}} \left( \frac{A_{raw}}{F_D P_b P_t} C_{back} - C_2 \right) + A_{RC}$$

❑ virtual photon asymmetry

$$A_1 = \frac{A_{\parallel}}{D} - \eta A_2 \text{ model}$$

$$D = \frac{1 - E'\epsilon/E}{1 + \epsilon R}; \quad \eta = \frac{\epsilon \sqrt{Q^2}}{E - E'\epsilon}; \quad R = \frac{\sigma_L}{\sigma_T} \text{ model}$$

❑ spin structure function

$$g_1(x, Q^2) = \frac{\nu^2}{Q^2} F_1(x, Q^2) \left( A_1(x, Q^2) + \frac{Q}{\nu} A_2(x, Q^2) \right) \text{ model}$$



# Kinematics

- 12 Different Configurations for Input Beam Energy (MeV) and Magnetic Field (+ or – torus current):

1606+ 1606- 1723- 2286+  
2561+ 2561- 4238+ 4238-  
5615- 5725+ 5725- 5743-

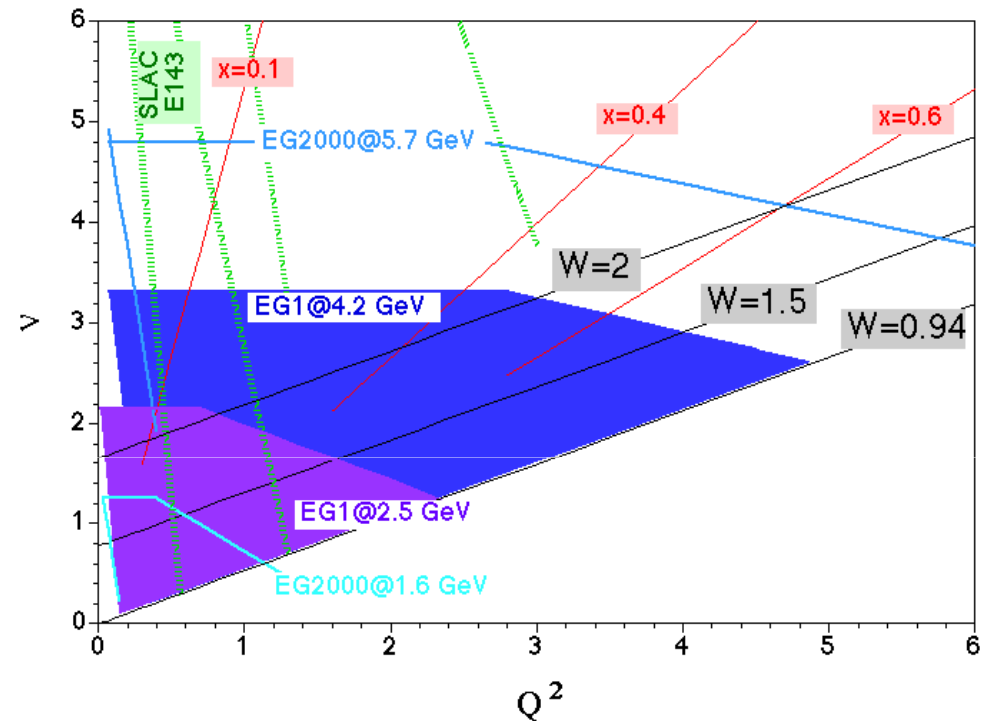
- 5 Different Targets:

NH<sub>3</sub>, ND<sub>3</sub>, C<sub>12</sub>, N<sub>15</sub> and Empty

- 23 billion events

1.6 and 5.7 GeV data has been analyzed before and results were published.

Now also analyzed the full data with addition of 2.5 and 4.2 GeV data.



$0.05 < Q^2 < 5.0 \text{ GeV}^2$  in 39 bins

$W < 3.0 \text{ GeV}$  in 10 MeV 300 bins

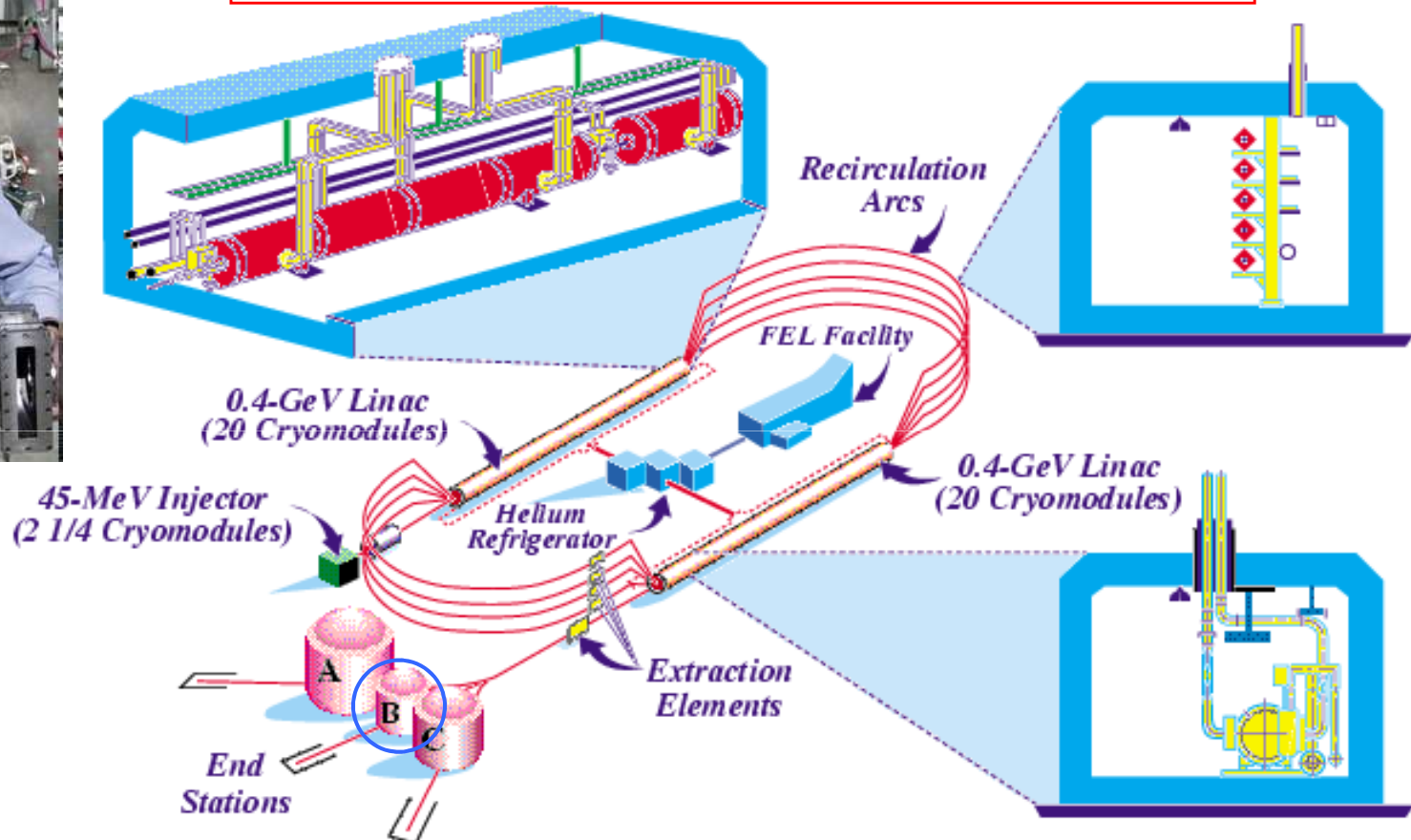


# Jefferson Lab



Gwyn Williams  
holding a 5-cell cavity.

## Continuous Electron Beam Accelerator Facility

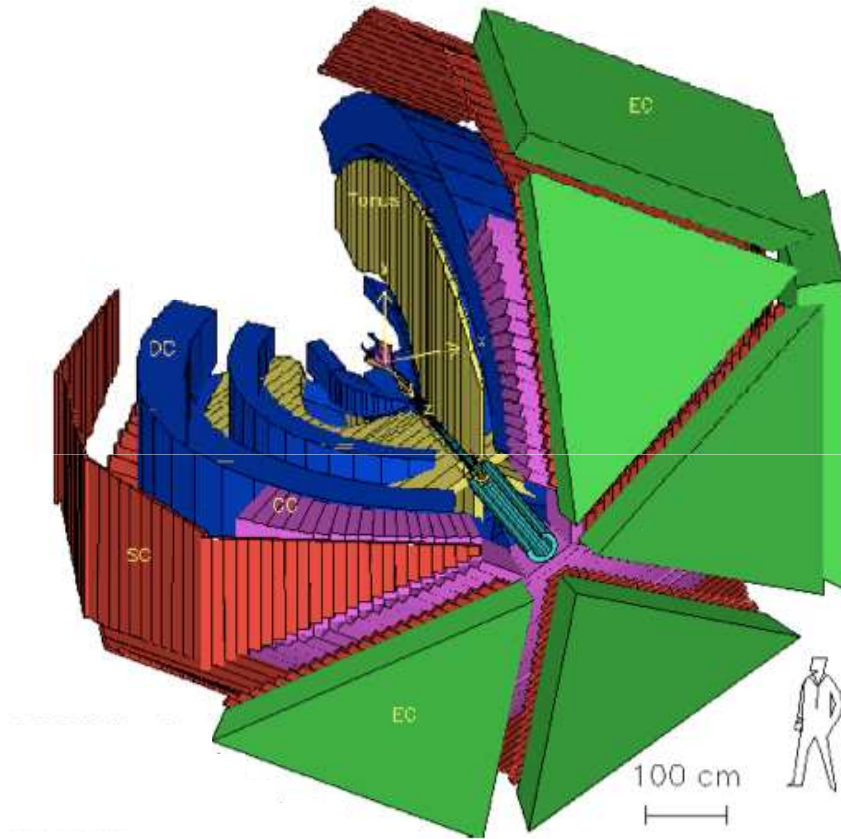


- Each Cryomodule is made by 8 SRF cavities
- Polarized electrons from gallium arsenide (GaAs) cathode
- Energies from 800 MeV up to 5.8 GeV
- Typical beam polarization  $\sim 80\%$
- Beam is delivered to three experimental halls in consecutive bunches.



# CLAS Detector

CEBAF Large Acceptance Spectrometer



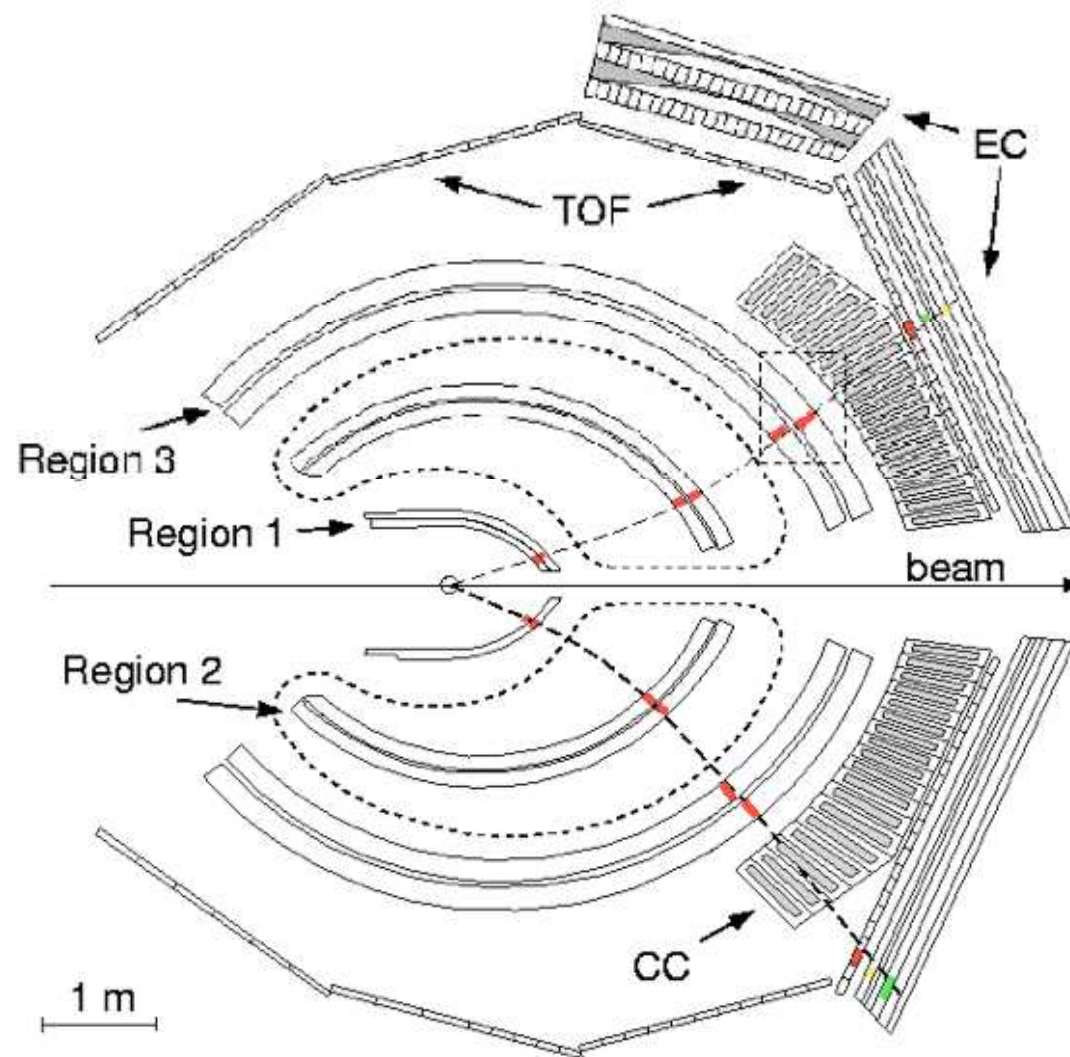
Investigation of quark-gluon structure of the nucleon  
Detailed study of spectrum of excited states



- ❑ Large kinematic coverage
- ❑ Detection of charged and neutral particles
- ❑ Multi particle final states
- ❑ Polarized  $\text{NH}_3$  &  $\text{ND}_3$  Targets

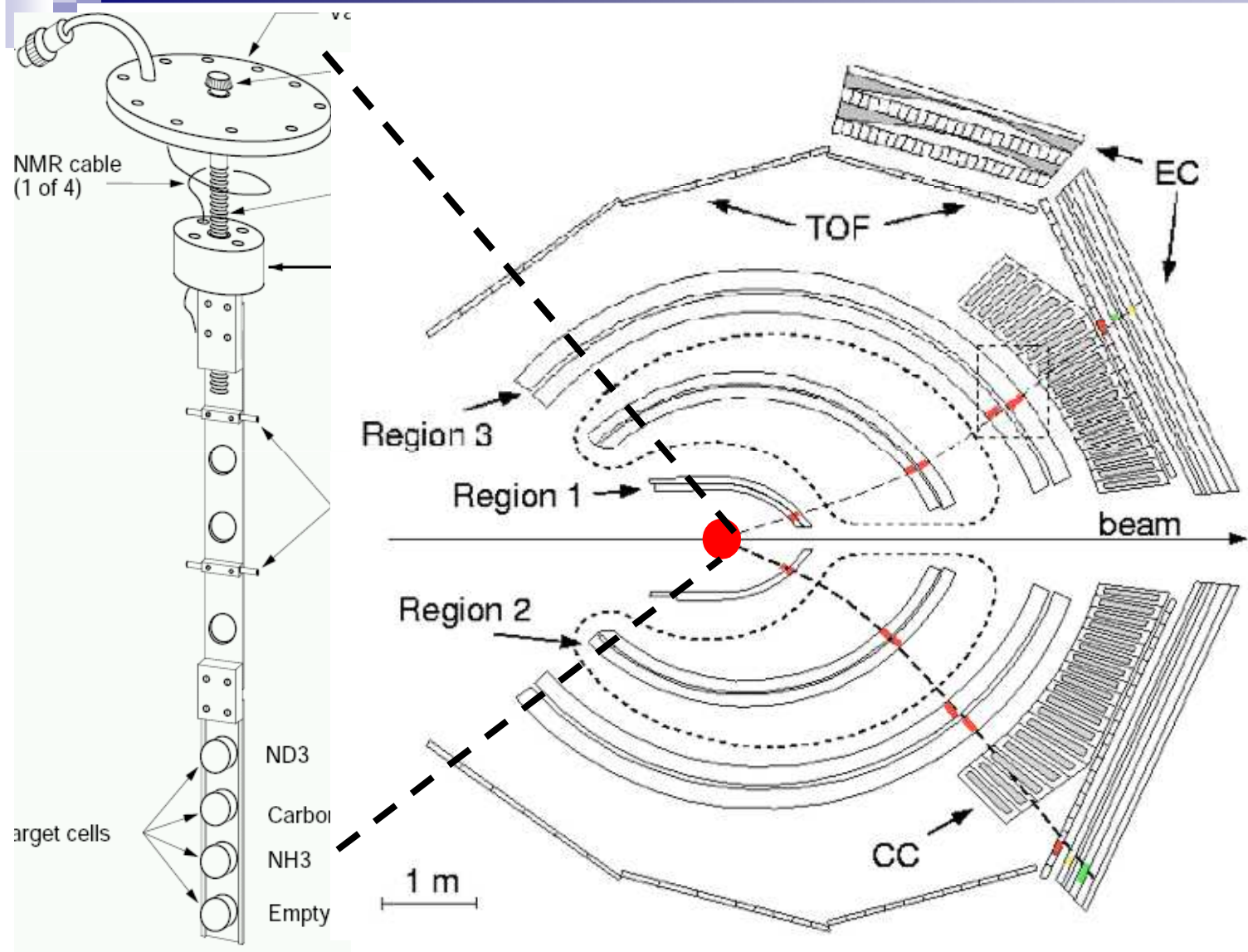


# CLAS Detector





# EG1b Polarized Target

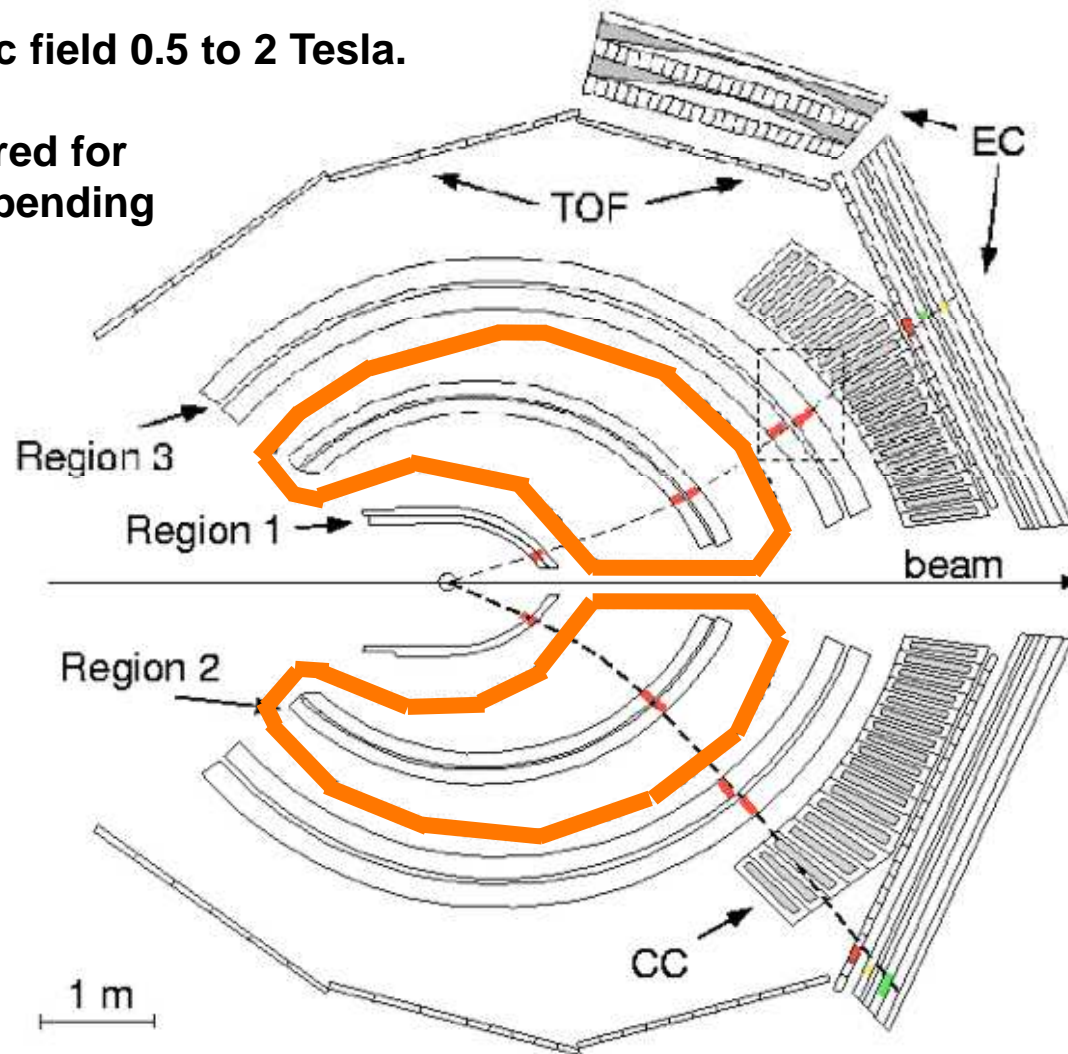




# Torus Magnet

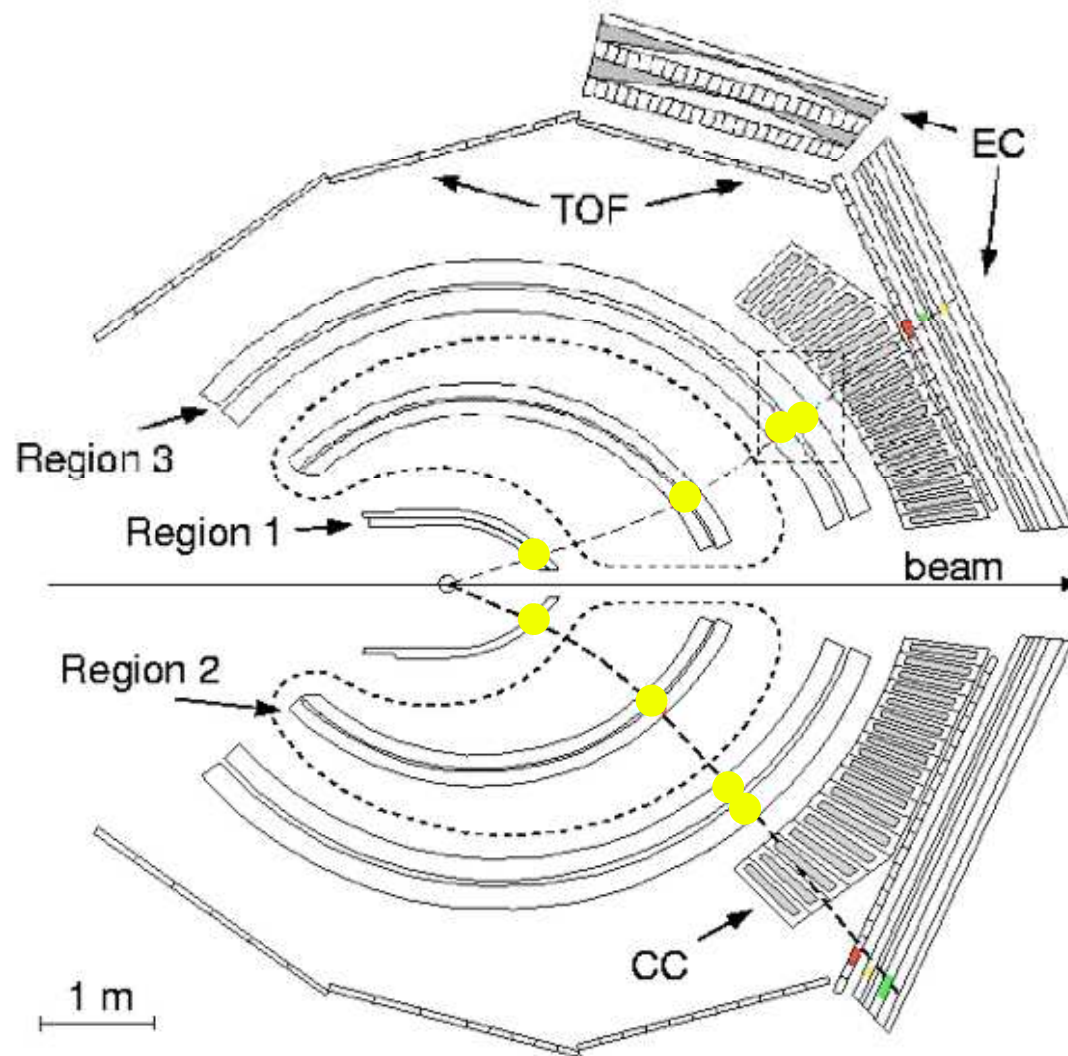
Torodial magnetic field 0.5 to 2 Tesla.

It can be configured for  
inbending or outbending  
operations.



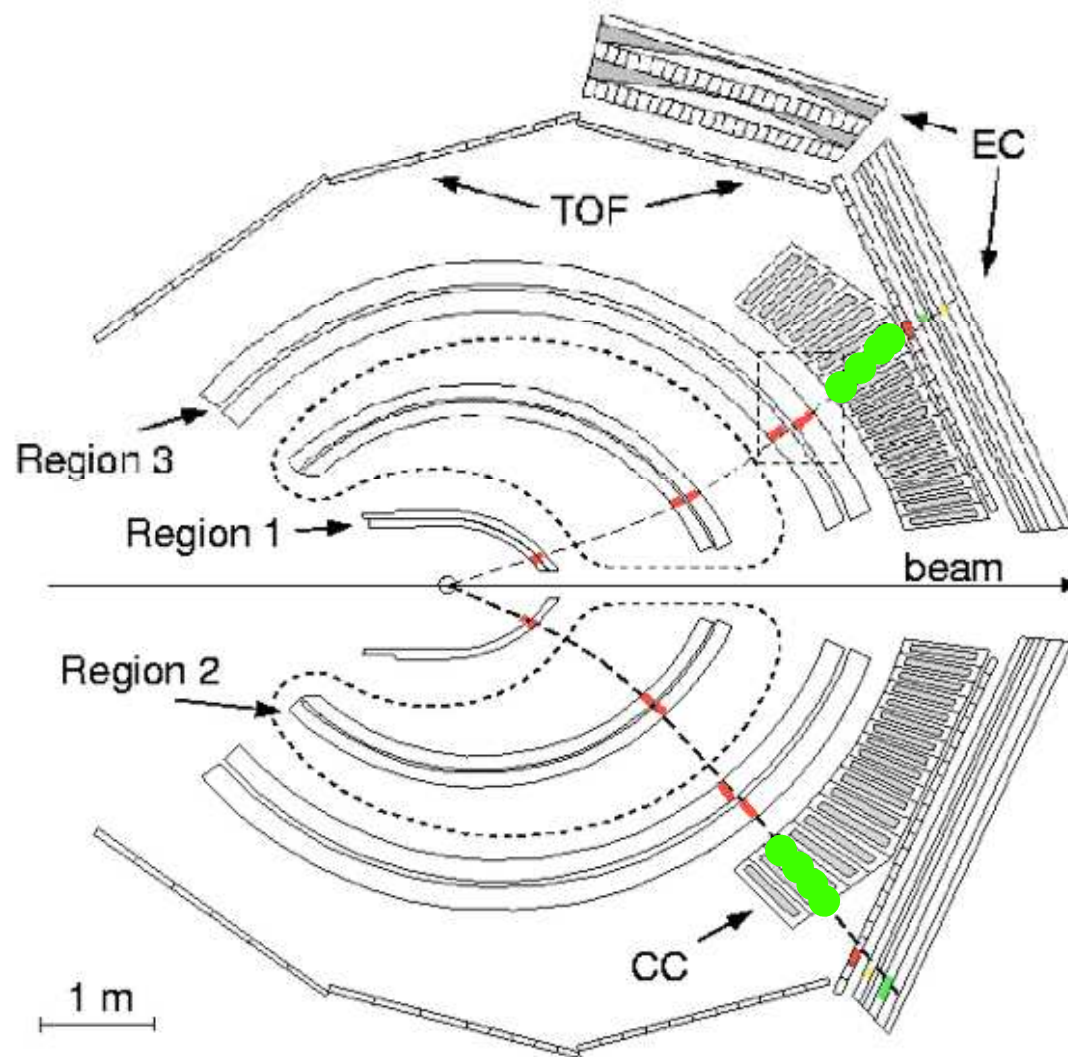


# Drift Chambers (DC)



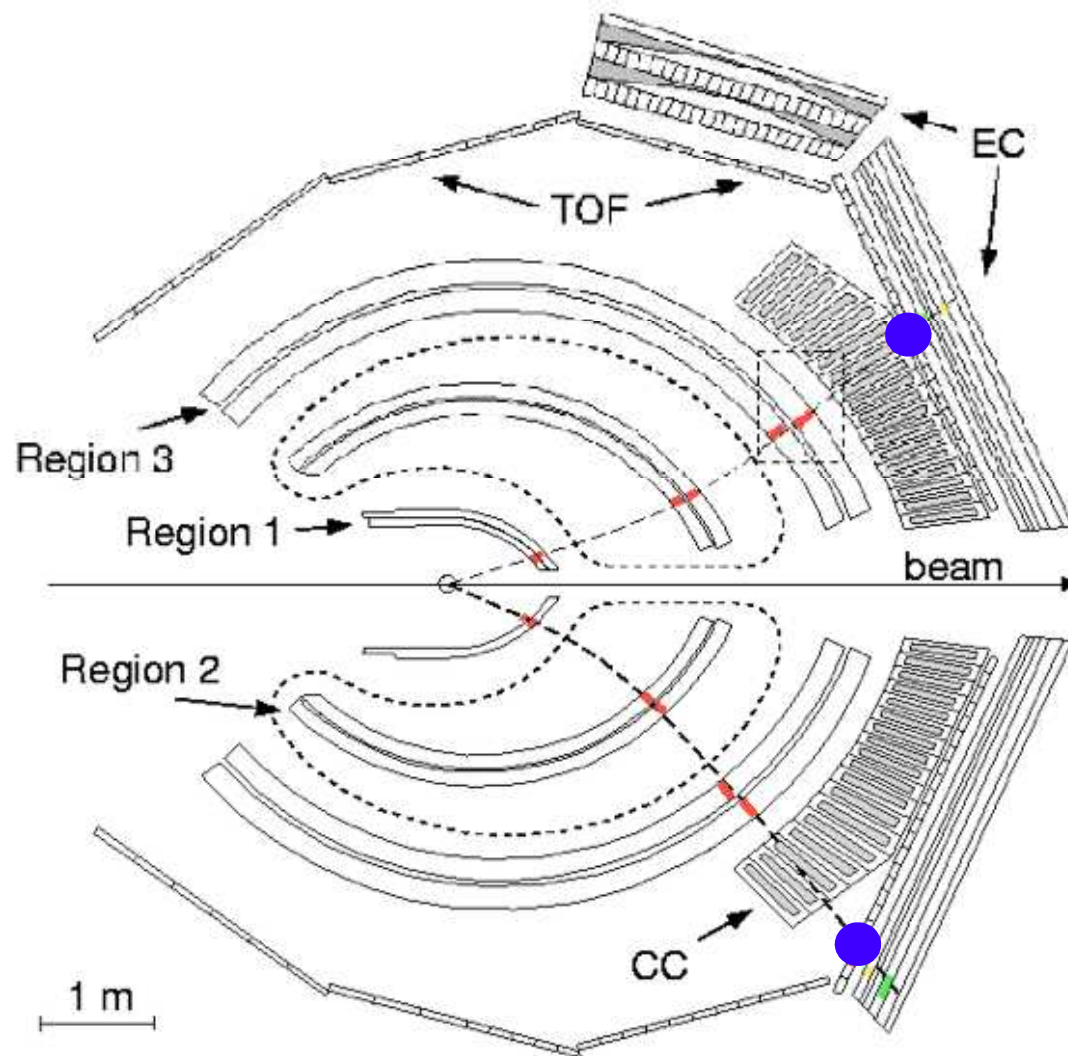


# Cherenkov Counters (CC)



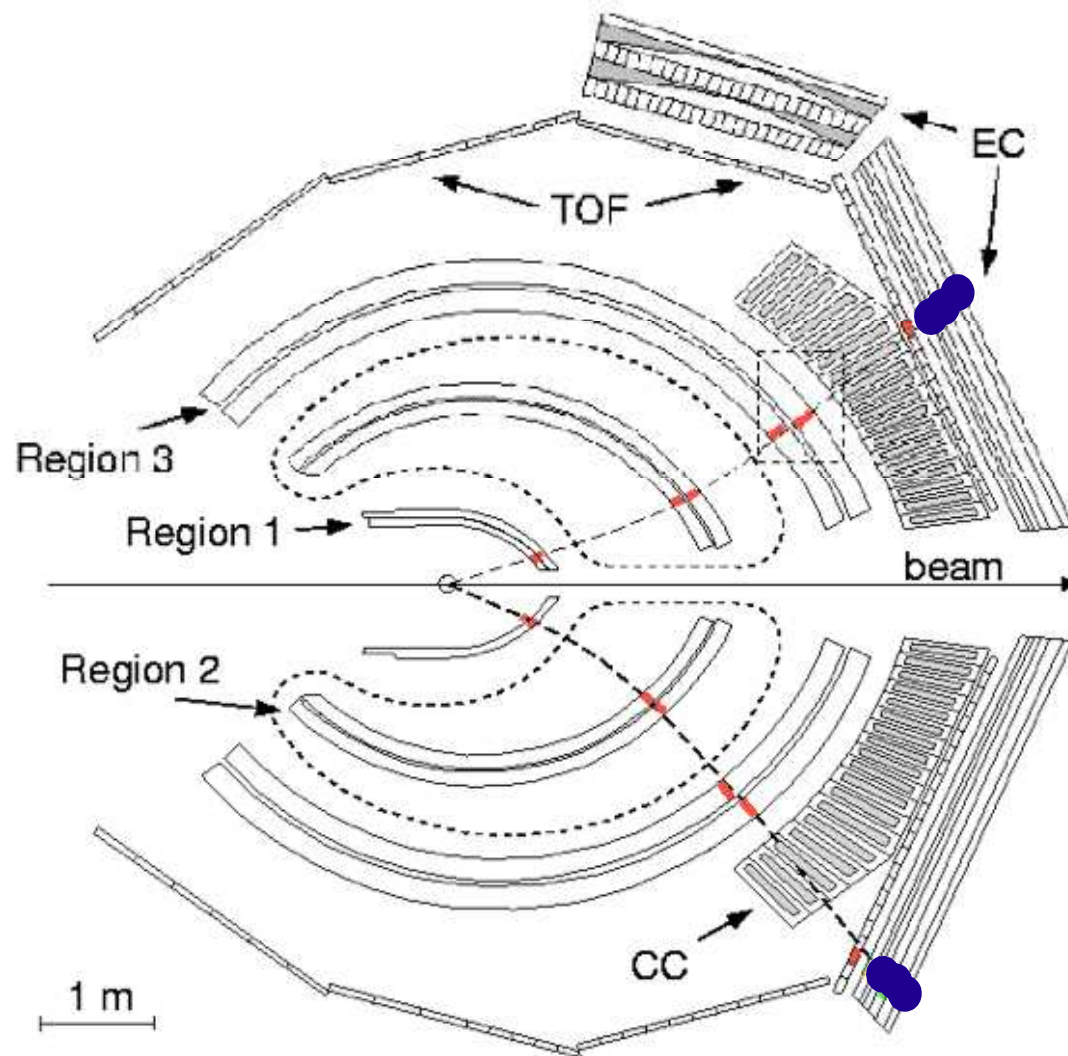


# Scintillation Counters (SC)



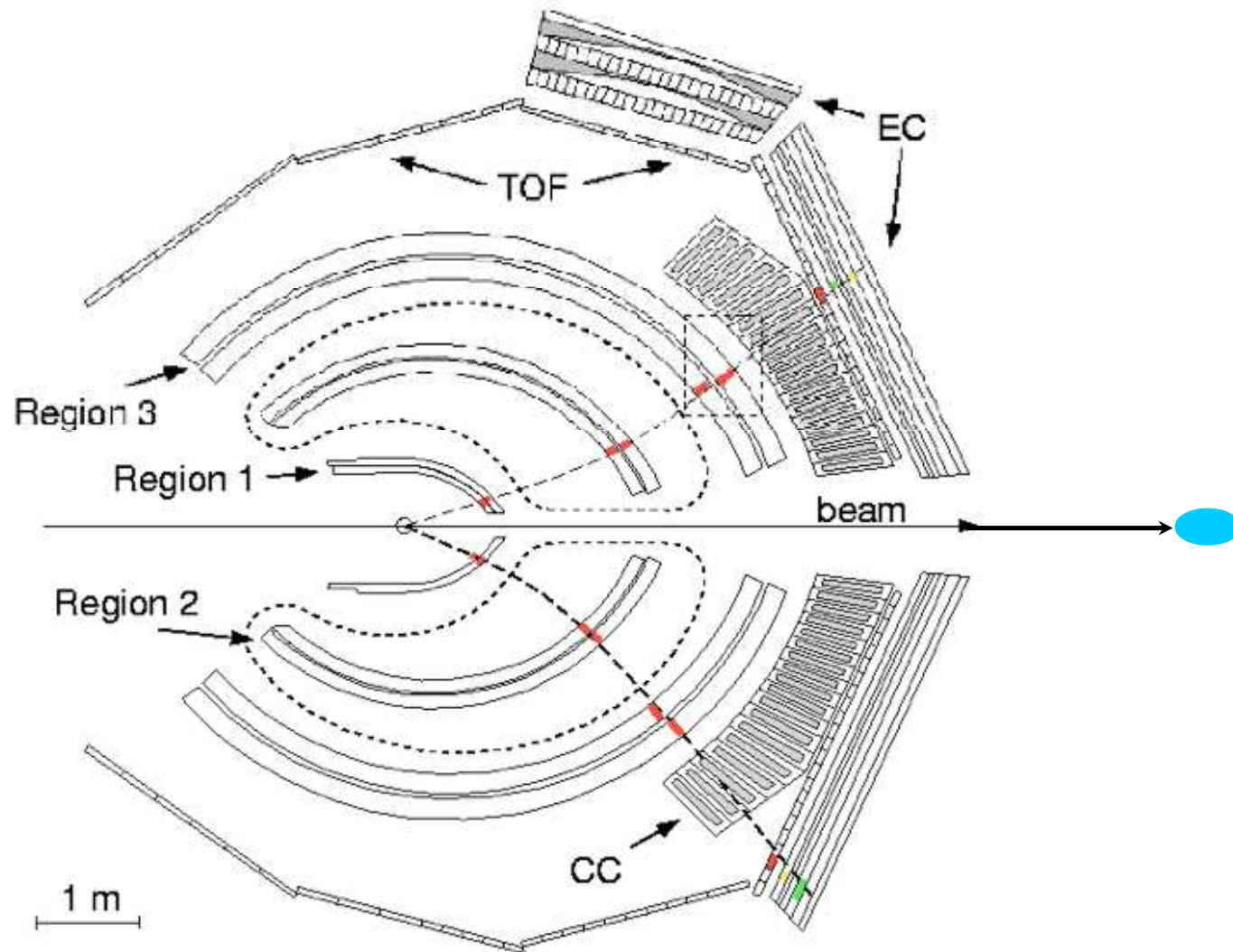


# Electromagnetic Calorimeters (EC)





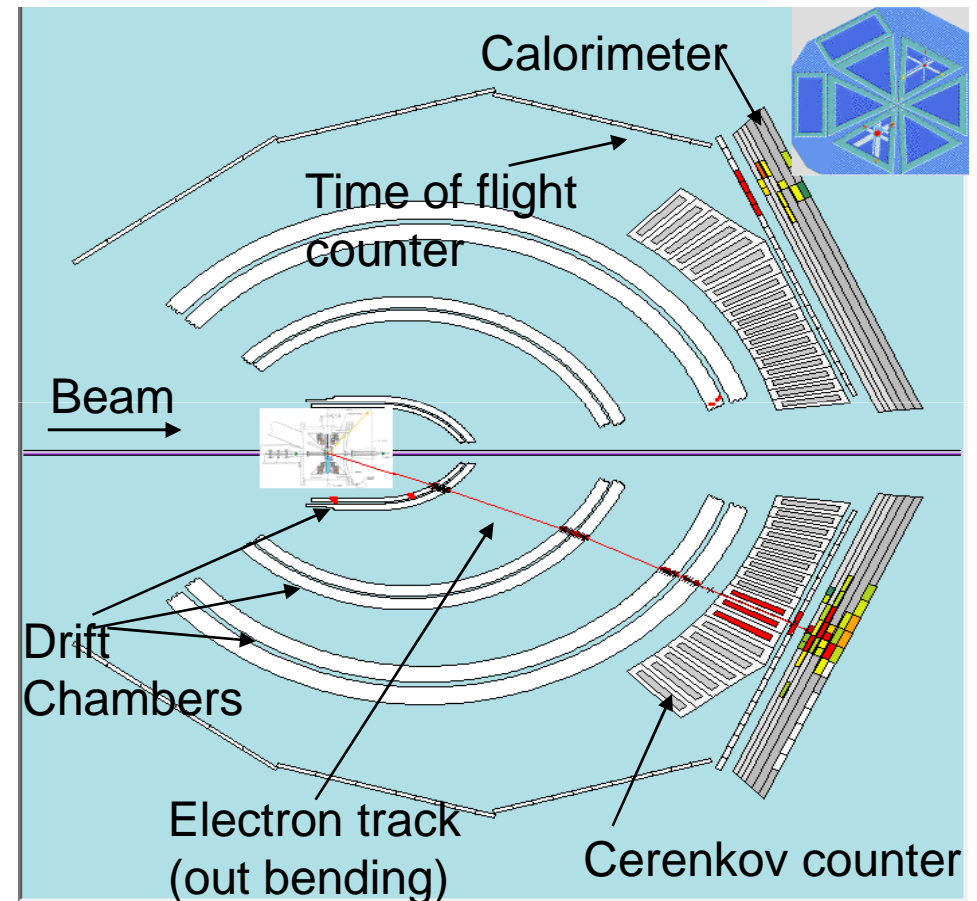
# Faraday Cup (FC)





# CLAS Detector

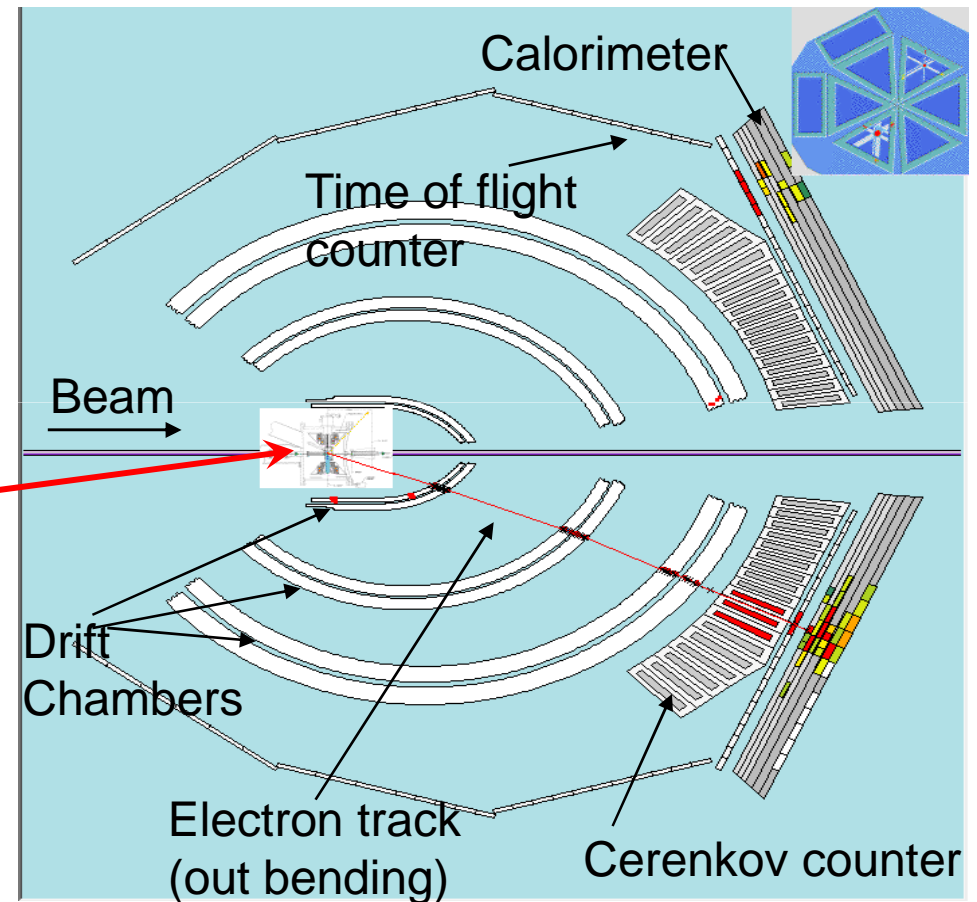
- ❑ Electrons are detected as a coincidence in the Electromagnetic Calorimeter and the Cherenkov Counter
- ❑ Electron momentum is reconstructed from trajectory in Drift chambers
- ❑ Dynamically polarized  $\text{NH}_3$  and  $\text{ND}_3$  targets (along beam direction)
  - $\text{NH}_3$  polarization: 65-75%.
  - $\text{ND}_3$  polarization: 25-35%.
- ❑ 1K LHe cooling bath
- ❑  $^{12}\text{C}$ ,  $^{15}\text{N}$  and  $^4\text{He}$  targets to measure background contribution





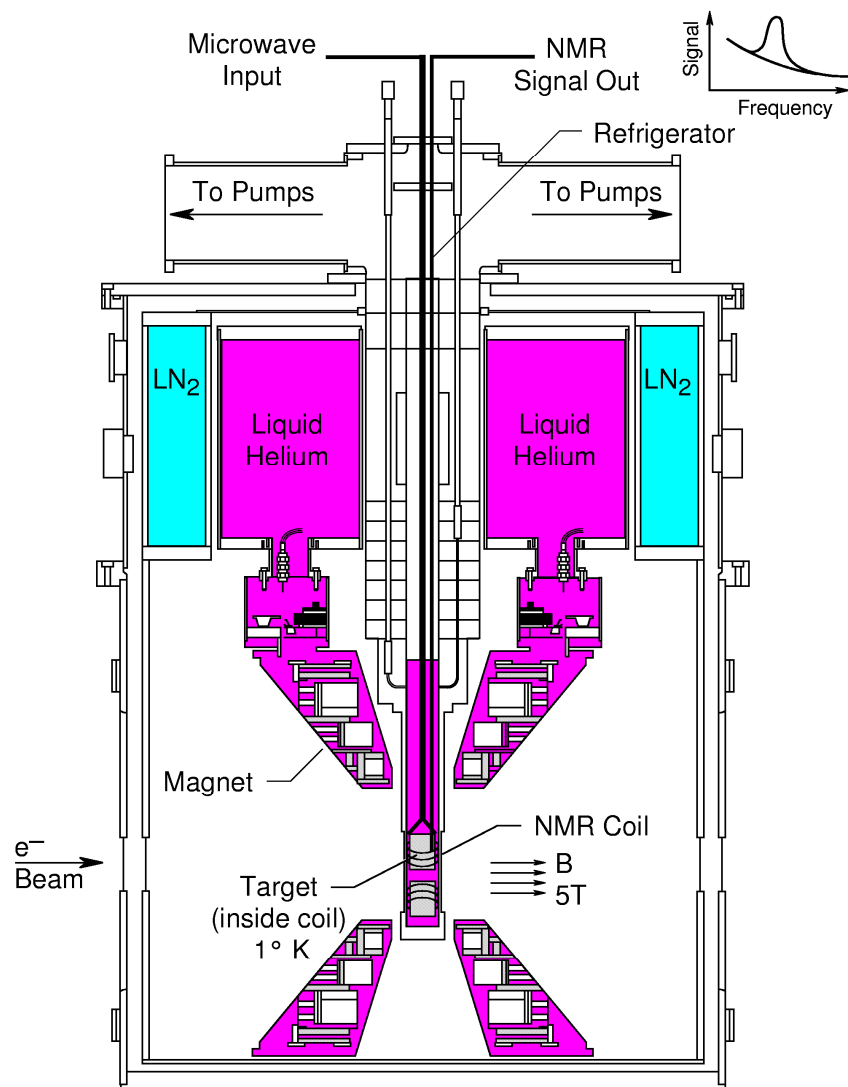
# CLAS Detector

- Dynamically polarized  $\text{NH}_3$  and  $\text{ND}_3$  targets (along beam direction)  
     $\text{NH}_3$  polarization: 65-75%.  
     $\text{ND}_3$  polarization: 25-35%.





# Polarized Solid State Targets



## List of Ingredients:

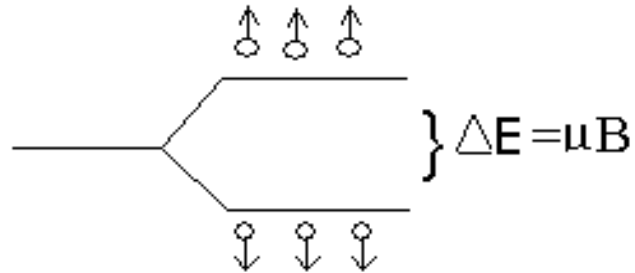
- Polarizable Material (high H content) with paramagnetic centers = unpaired  $e^-$  (irradiated or chemically doped):
  - Alcohols (e.g. butanol)
  - Ammonia -  $^{15}\text{NH}_3$  and  $^{15}\text{ND}_3$
  - HD ice
- Very Low Temperature:
  - About 1 K for (continuous) dynamic polarization - pumped-on Liquid  $^4\text{He}$  bath at low pressure
- Dynamic Polarization in high B-field:
  - About 2.5 - 5 T  $\rightarrow$  unpaired  $e^-$  100% polarized
  - Polarization transferred to nuclei via HF transitions (simultaneous electron and nuclear spin flip); requires 70-140 GHz microwaves
- NMR system to monitor polarization.
- Insulation vacuum, beam and scattered particles ports.

## Considerations:

- Possibly significant “dilution” by “inactive” nuclei.
- Beam must be rastered to avoid local depolarization.
- Target must be annealed repeatedly to alleviate radiation damage (due to electron beam).
- Total dose and current limitations (e.g., 100 nA max).
- Transverse DNP targets  $\rightarrow$  large deflection of electrons.



# Dynamic Nuclear Polarization



When we put the target into a static uniform magnetic field, energy level of nucleons will split with respect to their spin configurations.

Large B/T is needed for high polarization.

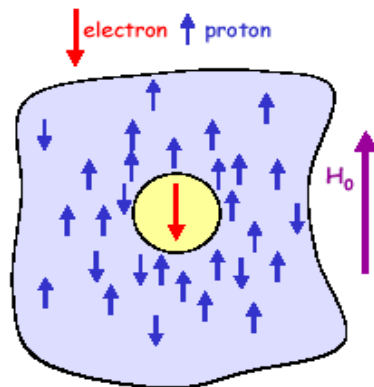
$$P_{1/2} = \frac{N_{1/2} - N_{-1/2}}{N_{1/2} + N_{-1/2}} = \tanh \frac{\mu B}{kT}$$

Because the magnetic moment of the proton (deuteron) is very low, their resulting polarizations will be small.

$$P_1 = \frac{N_1 - N_{-1}}{N_1 + N_0 + N_{-1}} = \frac{4 \tanh \frac{\mu B}{2kT}}{3 + \tanh^2 \frac{\mu B}{2kT}}$$

At typical settings of B=5Tesla and T=1K, polarization is less than 1%.

Electron's magnetic moment is larger by a thousand fold. 99% can be reached.



- 1 - Dope or radiate target with electrons (create radicals)
- 2 - Polarize the dopant electrons
- 3 - Transfer the polarization to nucleons by microwave transitions



# Dynamic Nuclear Polarization

- Introduce MW into the system at electron Larmor frequency:

$$\nu_e = 2\mu_e B/h = 70\text{GHz for } 2.5\text{T}$$

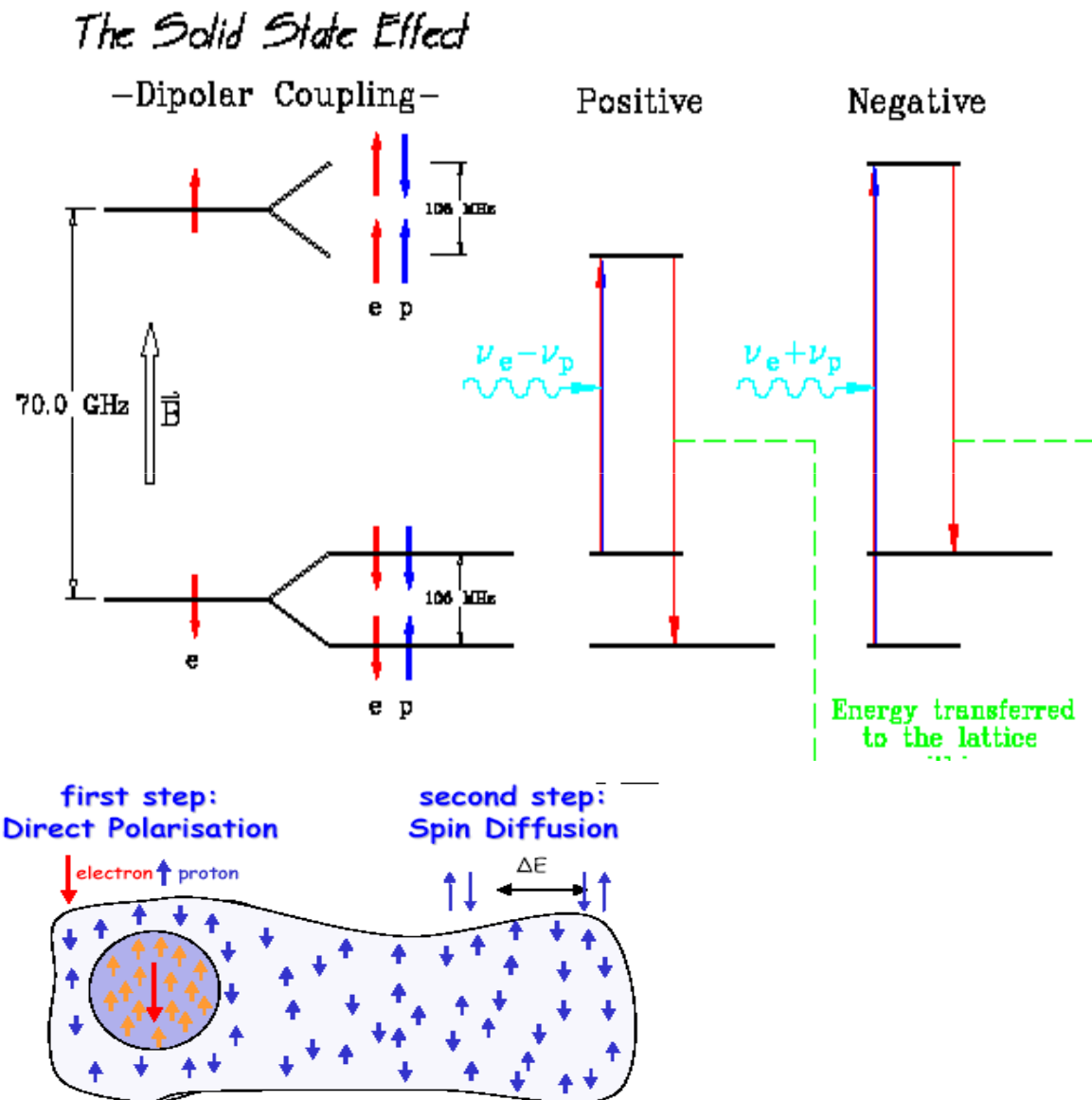
Electron spin flips by MW

- If the MW frequency is just equal to  $\nu_e \pm \nu_p$ , forbidden transitions occur between two nucleon states nucleon spins also flip together with electron spins.

- Electron relaxation is fast but nucleons stay much longer.

- Nucleon polarizations build up in time. → Solid state effect.

- Spin diffusion transfers the polarization to further regions.





# Asymmetry Analysis

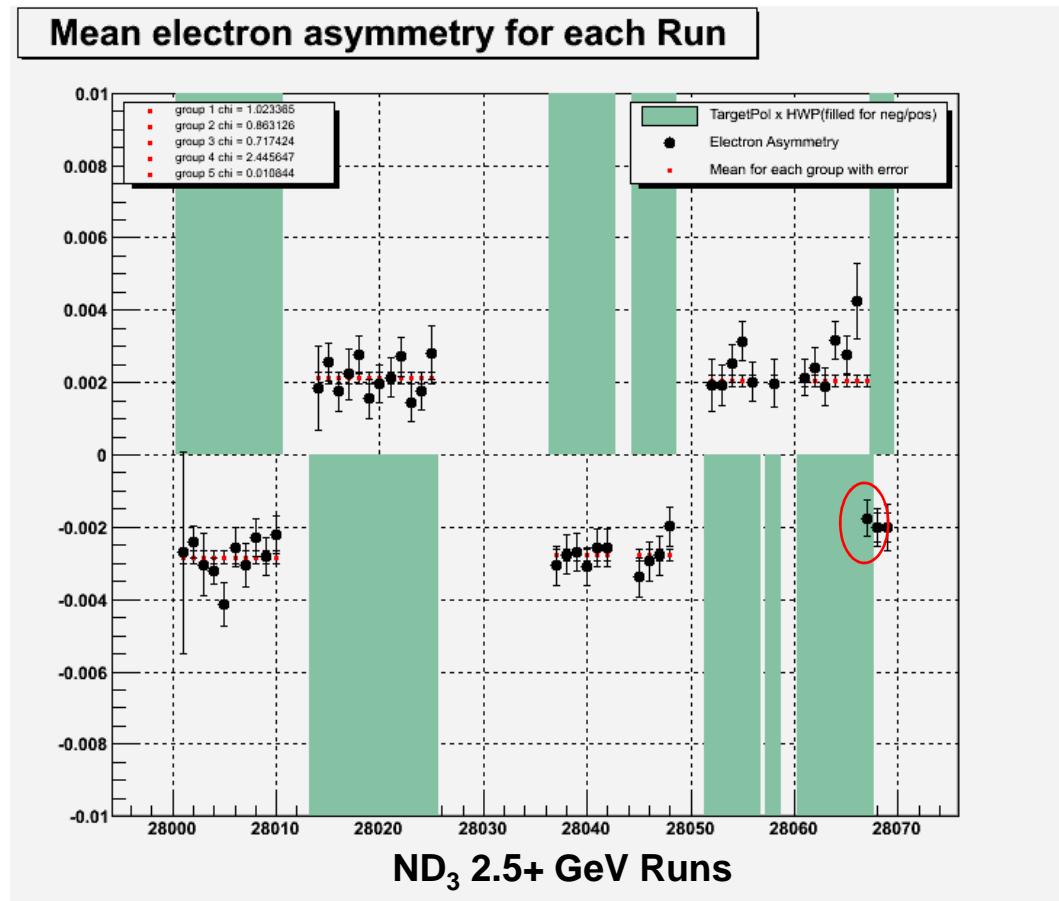
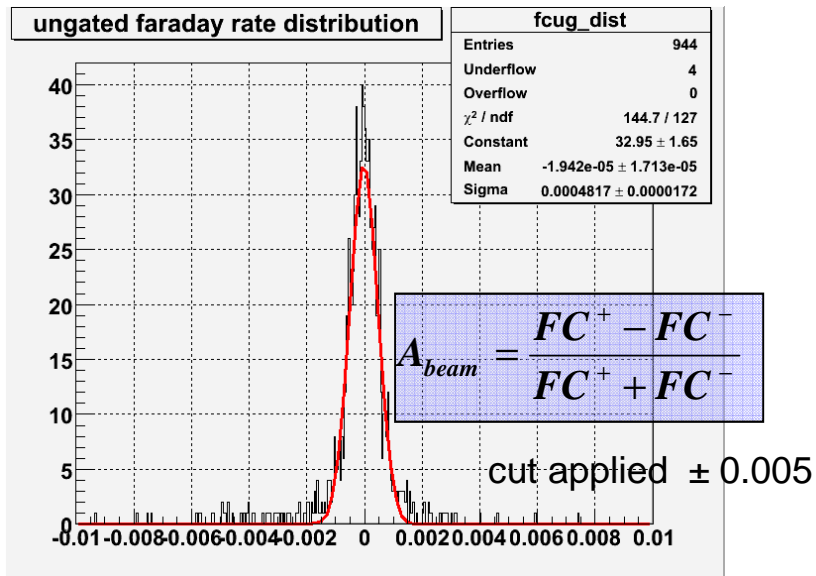
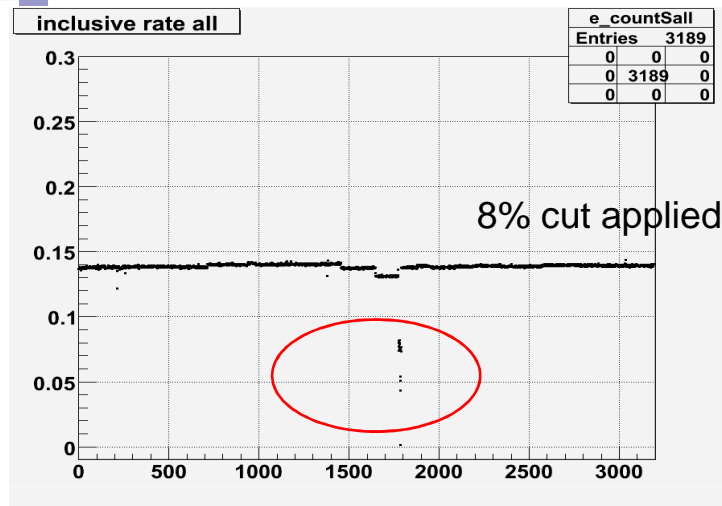
$$A_{\parallel} = \frac{C_1}{f_{RC}} \left( \frac{A_{raw}}{F_D P_b P_t} C_{back} - C_2 \right) + A_{RC}$$

$$A_{raw} = \frac{N^+/Q^+ - N^-/Q^-}{N^+/Q^+ + N^-/Q^-} \quad \text{for each } Q^2 \text{ and } W \text{ bins}$$

- Data Calibration and Reconstruction (TOF, DC, EC)
- Helicity Studies
- Quality Checks and Data File Selections
- Particle Selections
- Fiducial Cuts
- Kinematic Corrections (momentum, energy loss , multiple scattering etc...)



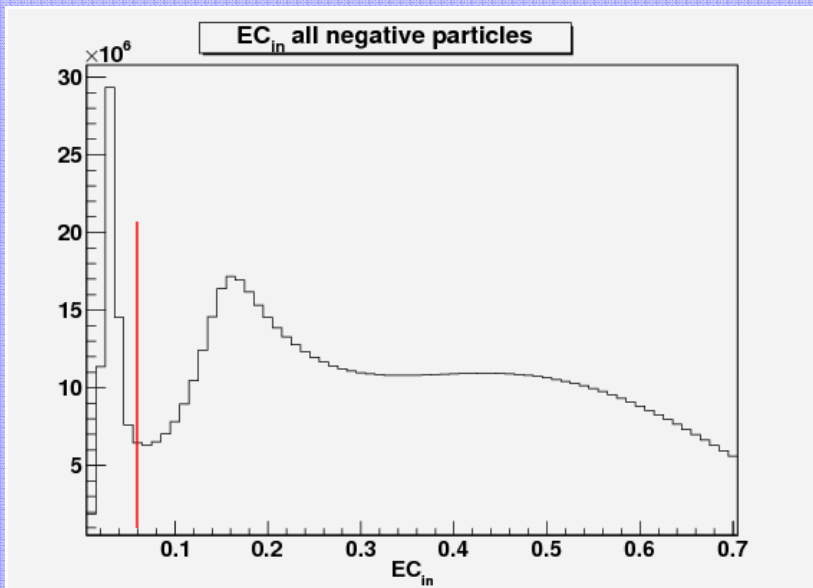
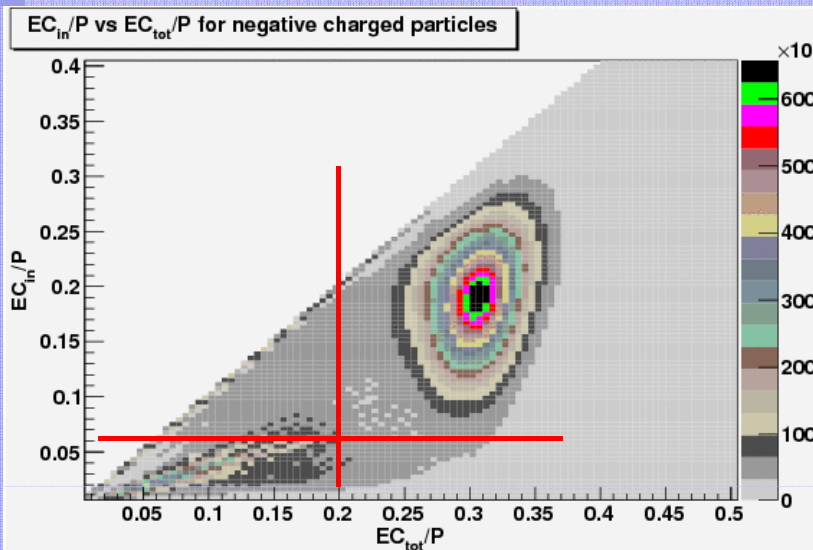
# Quality Checks



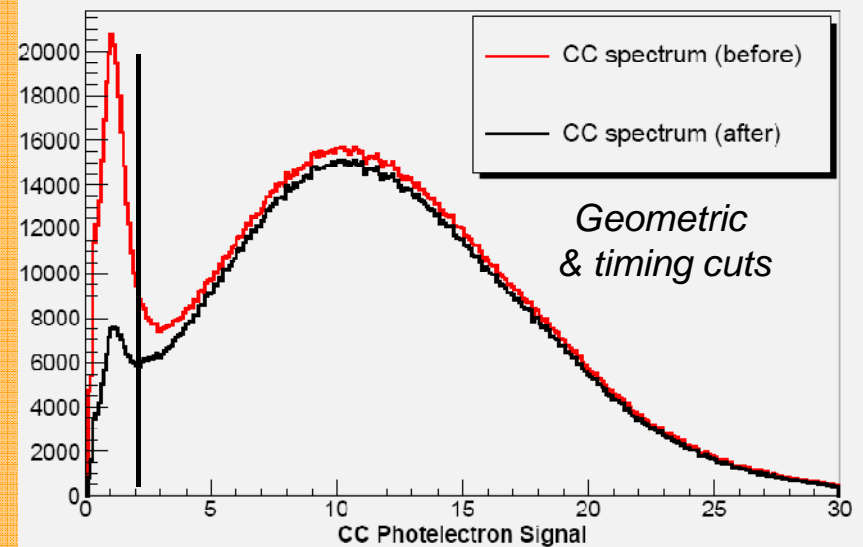
To determine the registered target and beam polarizations are correct.



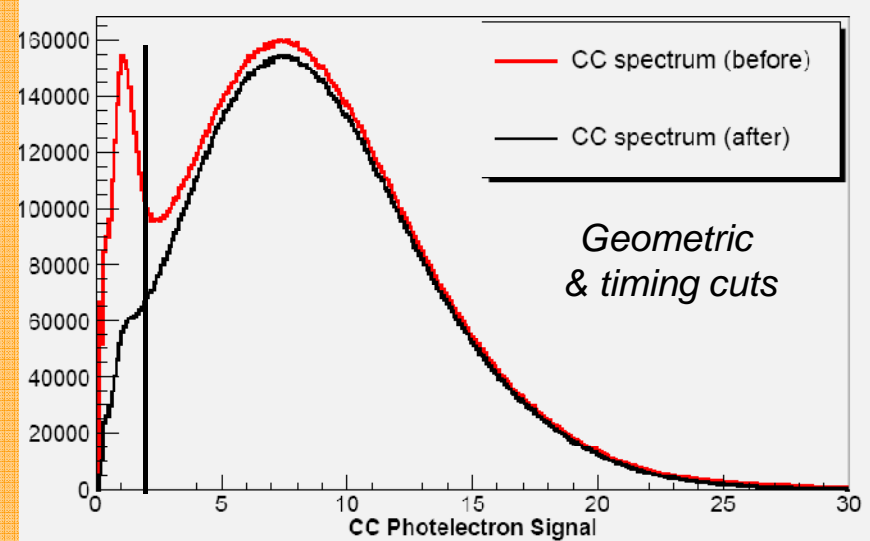
# Electron Identification (EM and CC signals)



Cherenkov Counter Spectra ( $25^\circ < \theta < 30^\circ$ ) ( $1.8 \text{ GeV} < p < 2.1 \text{ GeV}$ )



Cherenkov Counter Spectra ( $10^\circ < \theta < 15^\circ$ ) ( $2.1 \text{ GeV} < p < 2.4 \text{ GeV}$ )





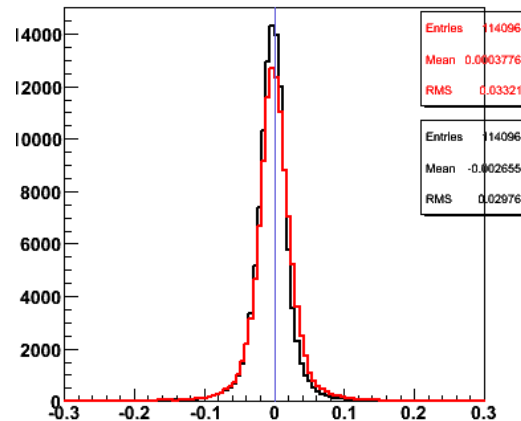
# Kinematic Corrections

Mainly based on parameterizations to minimize missing energy and momentum for well identified elastic and multi-particle final states.

Takes care of the effects from:

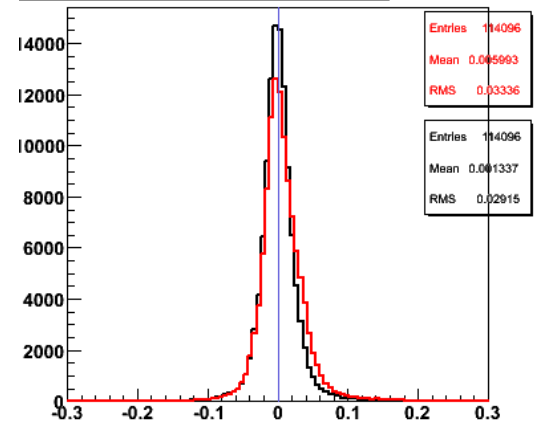
- misalignment of DC wires
- complex magnetic fields
- multiple scattering effects
- energy loss inside target

$P_x(\text{miss})$  electron for all sectors



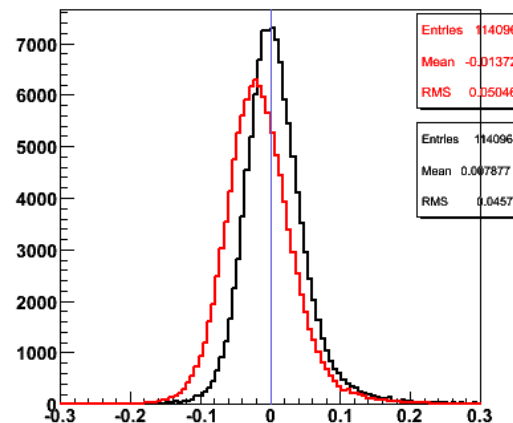
Missing  $p_{\{x\}}$  Distribution

$P_y(\text{miss})$  electron for all sectors



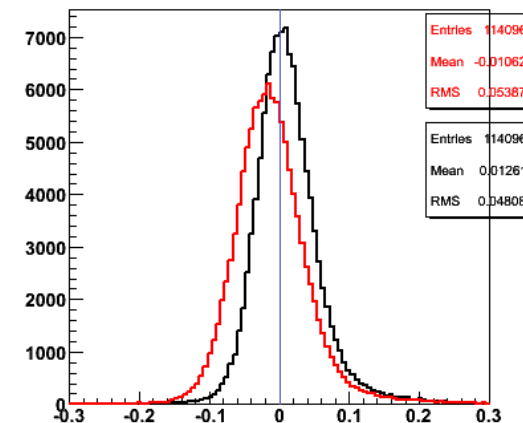
Missing  $p_{\{y\}}$  Distribution

$P_z(\text{miss})$  electron for all sectors



Missing  $p_{\{z\}}$  Distribution

$E(\text{miss})$  electron for all sectors



Missing Energy Distribution

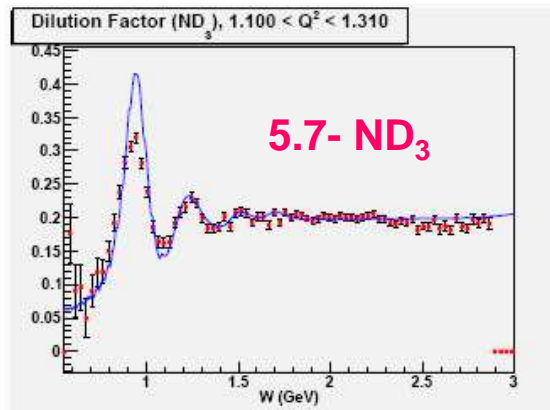
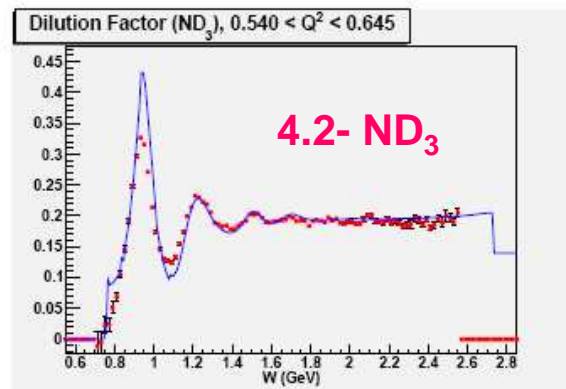
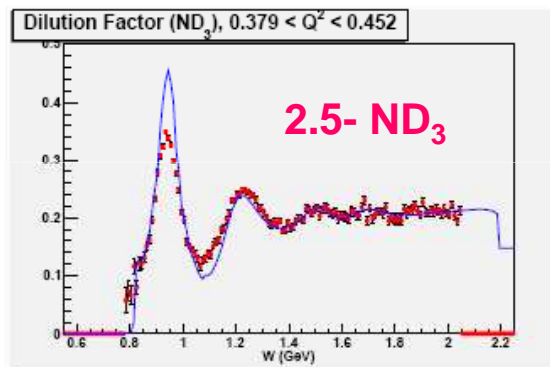
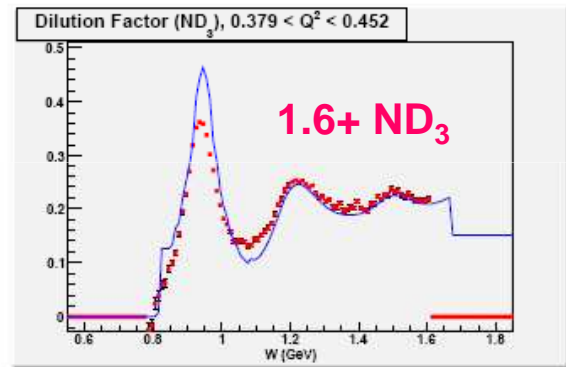


# Dilution Factor

$$A_{\parallel} = \frac{C_1}{f_{RC}} \left( \frac{A_{raw}}{F_D P_b P_t} C_{back} - C_2 \right) + A_{RC}$$

$$A_{undil} = \frac{n^- - n^+}{n^- + n^+ - n_B} \text{ (Undiluted asymmetry)}$$

$$F_D = \frac{n^- + n^+ - n_B}{n^- + n^+} = \frac{n_A - n_B}{n_A} = 1 - \frac{n_B}{n_A} \text{ so that } A_{undil} = \frac{A_{raw}}{F_D}$$



Contributions from unpolarized background are removed by the dilution factor.

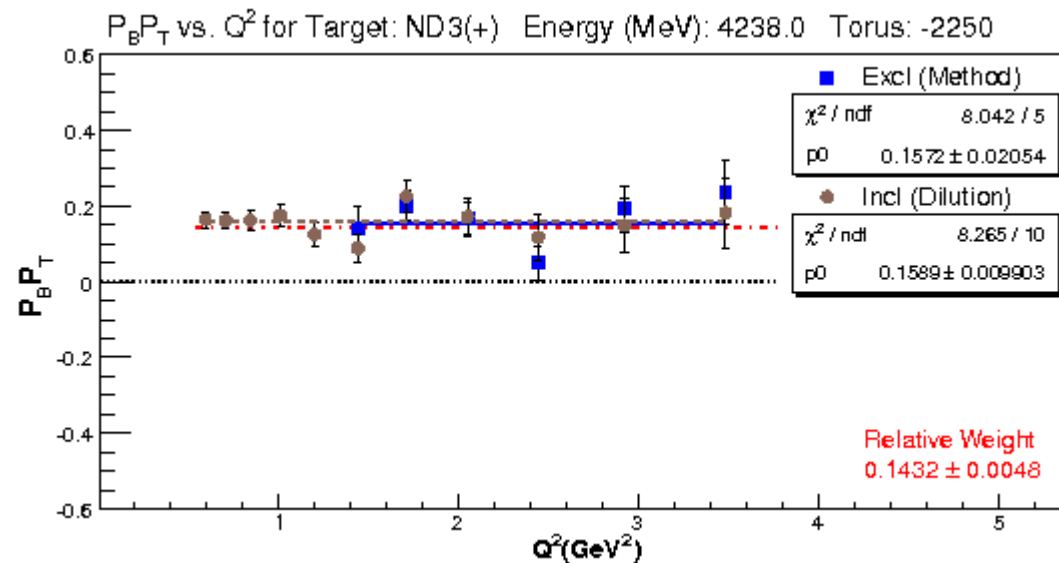
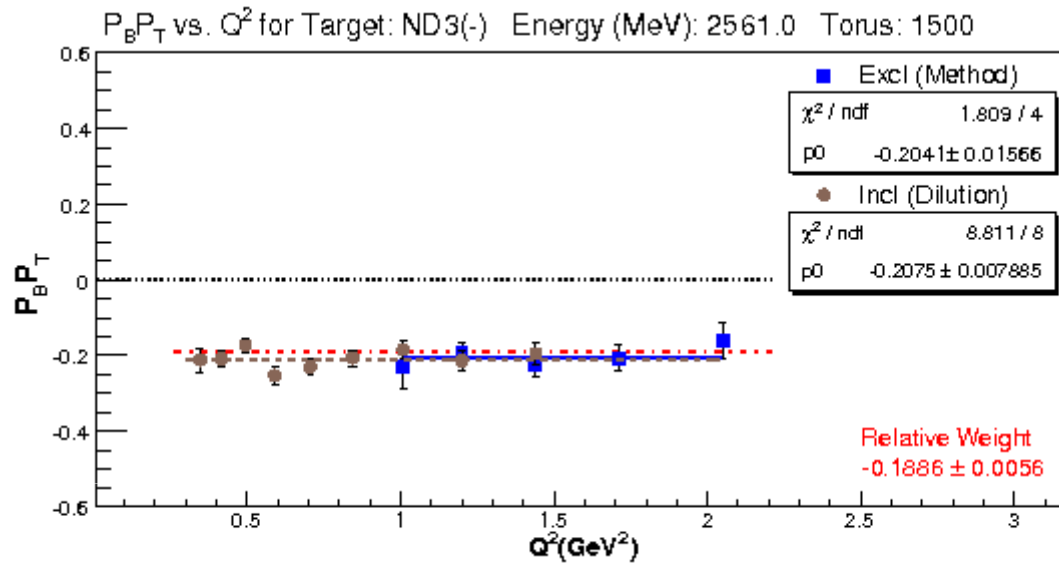
It represents the fraction of truly polarized data to all data.

It was determined using <sup>12</sup>C and <sup>4</sup>He data. The radiated cross section models for <sup>15</sup>N/<sup>12</sup>C ratios generated by P. Bosted and R. Fersch were used.



# Polarizations

$$A_{\parallel} = \frac{C_1}{f_{RC}} \left( \frac{A_{raw}}{F_D P_b P_t} C_{back} - C_2 \right) + A_{RC}$$



$$P_b P_t = \frac{A_{meas}^{quasi-el}}{F_D A_{theo}^{quasi-el}}$$

The elastic events from:

- inclusive events by relying on missing mass cuts (inclusive method)
- exclusive events where corresponding proton is also observed (exclusive method)

$$P_b P_t = \sum_{Q^2} \frac{P_b P_t(Q^2)}{\sigma_{P_b P_t}^2(Q^2)} / \sum_{Q^2} \frac{1}{\sigma_{P_b P_t}^2(Q^2)}$$

$$\sigma_{P_b P_t} = 1 / \sum_{Q^2} \frac{1}{\sigma_{P_b P_t}^2(Q^2)}$$



# Asymmetry Analysis

$$A_{\parallel} = \frac{C_1}{f_{RC}} \left( \frac{A_{raw}}{F_D P_b P_t} C_{back} - C_2 \right) + A_{RC}$$

- Dilution factor
- Beam and Target polarization
- **Unpolarized background corrections (pion and pair symmetric electrons)**



# Asymmetry Analysis

$$A_{\parallel} = \frac{C_1}{f_{RC}} \left( \frac{A_{raw}}{F_D P_b P_t} C_{back} - C_2 \right) + A_{RC}$$

- Dilution factor
- Beam and Target polarization
- Unpolarized background corrections (pion and pair symmetric electrons)
- **Polarized background correction (very small, well understood correction)**



# Asymmetry Analysis

$$A_{\parallel} = \frac{C_1}{f_{RC}} \left( \frac{A_{raw}}{F_D P_b P_t} C_{back} - C_2 \right) + A_{RC}$$

- Dilution factor
- Beam and Target polarization
- Background corrections (pion and pair symmetric electrons)
- Polarized background corrections (very small, well understood correction)
- Radiative corrections (RCSLACPOL originated in E143)



# Asymmetry Analysis

$$A_{\parallel} = \frac{C_1}{f_{RC}} \left( \frac{A_{raw}}{F_D P_b P_t} C_{back} - C_2 \right) + A_{RC}$$

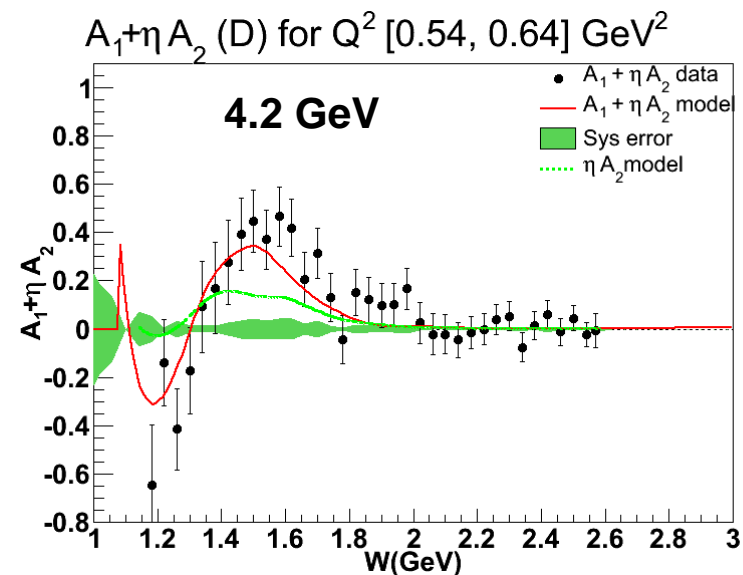
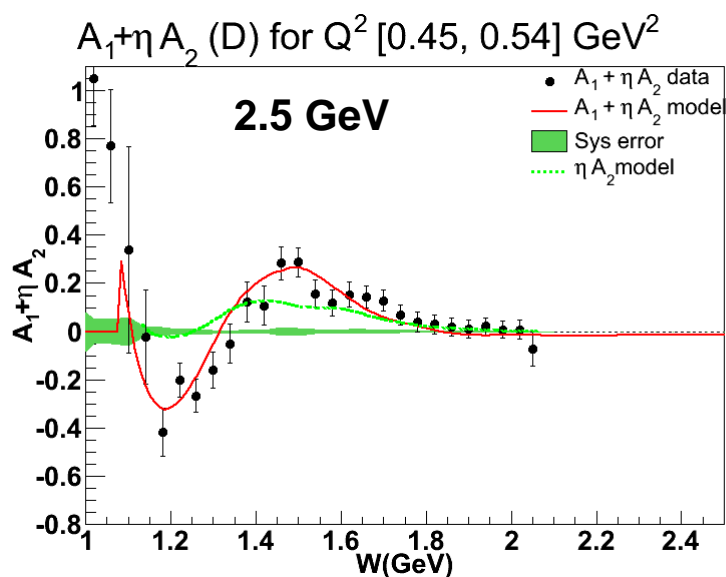
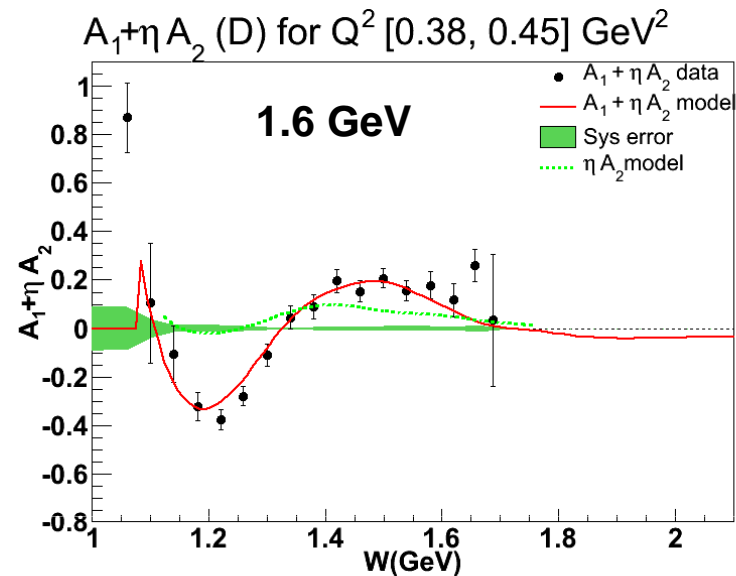
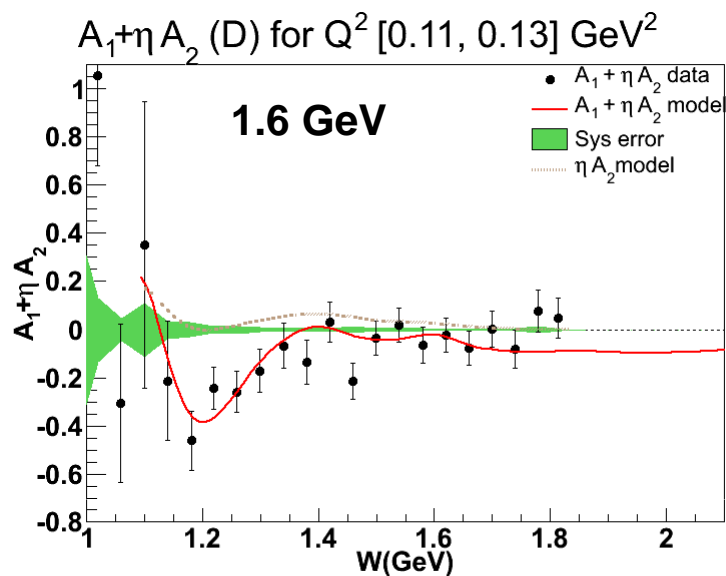
- Dilution factor
- Beam and Target polarization
- Background corrections (pion and pair symmetric electrons)
- Polarized background corrections (very small, well understood correction)
- Radiative corrections (RCSLACPOL originated in E143 at SLAC)

- Calculate virtual photon asymmetry

$$A_1 = \frac{A_{\parallel}}{D} - \eta A_2 \text{ model} \quad D = \frac{1 - E'\epsilon/E}{1 + \epsilon R}; \quad \eta = \frac{\epsilon \sqrt{Q^2}}{E - E'\epsilon}; \quad R = \frac{\sigma_L}{\sigma_T}$$

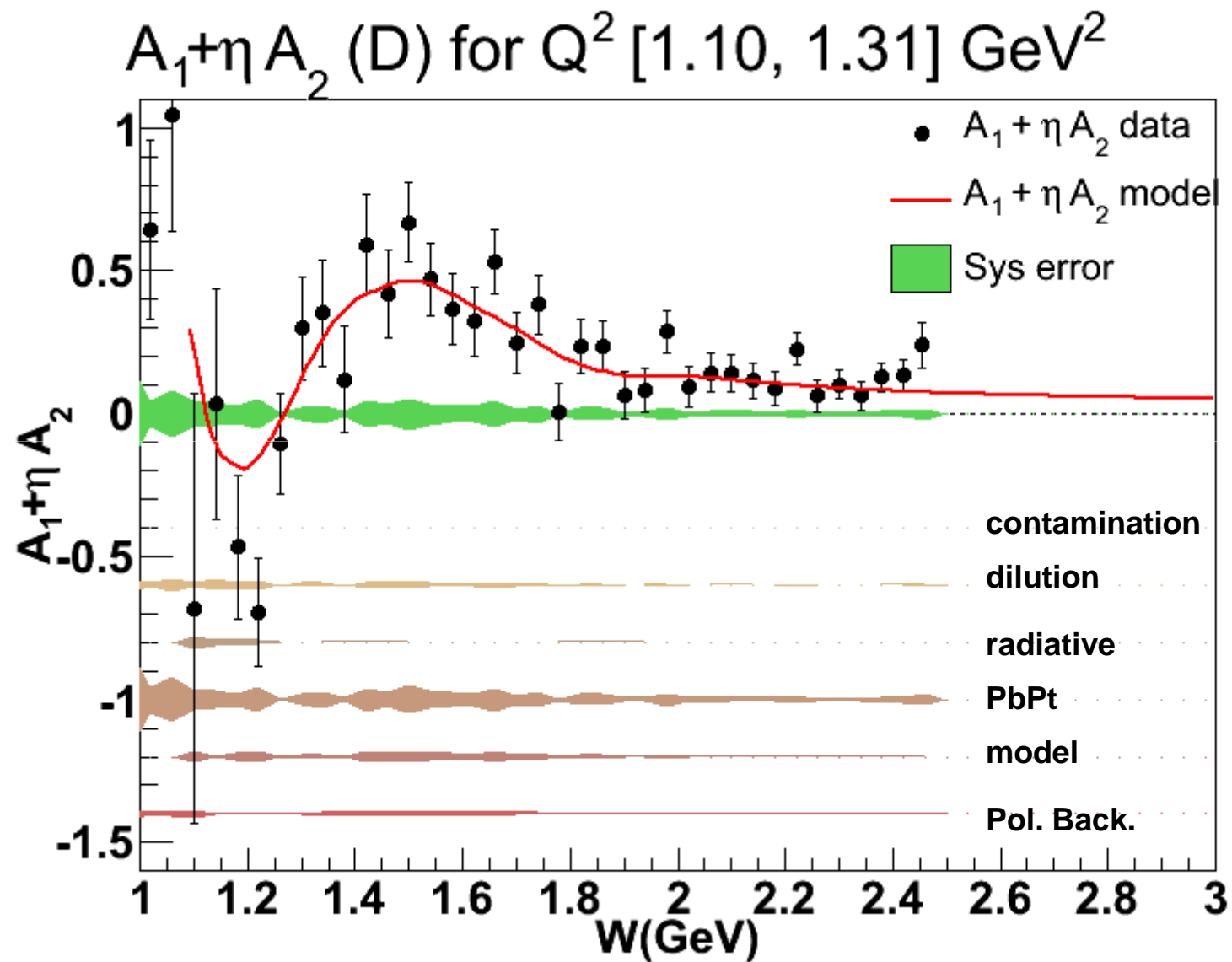


# $A_1 + \eta A_2$ for the Deuteron



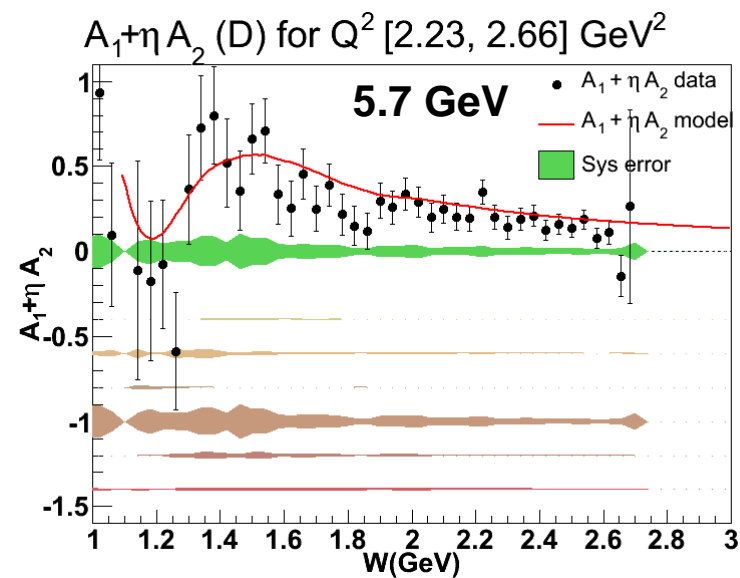
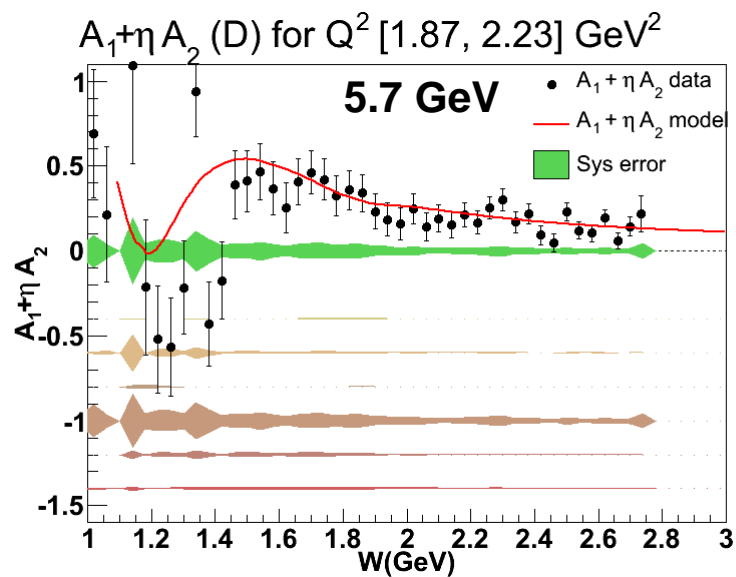
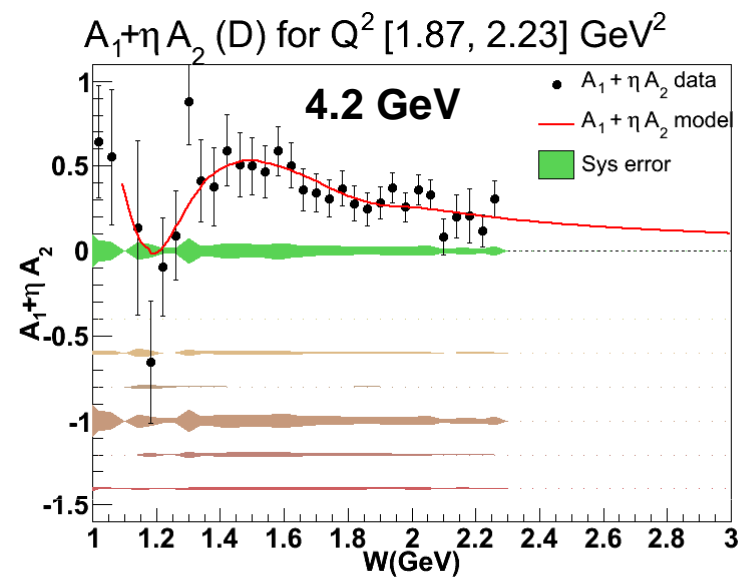
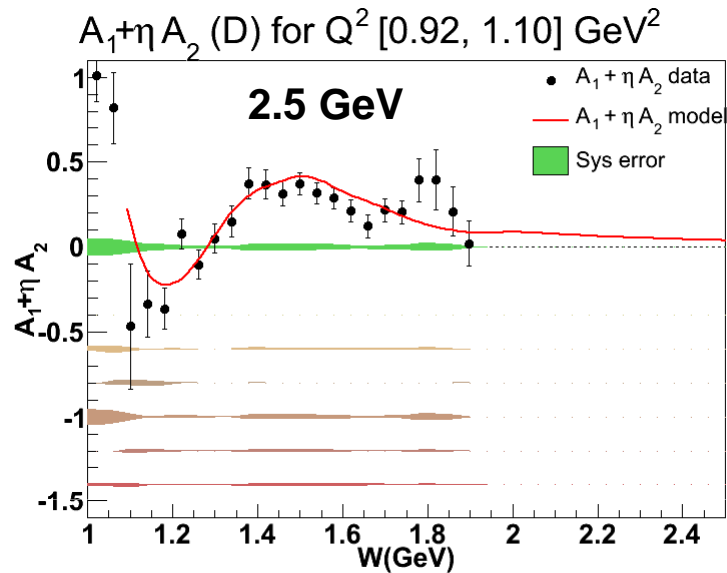


# $A_1$ Deuteron





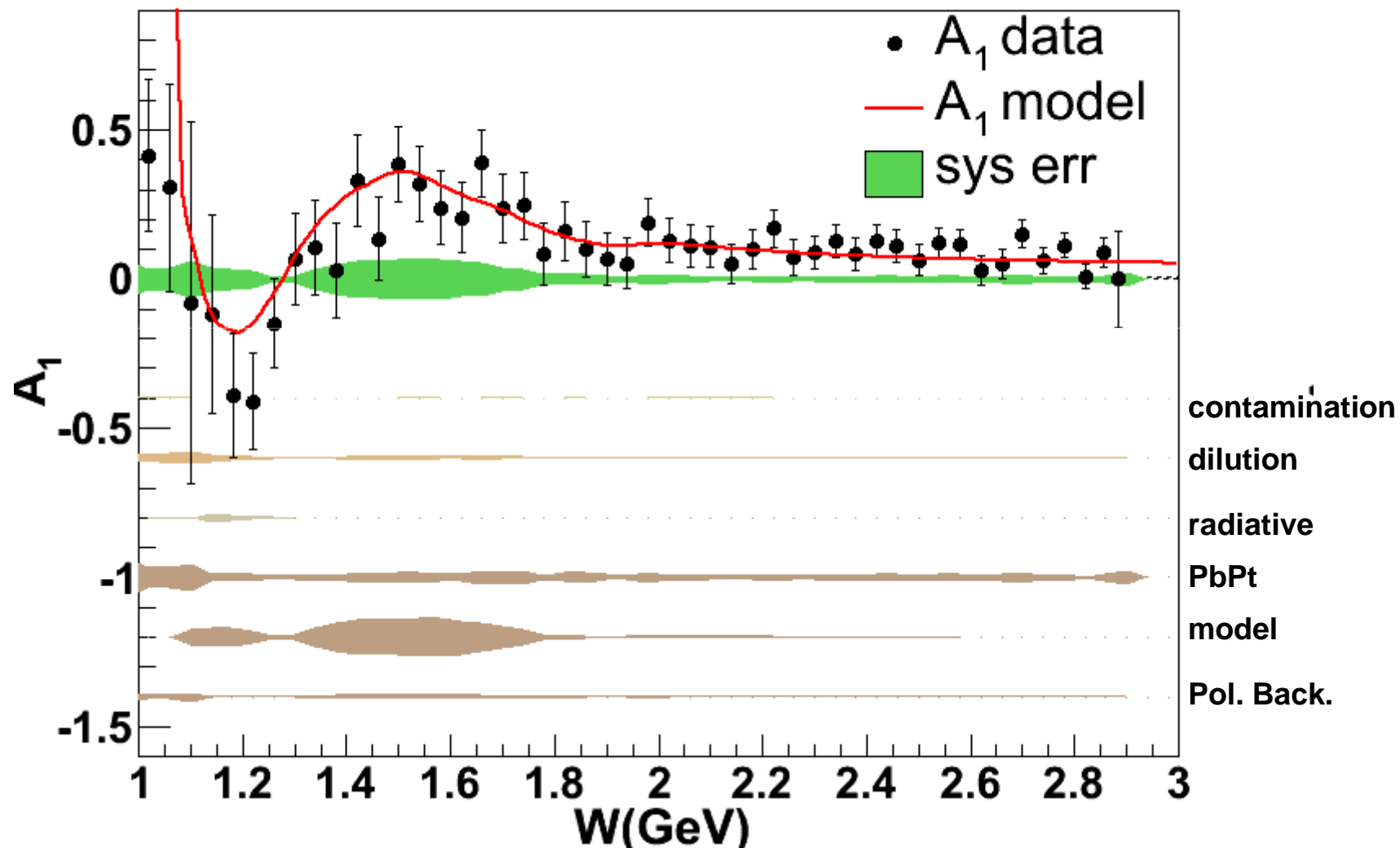
# $A_1 + \eta A_2$ for the Deuteron





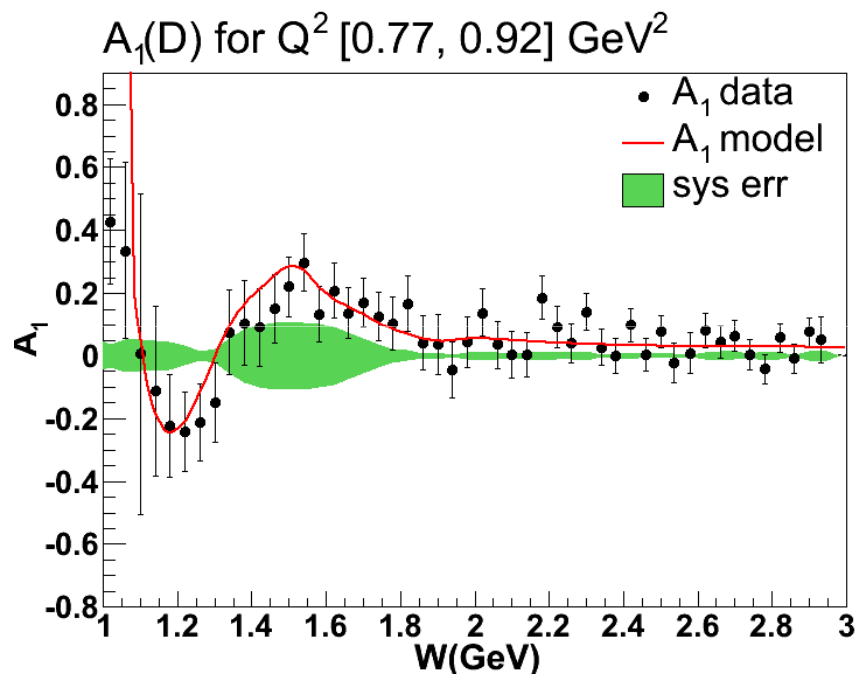
# $A_1$ Deuteron

$A_1(\text{D})$  for  $Q^2$  [1.10, 1.31]  $\text{GeV}^2$

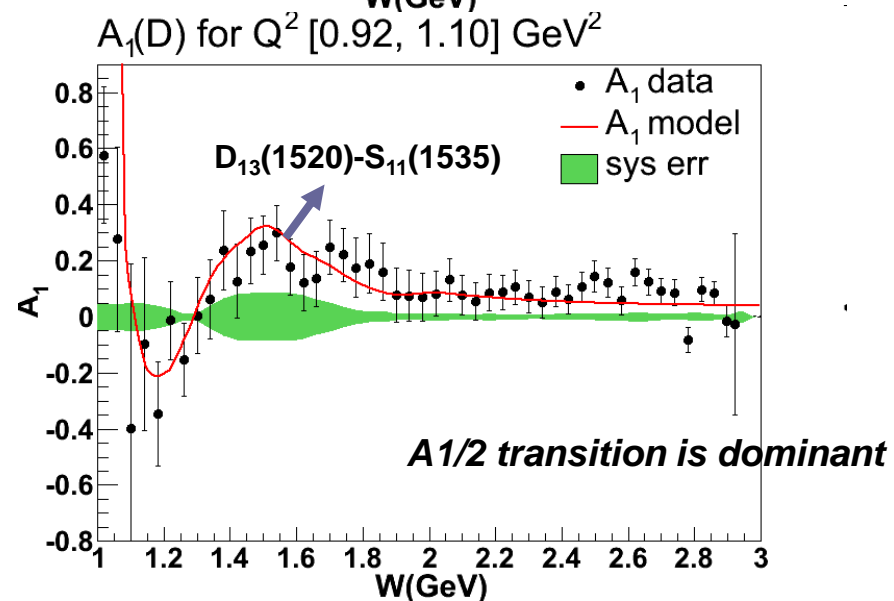
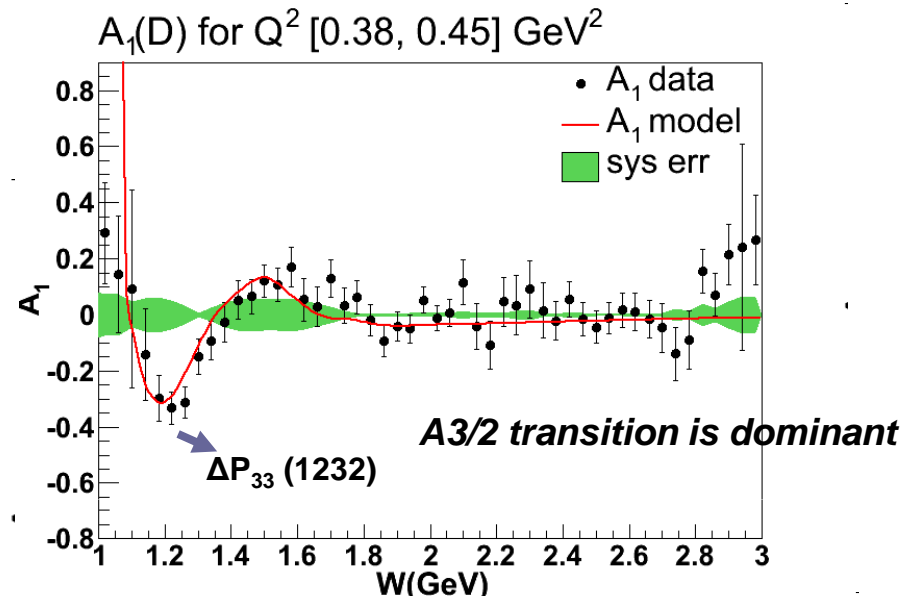




# A<sub>1</sub> Deuteron

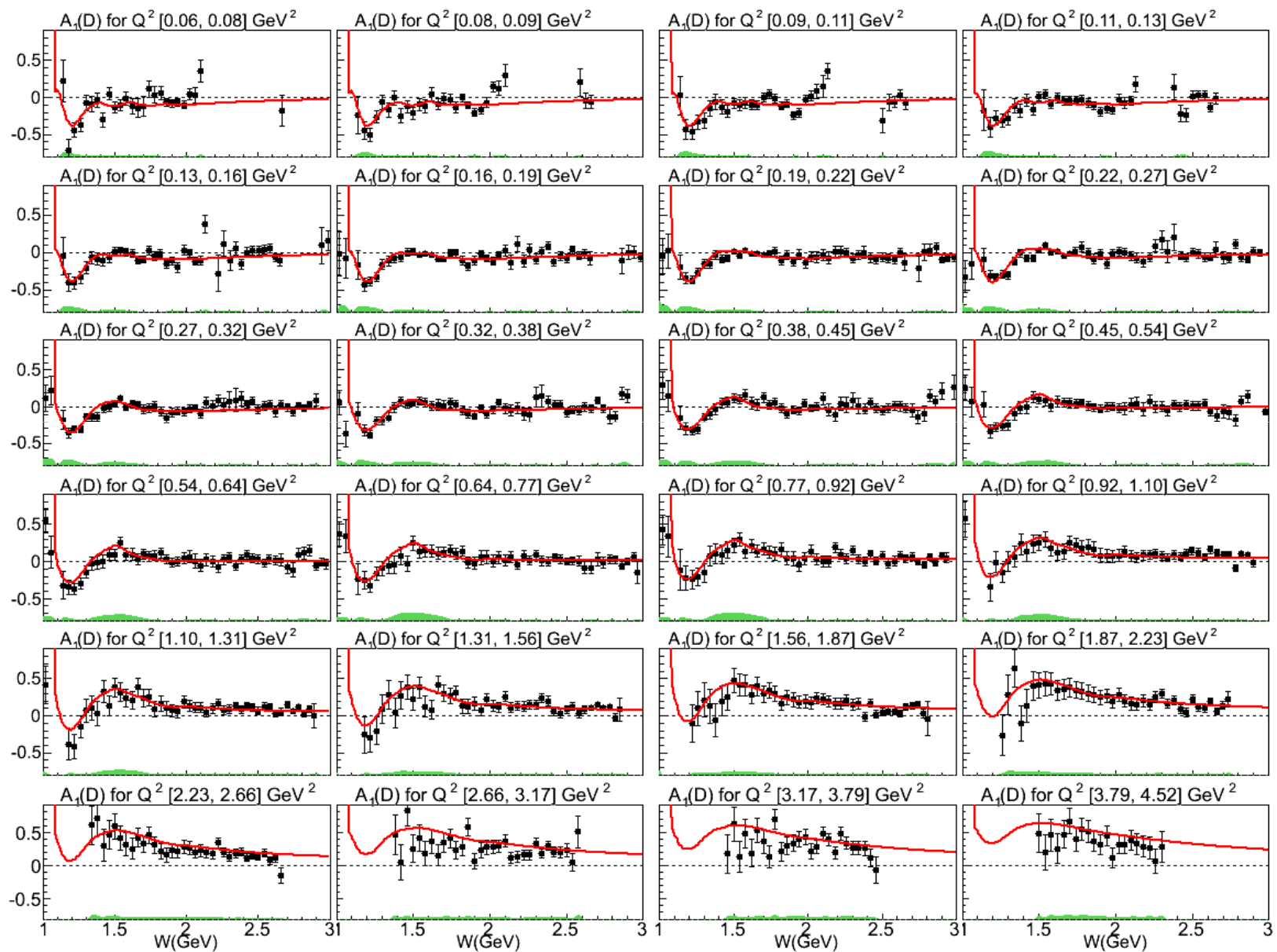


$$A_1 = \frac{\sigma_T^{1/2} - \sigma_T^{3/2}}{\sigma_T^{1/2} + \sigma_T^{3/2}}$$



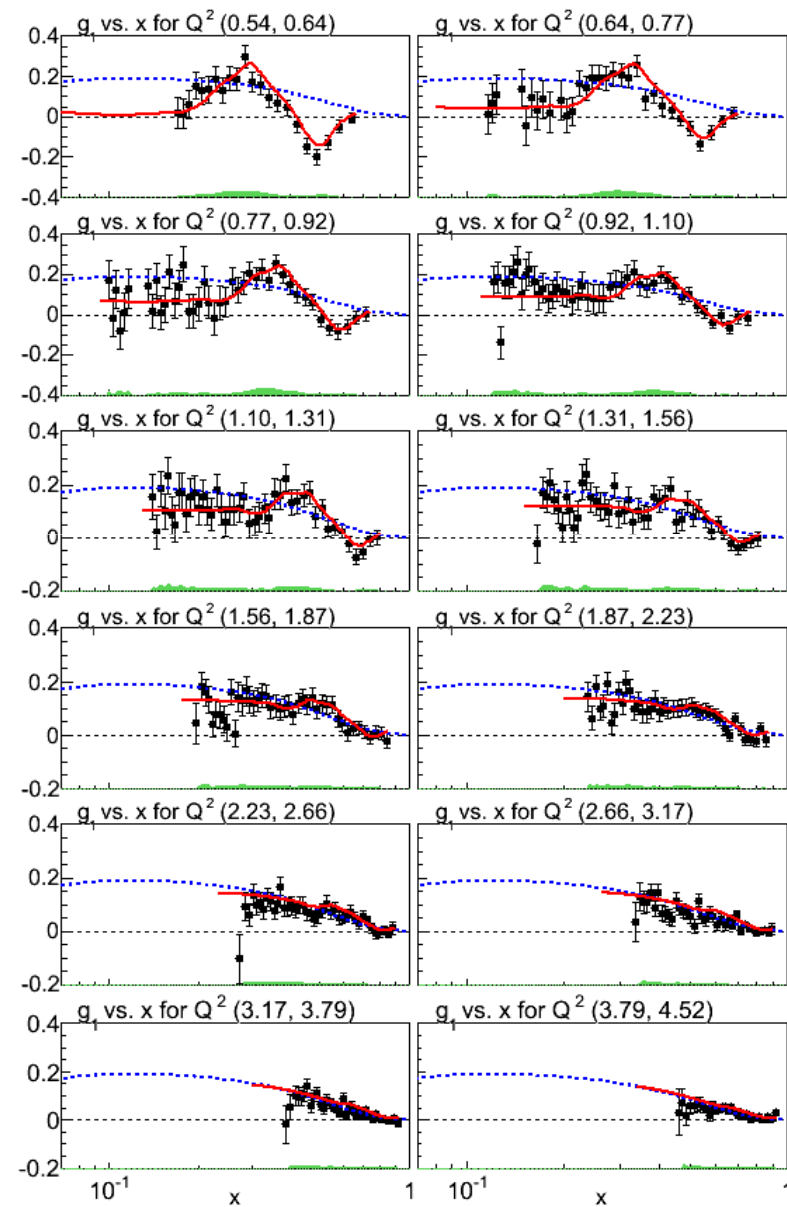
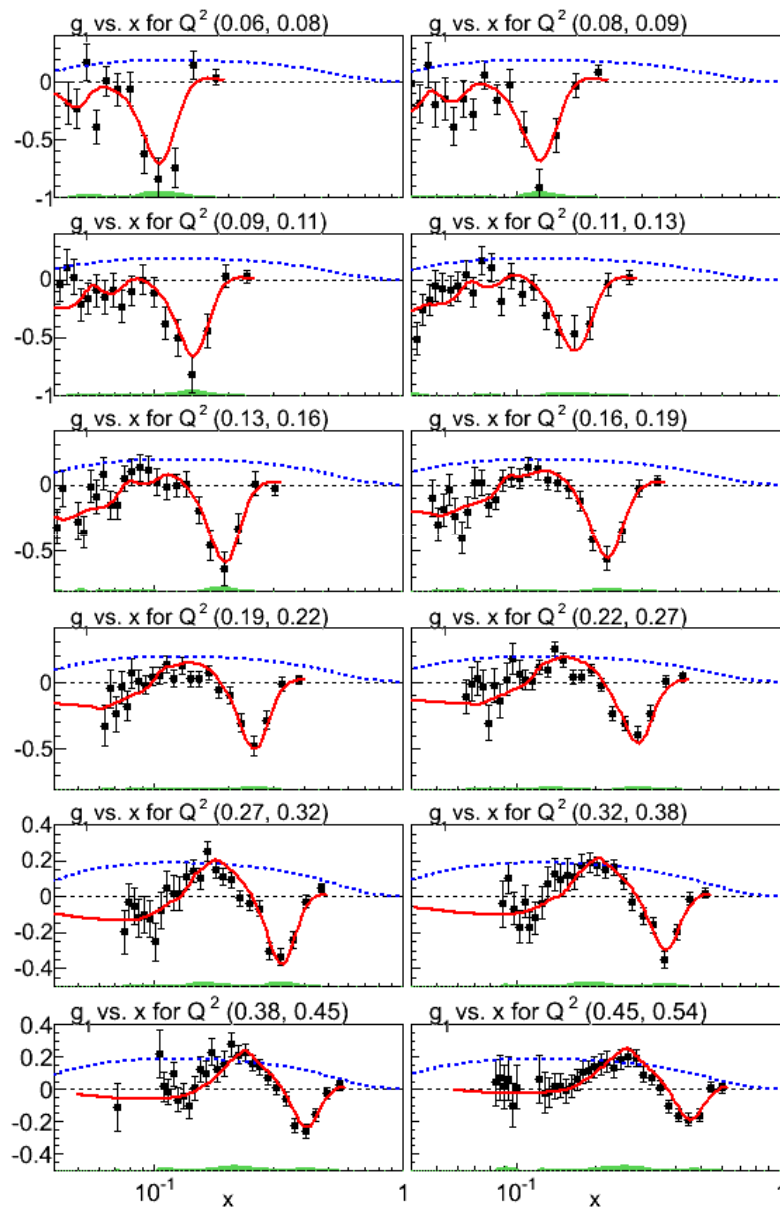


# A<sub>1</sub> Deuteron





# $g_1$ vs. $x$ for the deuteron





# First Moment of $g_1(x, Q^2)$

First moment of  $g_1^N$ :  $\Gamma_1(Q^2) = \int_0^1 g_1^N(x, Q^2) dx$

at  $Q^2 \rightarrow 0$

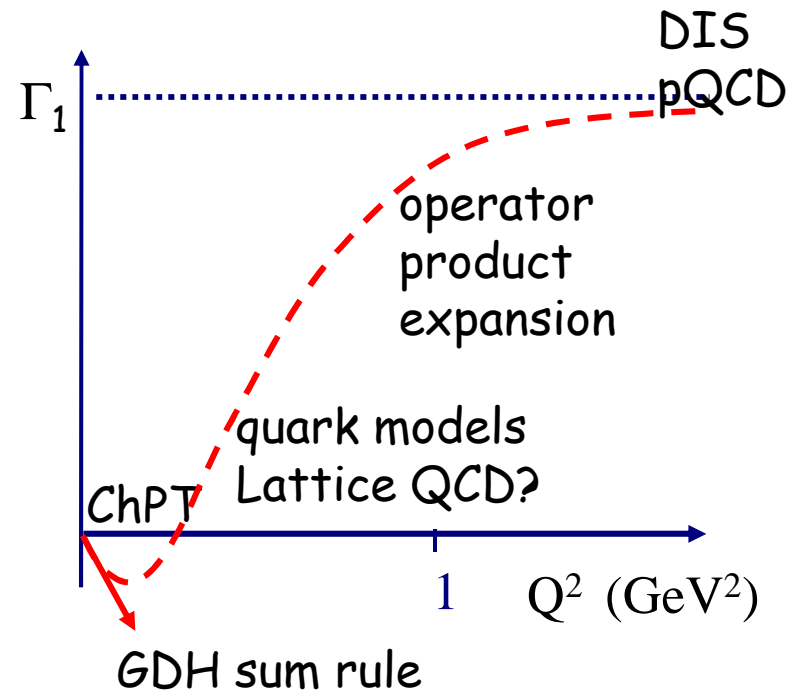
Slope of  $\Gamma_1$  is constrained by the GDH sum rule

$$I_{\text{GDH}} = \frac{M^2}{8\alpha\pi^2} \int_{\nu_{th}}^{\infty} (\sigma^{1/2}(\nu) - \sigma^{3/2}(\nu)) \frac{d\nu}{\nu} = -\frac{1}{4} \kappa^2$$

$$\Gamma_1 \rightarrow \frac{Q^2}{2M^2} I_{\text{GDH}} = -\frac{Q^2}{8M^2} \kappa^2$$

at  $Q^2 \rightarrow \infty$

Closely related to the total spin carried by quarks.



- ❑ Dramatic change of sign of  $\Gamma_1$  from DIS-regime to the value at the real photon point.
- ❑ At low  $Q^2$ ,  $g_1(x, Q^2)$  is dominated by resonance excitations.



# Q<sup>2</sup> evolution of the GDH integral

## Small Q<sup>2</sup>

GDH sum rule

Experiments at Mainz, Bonn

Chiral perturbation theory

?

## Intermediate Q<sup>2</sup>

Extended GDH sum rule

$$I_{GDH}(Q^2) = \frac{M^2}{8\pi^2\alpha} \int_{\nu_{th}}^{\infty} (\sigma^{1/2}(\nu, Q^2) - \sigma^{3/2}(\nu, Q^2)) \frac{d\nu}{\nu}$$

Several different models

Experiments at JLAB (CLAS/Hall A/Hall C)

A good test of “at what distance scale pQCD corrections and higher twist expansions will break down and physics of confinement dominate”.

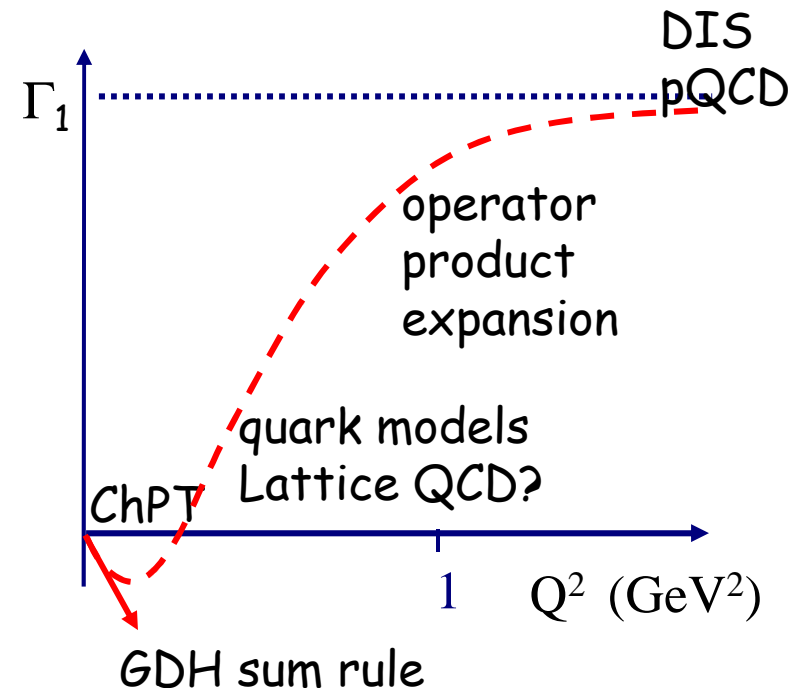
## Large Q<sup>2</sup>

Bjorken Sum rule

Experiments at CERN, SLAC, DESY

Higher order QCD expansion

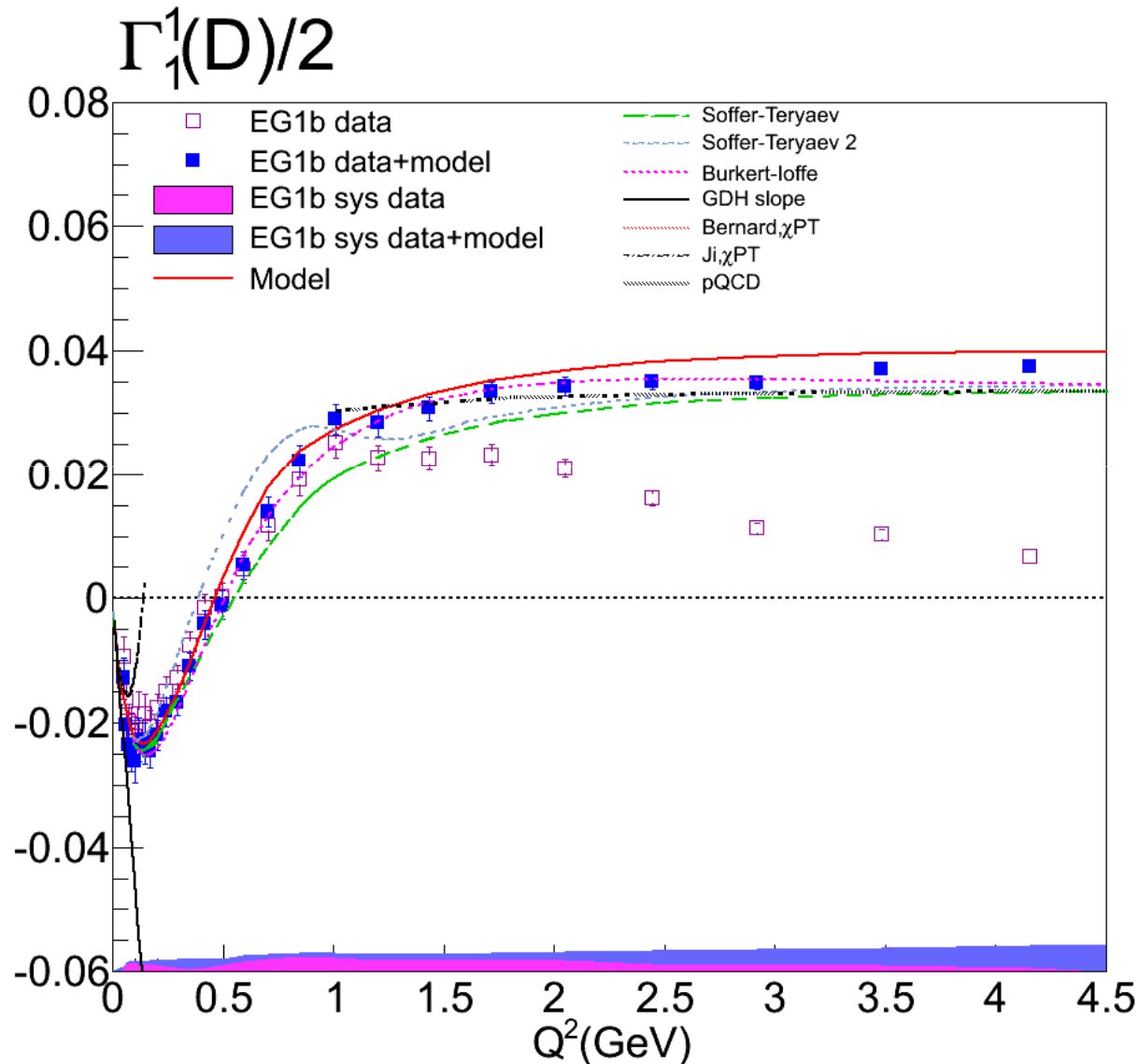
$$\Gamma_1^p(Q^2) - \Gamma_1^n(Q^2) = \frac{1}{6} g_A \left[ 1 - \frac{\alpha_s(Q^2)}{\pi} + \dots \right] \xrightarrow{Q^2 \rightarrow \infty} \frac{1}{6} g_A$$



EG1b has a good precision data with wide Q<sup>2</sup> coverage to answer some of these questions.



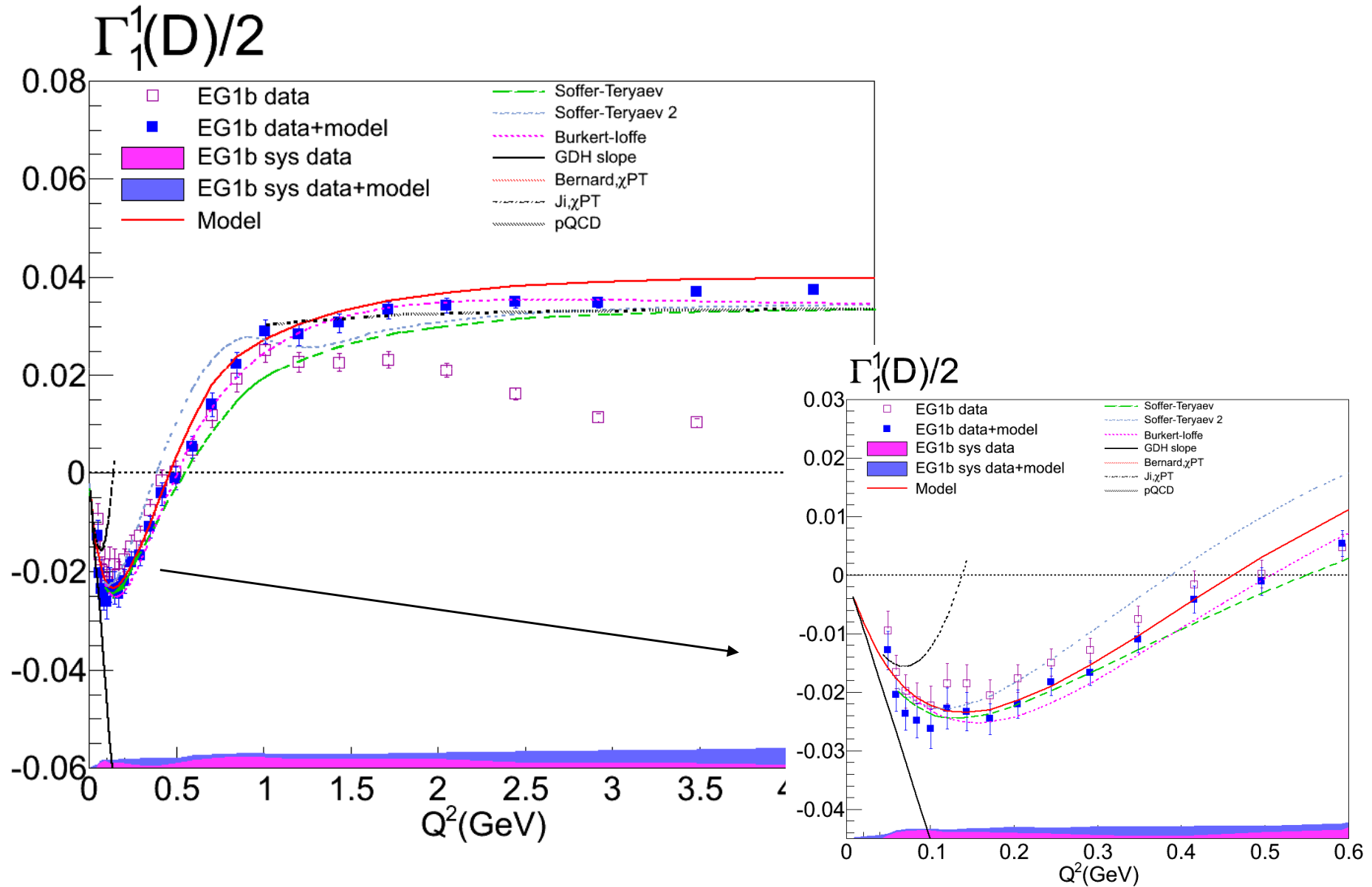
# $\Gamma_1$ Deuteron, Data and Data+Model results



- $\Gamma_{1D}^1$  : First moment of  $g_{1D}$
- Strong  $Q^2$  - dependence (zero crossing)
- Well described by some parameterizations such as
  - Burkert-loffe: Resonances plus VMD term interpolate GDH to DIS
  - Soffer-Teryaev interpolate  $g_1+g_2$
- $\chi$ PT calculation works only at smallest  $Q^2$



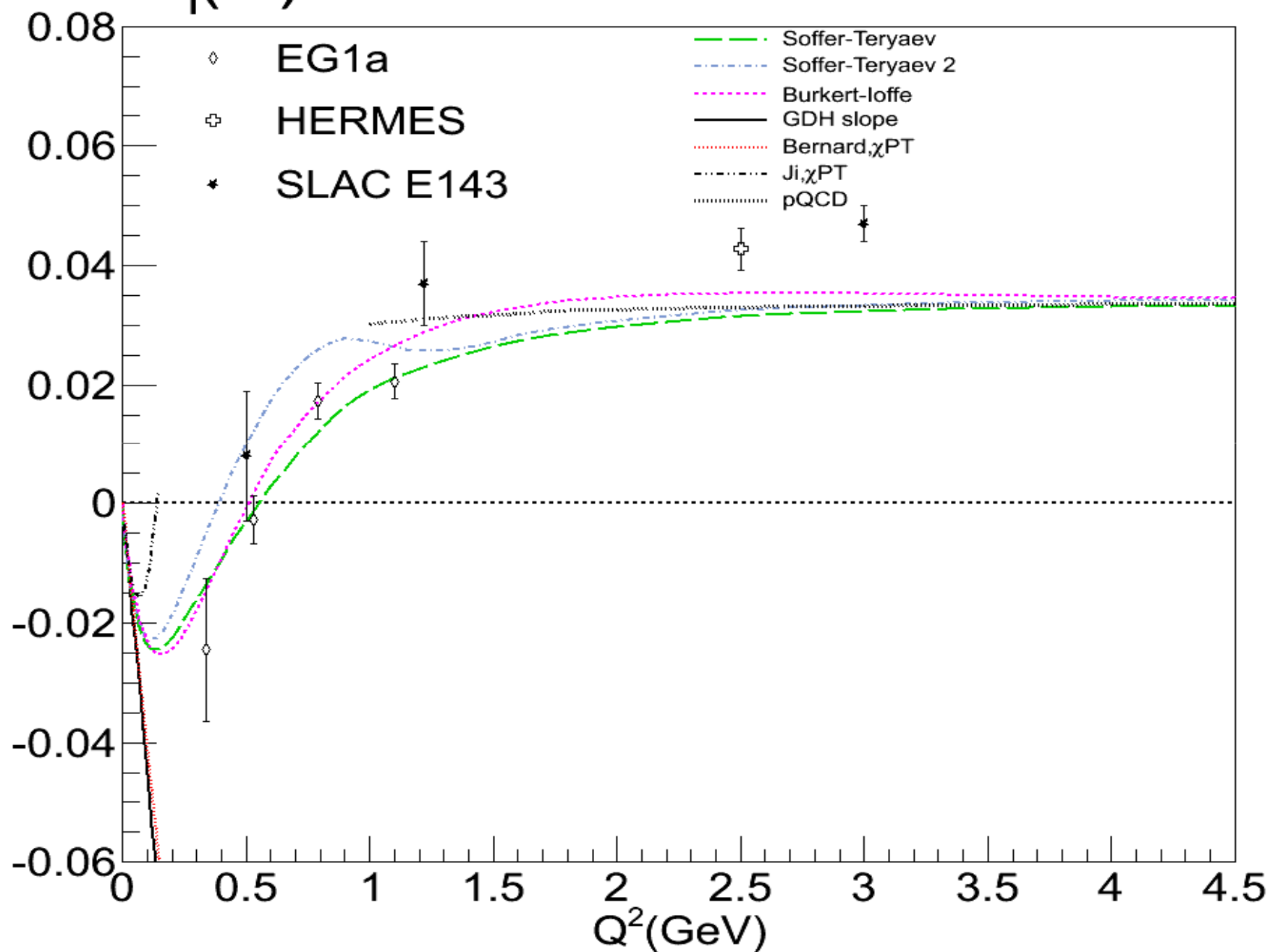
# $\Gamma_1$ Deuteron, Data and Data+Model results





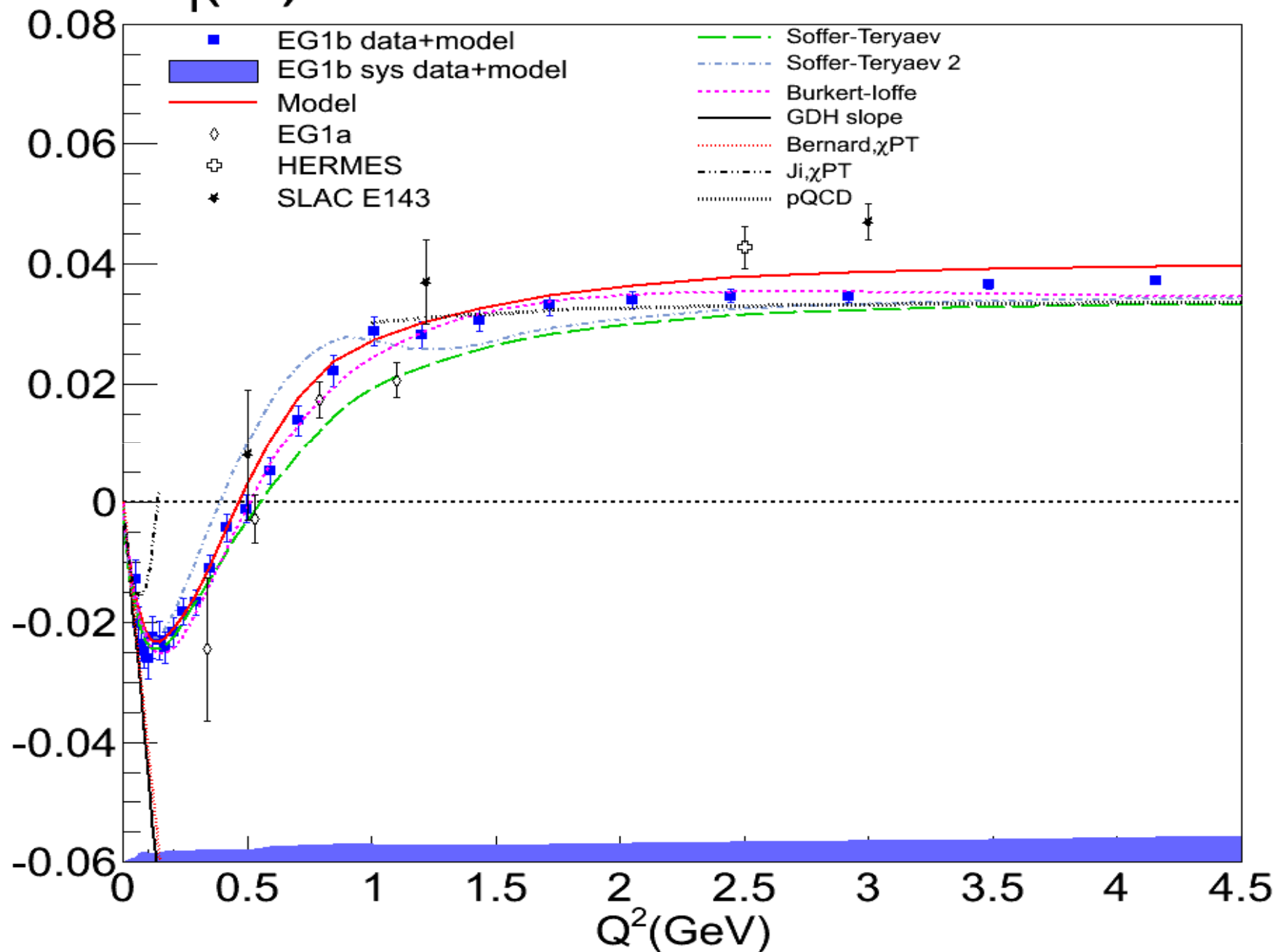
$$\Gamma_1^1(D)/2$$

$\Gamma_1$  Deuteron, Comparison to world data



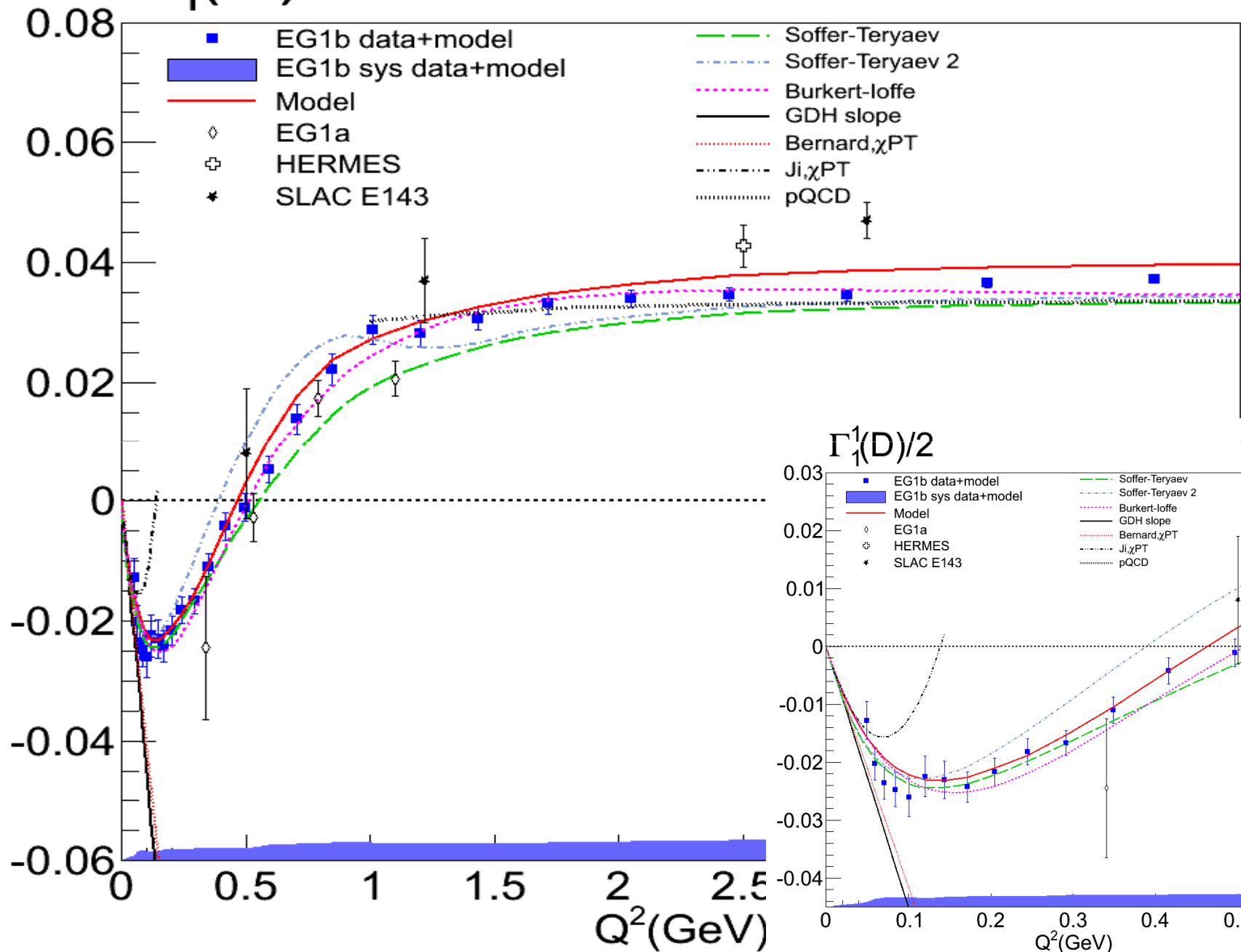


# $\Gamma_1^1(D)/2$ $\Gamma_1$ Deuteron, Comparison to world data



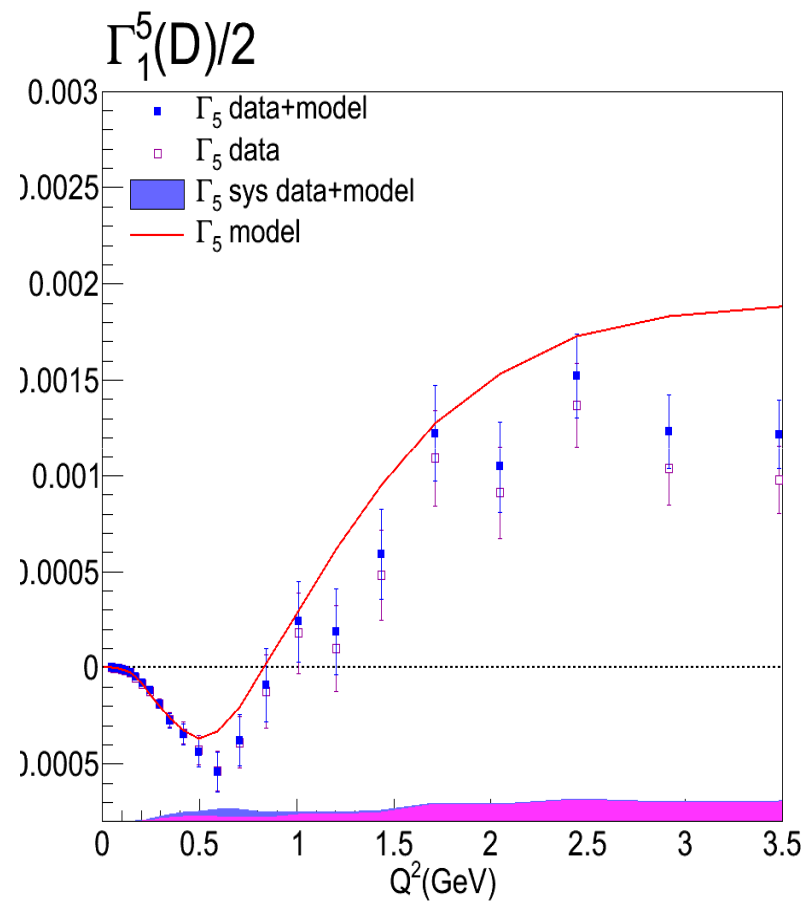
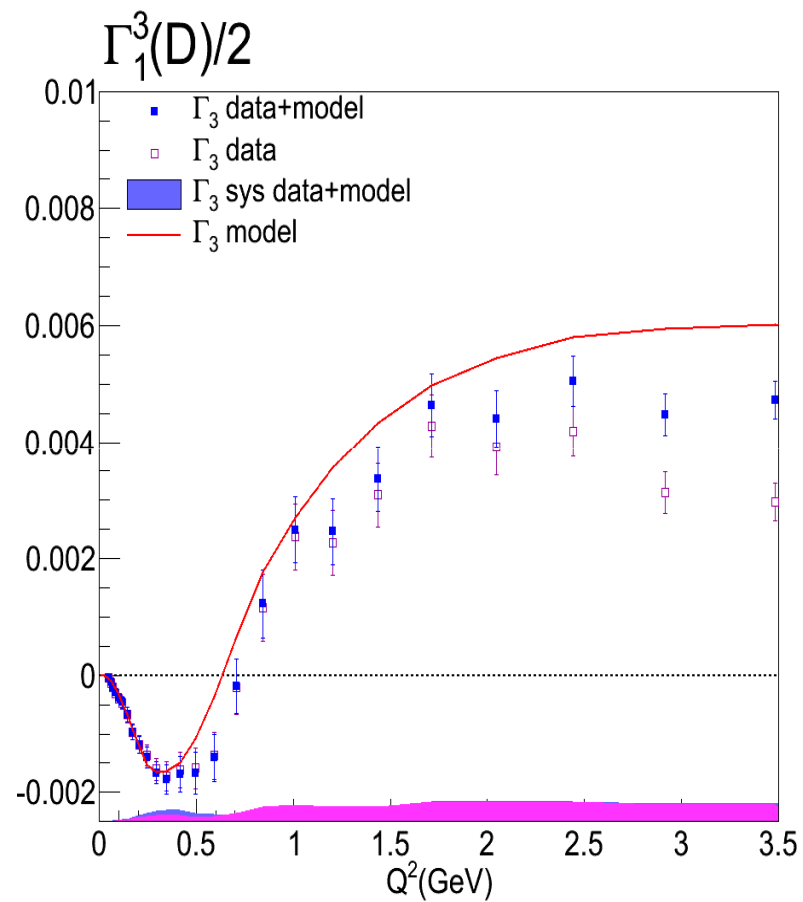


# $\Gamma_1^1(D)/2$ $\Gamma_1$ Deuteron, Comparison to world data





# $\Gamma_3$ and $\Gamma_5$ Deuteron





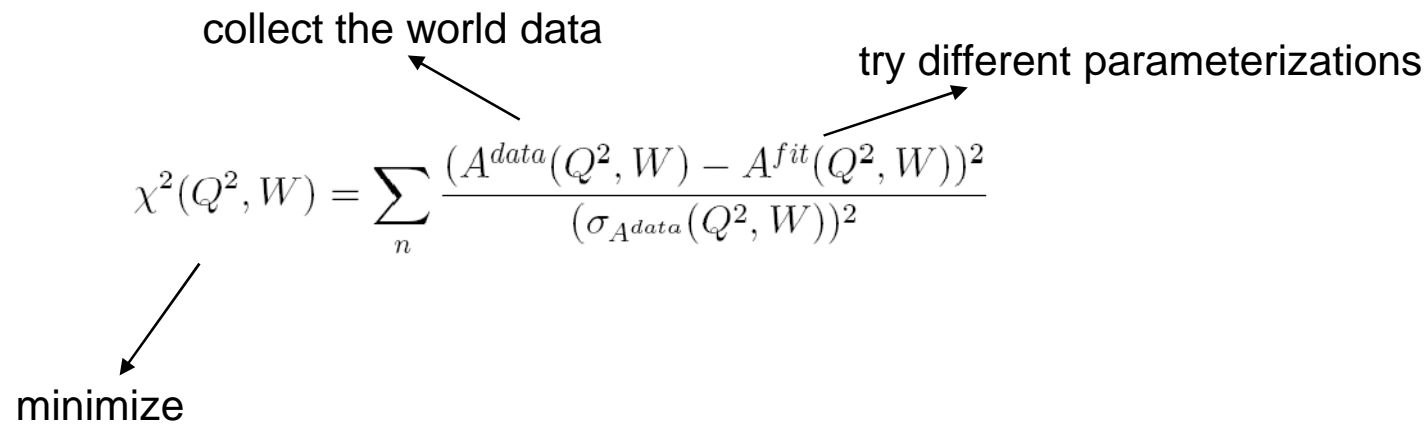
# Parameterization of the World Data

collect the world data

try different parameterizations

$$\chi^2(Q^2, W) = \sum_n \frac{(A^{data}(Q^2, W) - A^{fit}(Q^2, W))^2}{(\sigma_{A^{data}}(Q^2, W))^2}$$

minimize





# Parameterization of the World Data

$$E_1 = P_0 + P_1 \tan^{-1}[(Q^2 - P_2^2) P_3^2]$$

$$E_2 = P_4 + P_5 \tan^{-1}[(Q^2 - P_6^2) P_7^2]$$

$$E_3 = 1 - E_1 - E_2$$

$$E_4 = P_8 + P_9 \tan^{-1}[(Q^2 - P_{10}^2) P_{11}^2]$$

$$E_5 = P_{12} + P_{13} \tan^{-1}[(Q^2 - P_{14}^2) P_{15}^2]$$

$$C_1 = 1 - \sin\left(\frac{\pi}{2} \left[\frac{W - 1.08}{2 - 1.08}\right]\right)$$

$$C_2 = C_1^2$$

$$C_3 = \cos\left(\frac{\pi}{2} \left[\frac{W - 1.08}{2 - 1.08}\right]\right)$$

$$C_4 = \begin{cases} \left[\sin\left(\pi \left[\frac{W - 1.08}{1.9 - 1.08}\right]\right)\right]^2 & W \geq 1.9 \\ 0 & W < 1.9 \end{cases}$$

$$C_5 = \begin{cases} \sin\left(\pi \left[\frac{W - 1.08}{1.35 - 1.08}\right]\right) & W < 1.35 \\ 0 & W \geq 1.35 \end{cases}$$

$$\mathcal{M} = E_1 C_1 + E_2 C_2 + E_3 C_3 + E_4 C_4 + E_5 C_5$$

$$A_1^{C[1]} = \begin{cases} \mathcal{M} A_1^M + (1 - \mathcal{M}) A_1^{DIS} & W \leq 2 \\ A_1^{DIS} & W > 2 \end{cases}$$

$$Q_{ph}^2 = \begin{cases} 0 & Q^2 \leq 0.01 \text{ GeV}^2 \\ \frac{1}{3\pi} \left( \frac{\log(Q^2)}{\log(10)} + 2 \right) & 0.01 < Q^2 < 10 \text{ GeV}^2 \\ 1 & Q^2 \geq 10 \text{ GeV}^2 \end{cases}$$

$$W_{ph} = \pi \frac{(W - 1.08)}{(2.04 - 1.08)}$$

$$D_0 = P_0 + P_1 \cos(Q_{ph}^2) + P_2 \cos(2Q_{ph}^2)$$

$$D_1 = P_3 + P_4 \cos(Q_{ph}^2) + P_5 \cos(2Q_{ph}^2)$$

$$D_2 = P_6 + P_7 \cos(Q_{ph}^2) + P_8 \cos(2Q_{ph}^2)$$

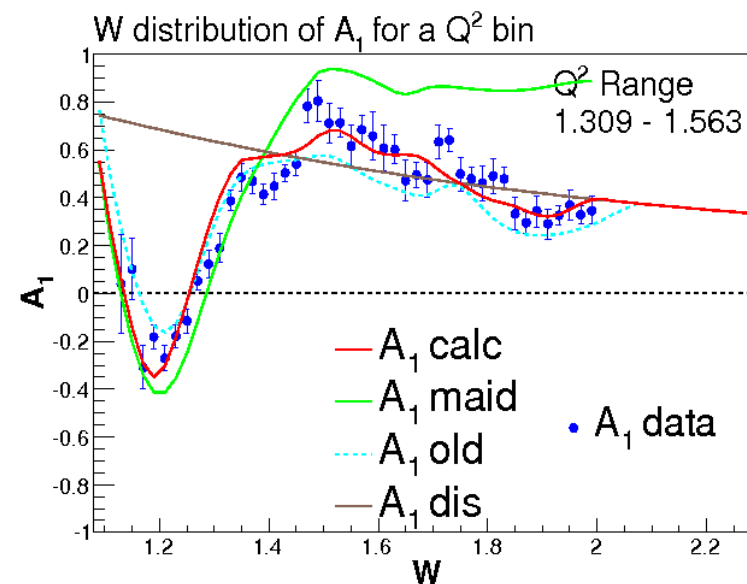
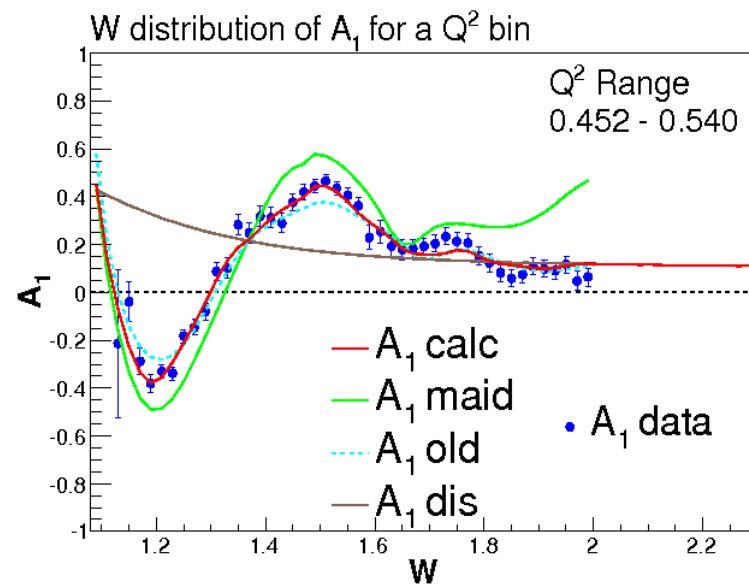
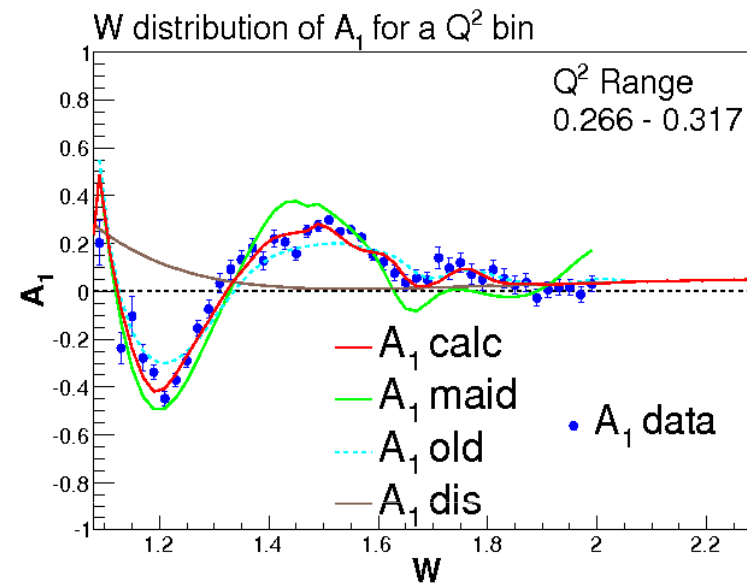
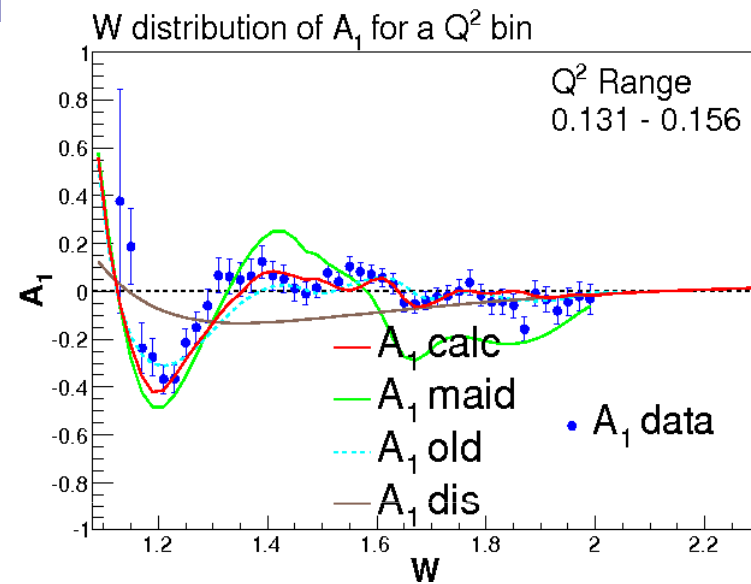
$$D_3 = P_9 + P_{10} \cos(Q_{ph}^2) + P_{11} \cos(2Q_{ph}^2)$$

$$\mathcal{B} = \begin{cases} D_0 \sin(12W_{ph}) + D_1 \sin(W_{ph}) \\ + D_2 \sin(2W_{ph}) + D_3 \sin(4W_{ph}) & W < 2.04 \text{ GeV} \\ 0 & W \geq 2.04 \text{ GeV} \end{cases}$$

$$A_1^C = (1 - \mathcal{B}) A_1^{C[1]} + \mathcal{B} A_1^{OM}$$

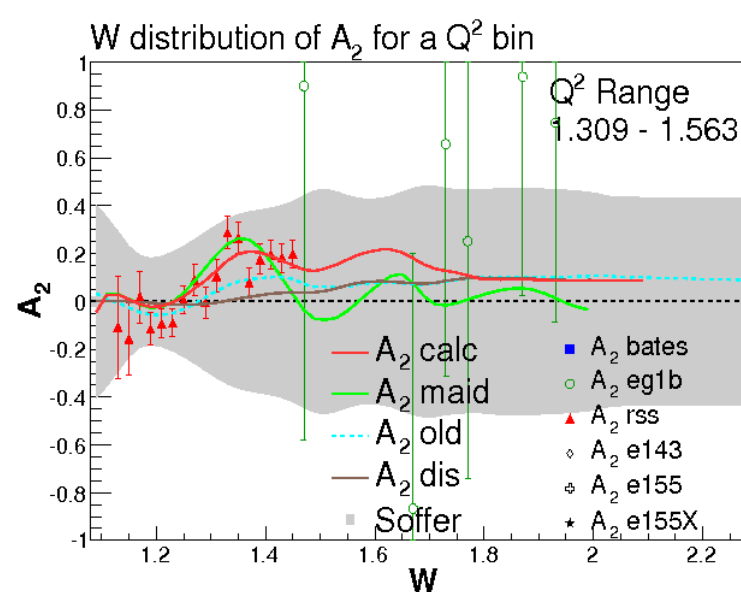
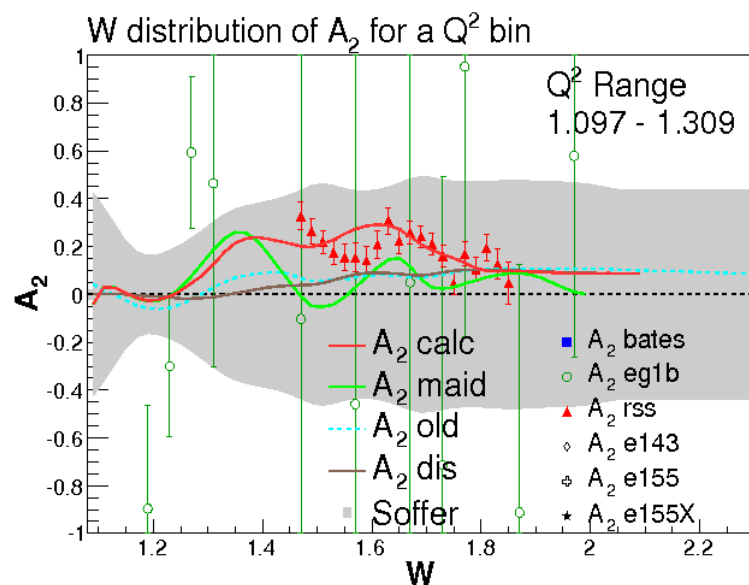
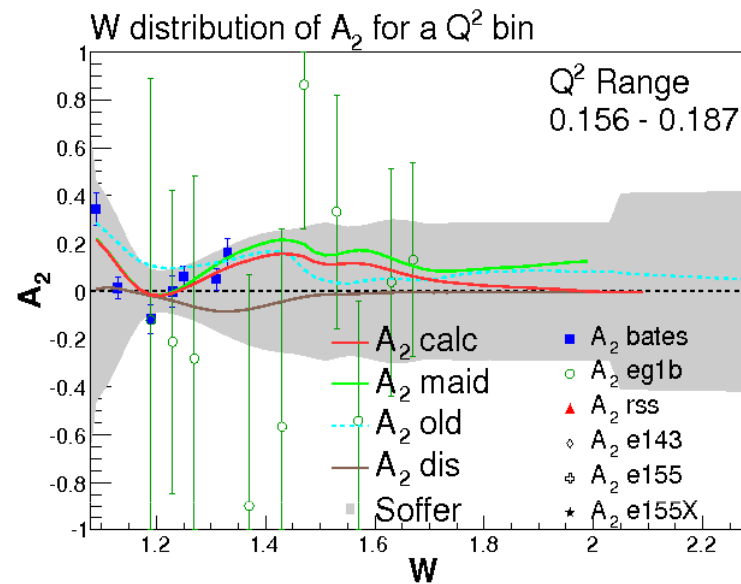
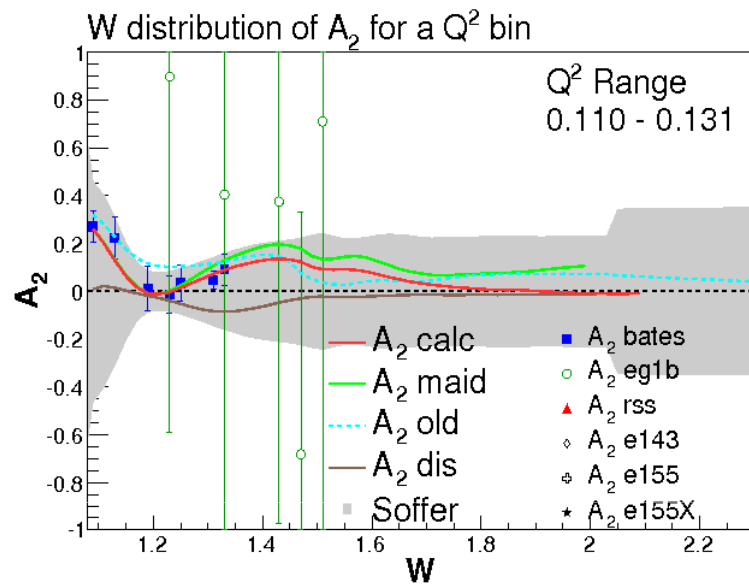


# Parameterization of $A_1^p$



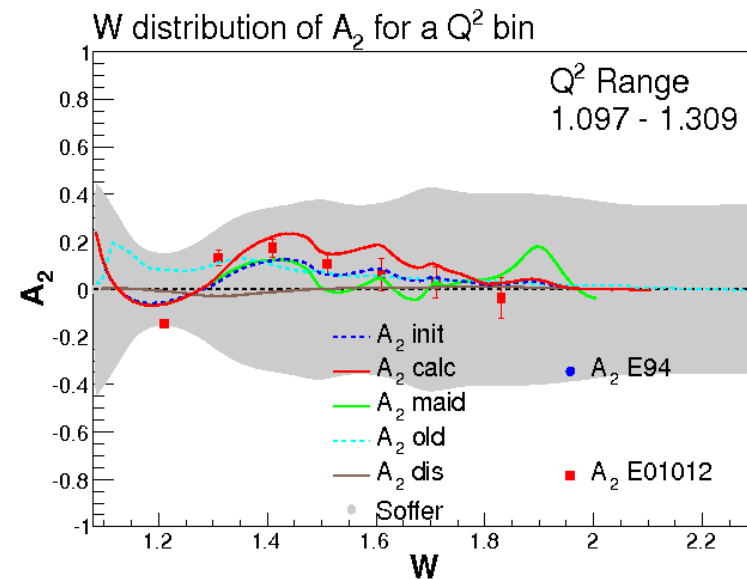
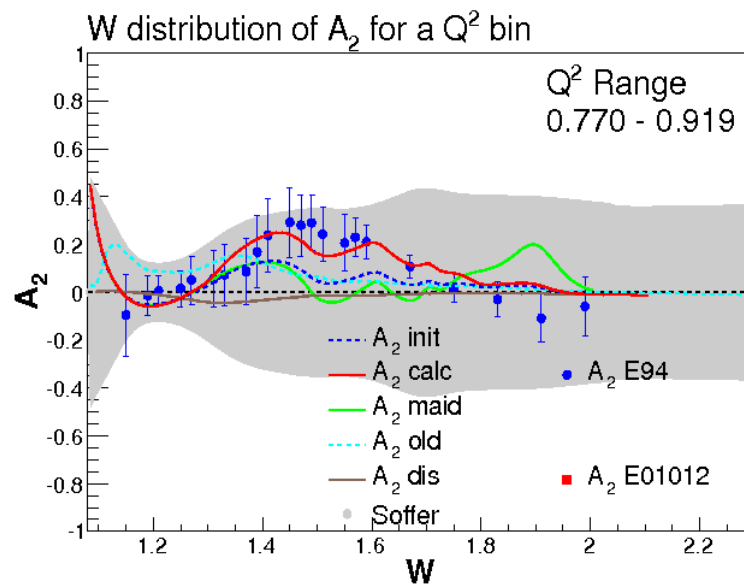
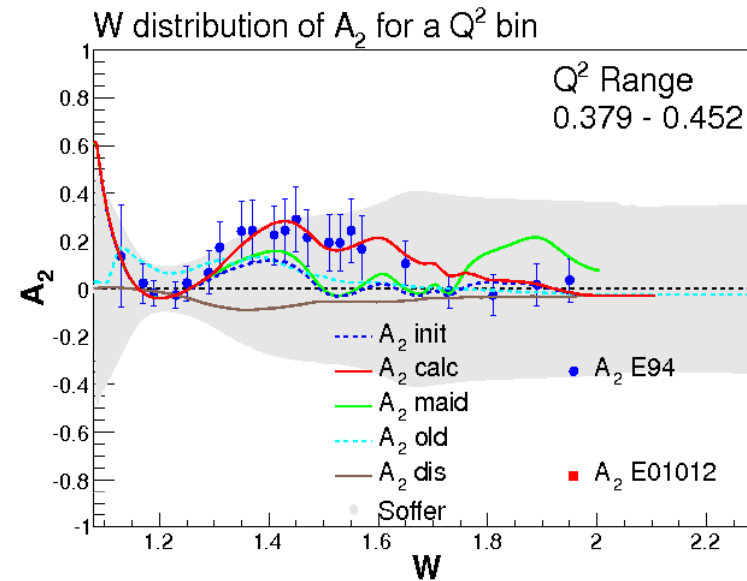
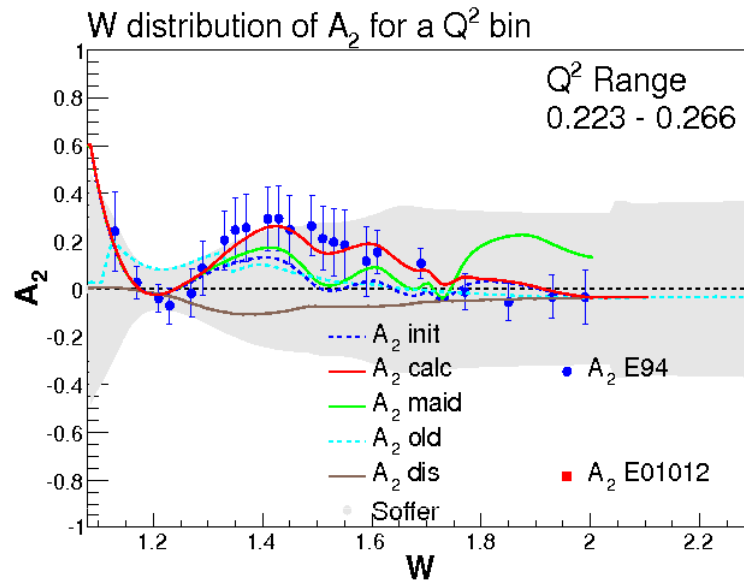


# Parameterization of $A_2^p$





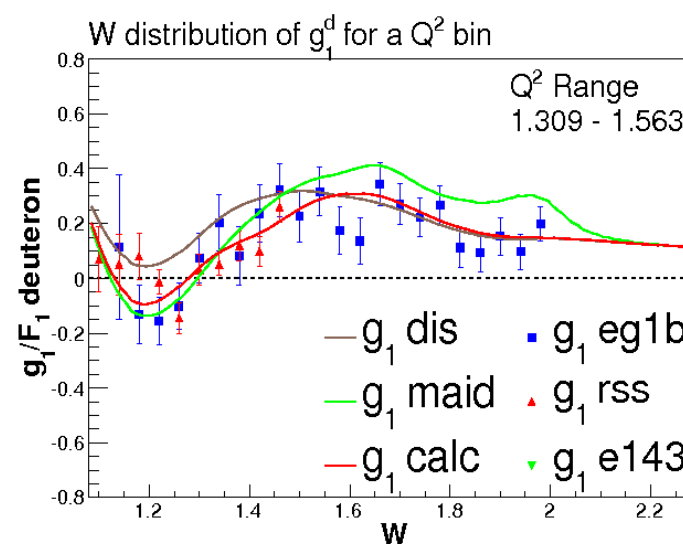
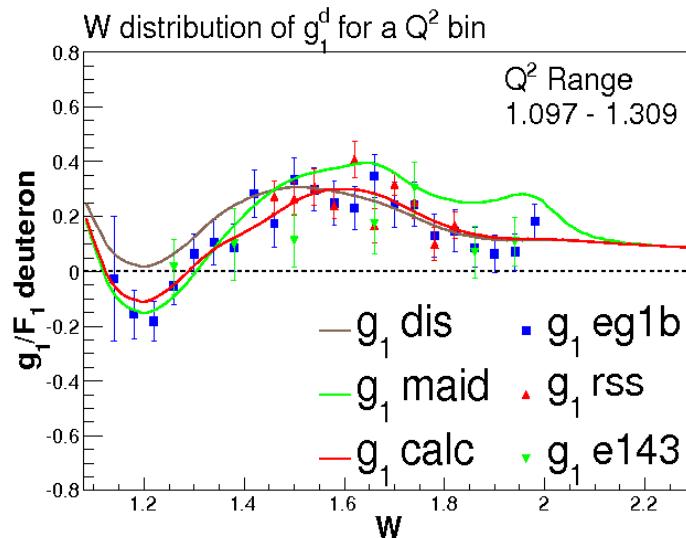
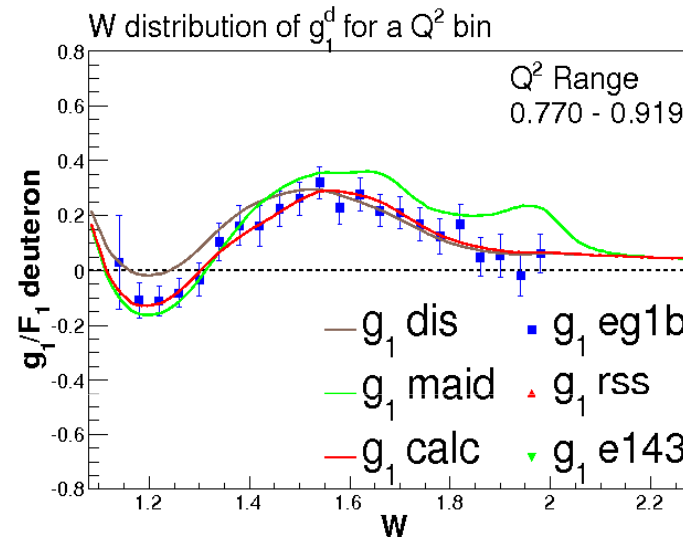
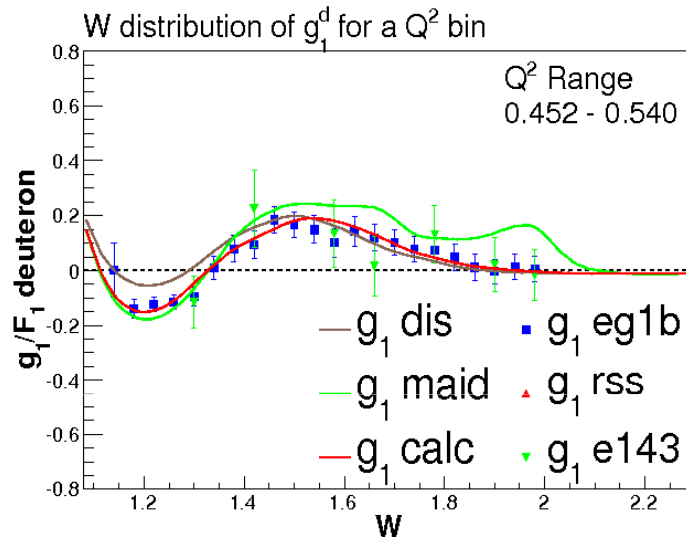
# Parameterization of $A_2^n$





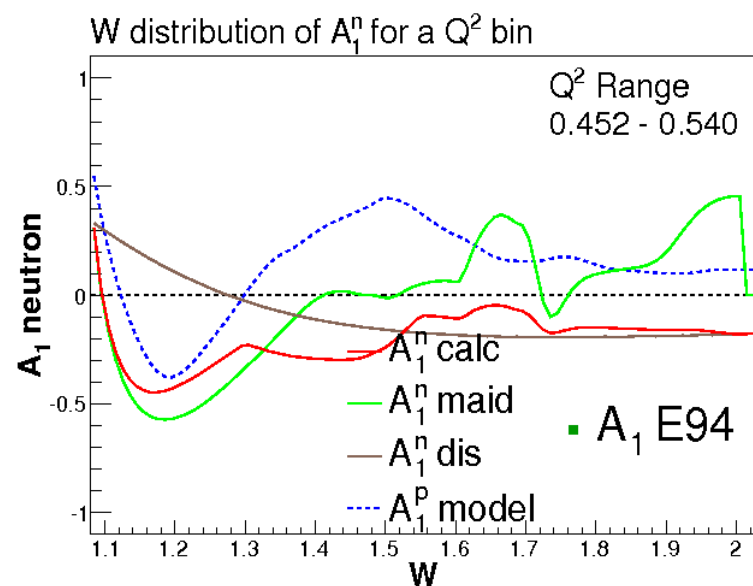
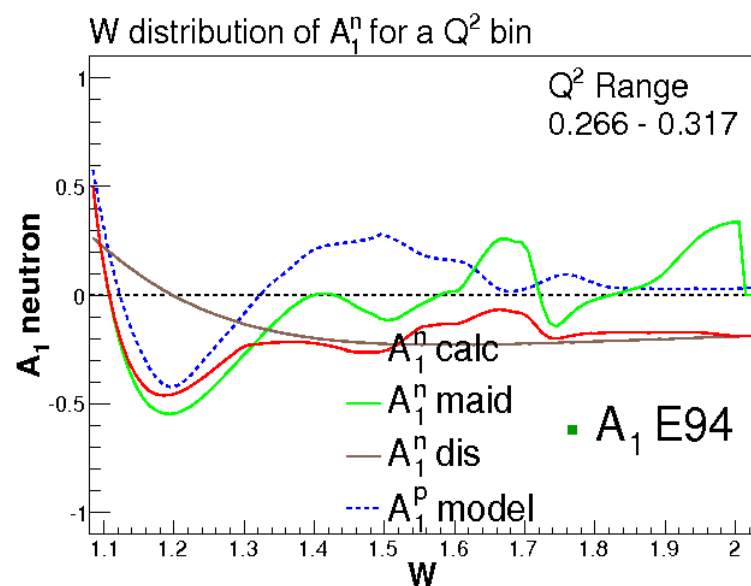
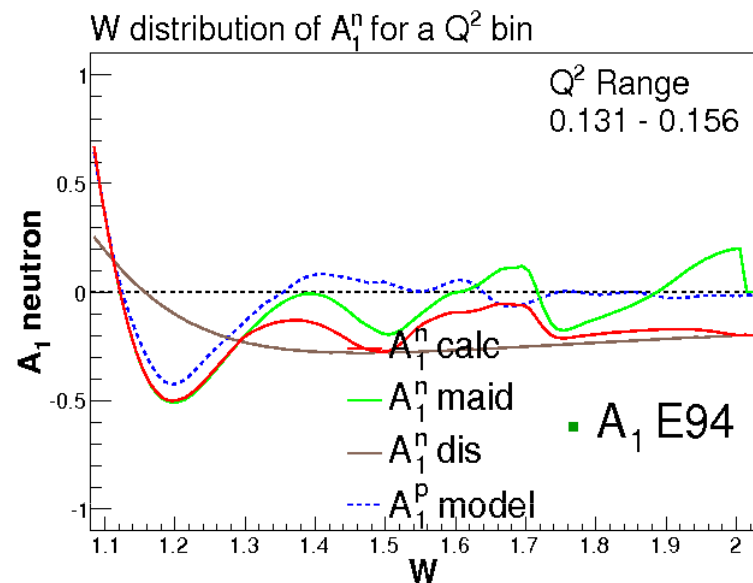
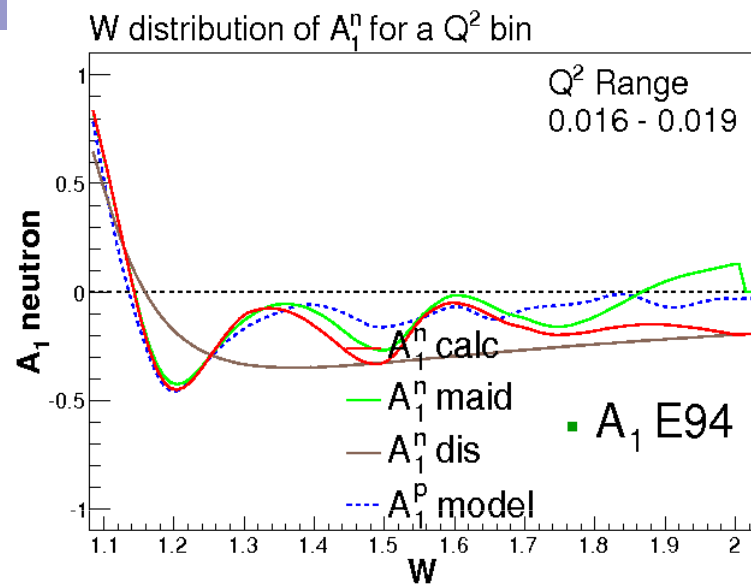
# Parameterization of $A_1^n$

Parameterize the neutron; use the proton and neutron models with **smearing** function and calculate deuteron  $g_1$ ; then compare to the data to determine the fit parameters for neutron





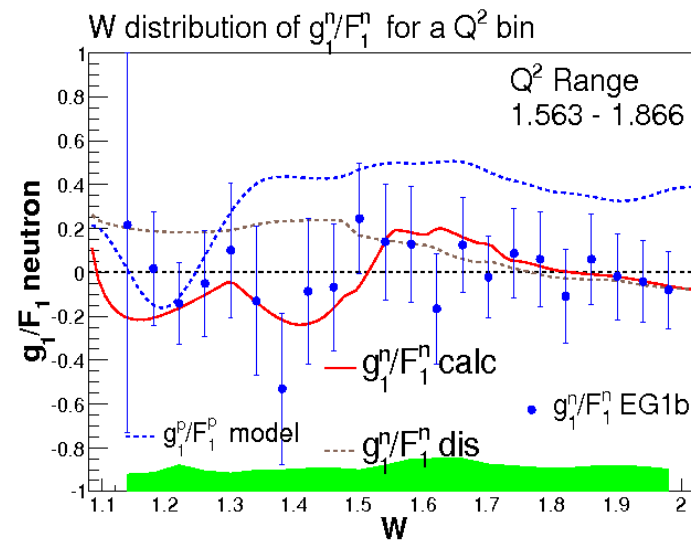
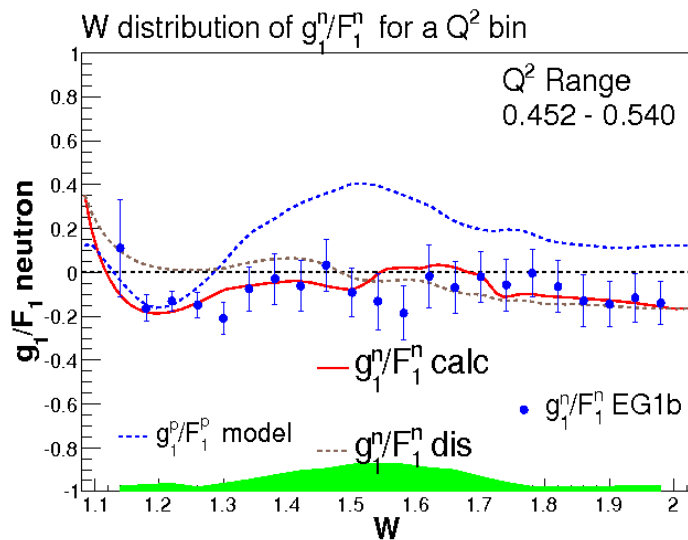
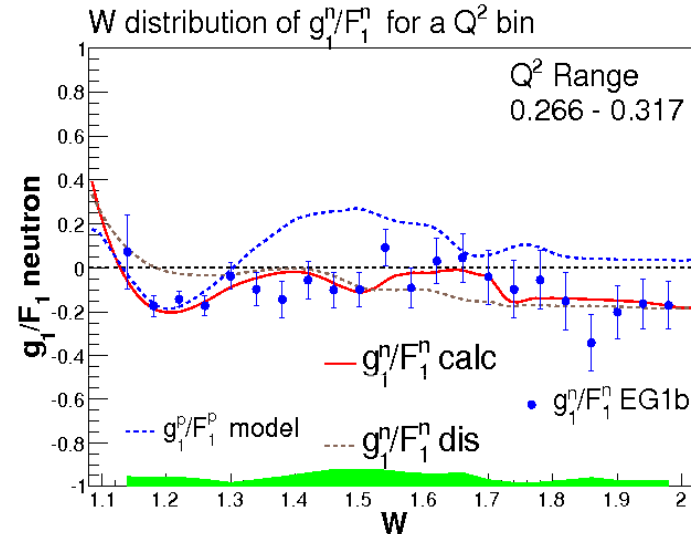
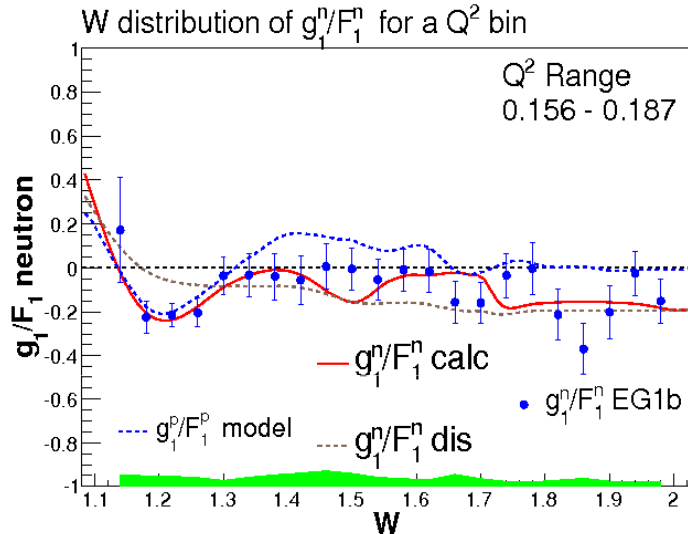
# Parameterization of $A_1^n$





# Extraction of the $g_1^n$

$$g_1^{n[data]} = \frac{1}{1 - 1.5w_D} (g_1^{d[data]} - g_1^{d[model]}) + g_1^{n[model]}$$





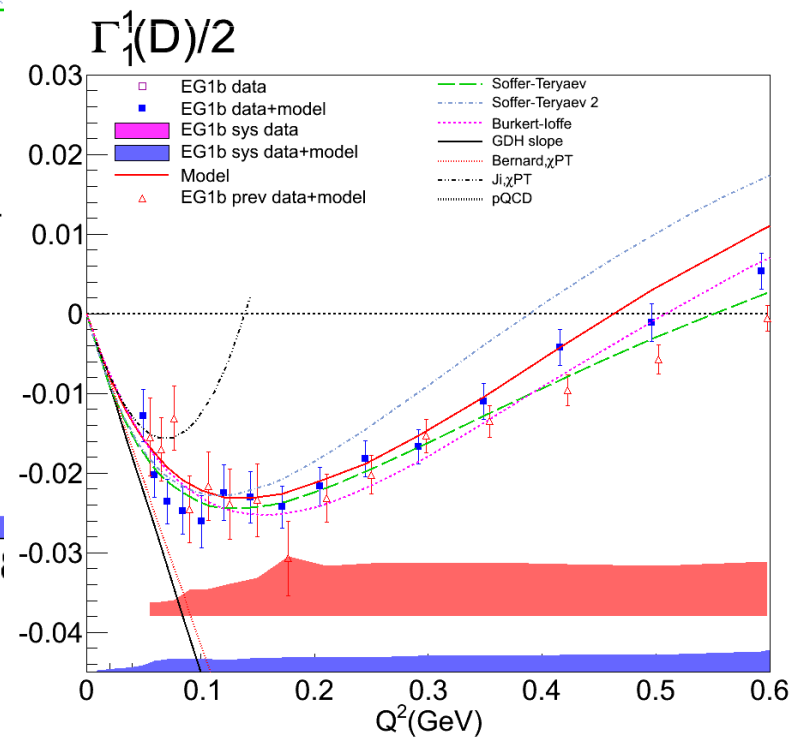
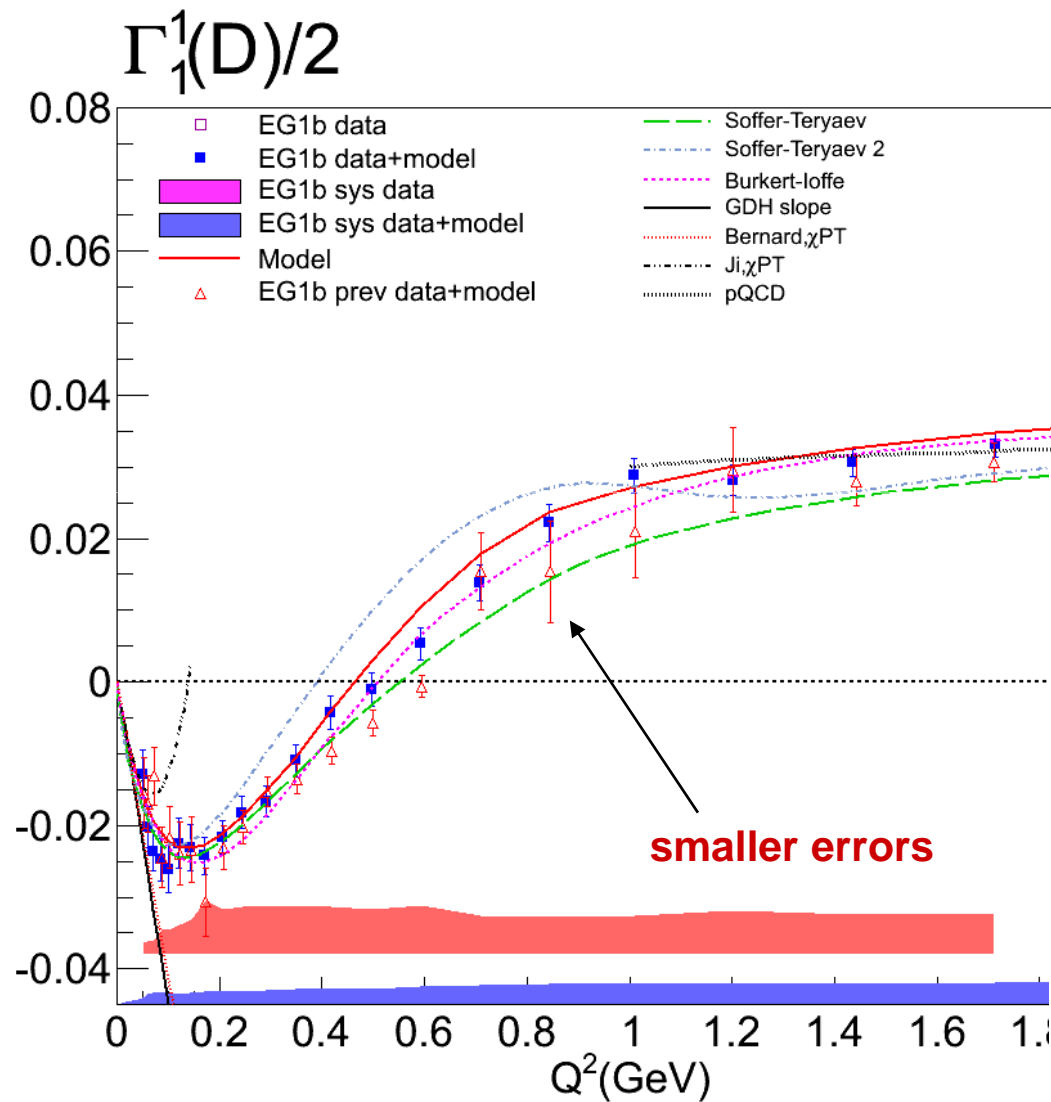


# Conclusions

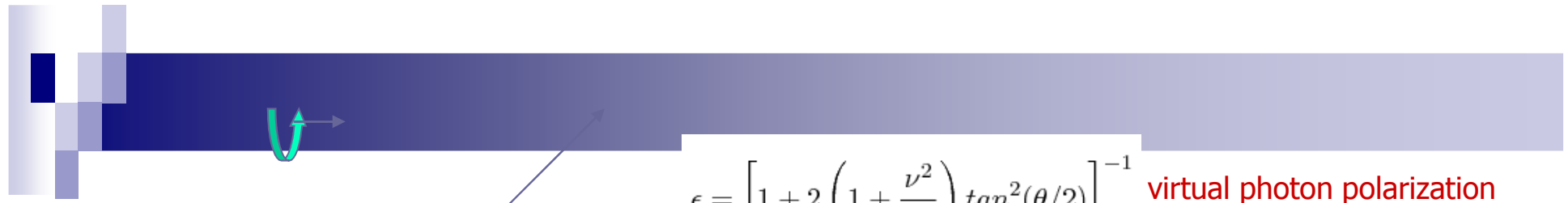
- ❑ Inclusive SSFs for both the proton and the deuteron have been measured with unprecedented statistics and coverage in the low – to moderate  $Q^2$  region. The data cover both the resonance region and the onset of the DIS region.
- ❑ The structure function  $g_1$  is deeply affected by the resonance contribution. Hence, the moments of  $g_1$  also show strong variation with  $Q^2$ . Some phenomenological calculations describe the experimental results well. The data will be useful for future Lattice QCD calculations, extraction of higher twist coefficients and to study duality.
- ❑ World data on the virtual photon asymmetries were parameterized. High precision data from the EG1b experiment played a key role. By using these parameterizations and the deuteron data, spin structure function  $g_1$  of the neutron was extracted.
- ❑ A wealth of semi-inclusive and exclusive data were also collected simultaneously and have been analyzed.
- ❑ A follow-up experiment (EG1-DVCS) at the highest beam energy available at JLab (6 GeV) will improve significantly the precision of the data at the highest  $Q^2$ .



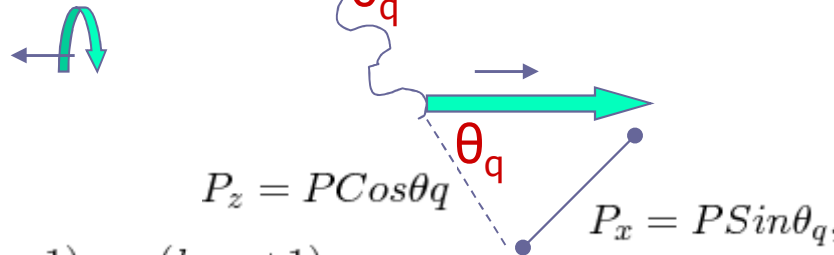
# $\Gamma_1$ Deuteron, Comparison to previous analysis







$$\epsilon = \left[ 1 + 2 \left( 1 + \frac{\nu^2}{Q^2} \right) \tan^2(\theta/2) \right]^{-1} \quad \text{virtual photon polarization}$$



a path from experiment to theory is established!

$$A_{\parallel} = \frac{\sigma(h = -1) - \sigma(h = +1)}{\sigma(h = -1) + \sigma(h = +1)} \quad \text{experimental quantity}$$

$$\sigma = \sigma_T + \epsilon \sigma_L + h P_z \sqrt{1 - \epsilon^2} \sigma_{TT'} + h P_x \sqrt{2\epsilon(1 - \epsilon)} \sigma_{LT'} + P_y \sqrt{2\epsilon(1 + \epsilon)} \sigma_{LT}$$

$$A_{\parallel} = \frac{-2P_z \sqrt{1 - \epsilon^2} \sigma_{TT'} - 2P_x \sqrt{2\epsilon(1 - \epsilon)} \sigma_{LT'}}{2\sigma_T + 2\epsilon \sigma_L}$$

$$A_{\parallel} = \left( \frac{\cos \theta_q \sqrt{1 - \epsilon^2}}{1 + \epsilon R} \right) \frac{\sigma_{TT'}}{\sigma_T} + \left( \frac{\sin \theta_q \sqrt{2\epsilon(1 - \epsilon)}}{1 + \epsilon R} \right) \frac{\sigma_{LT'}}{\sigma_T}$$

$$A_1 = \frac{\sigma_{TT'}}{\sigma_T}, A_2 = \frac{\sigma_{LT'}}{\sigma_T}$$

$$\sigma_T = \frac{\sigma_{3/2} + \sigma_{1/2}}{2}, \sigma_{TT'} = \frac{\sigma_{3/2} - \sigma_{1/2}}{2}$$

$$A_{\parallel} = -D \left( \frac{\sigma_{TT'}}{\sigma_T} + \eta \frac{\sigma_{LT'}}{\sigma_T} \right) = -D(-A_1 - \eta A_2) \quad \text{theoretical quantities}$$

$$R = \frac{\sigma_L}{\sigma_T}, D = \frac{1 - E'\epsilon/E}{1 + \epsilon R}, \eta = \frac{\epsilon \sqrt{Q^2}}{E - E'\epsilon}$$



# Structure functions

Scattering cross-section for electrons:

$$\frac{d\sigma}{dE' d\Omega} = \frac{\alpha^2}{Q^4} \frac{E'}{E} L^{\mu\nu} W_{\mu\nu} \quad \text{Hadronic tensor}$$

$$W_{\mu\nu} = g^{\mu\nu} F_1(x, Q^2) + \frac{p^\mu p^\nu}{\nu} F_2(x, Q^2) \quad \text{unpolarized}$$

$$+ i\epsilon^{\mu\nu\lambda\sigma} \frac{q_\lambda}{\nu} \left( S_\sigma g_1(x, Q^2) + \frac{1}{\nu} ([p \times q S]_\sigma - [S \times qp]_\sigma) g_2(x, Q^2) \right)$$

polarized

$$g_1 = \frac{\tau}{1+\tau} A_1(x, Q^2) + \frac{1}{\sqrt{\tau}} A_2(x, Q^2) F_1(x, Q^2)$$

Modeled by world data

$$g_2 = \frac{\tau}{1+\tau} (\sqrt{\tau} A_2(x, Q^2) - A_1(x, Q^2)) F_1(x, Q^2)$$

$$\tau = \nu^2/Q^2 \quad \text{Objective of EG1 inclusive analysis}$$



# Structure Functions in the Scaling Limit

By considering not only the valence quarks (uud for the proton; udd for the neutron) but also the quark-antiquark sea in the nucleon, general expression for the structure functions in the scaling limit can be written:

$$F_1(x) = \frac{1}{2} \sum_i e_i^2 q_i(x)$$

$$g_1(x) = \frac{1}{2} \sum_i e_i^2 \Delta q_i(x)$$

$$F_2(x) = x \sum_i e_i^2 q_i(x) = 2xF_1(x)$$

$$g_2(x) = 0$$

Infinite Momentum Frame

$x = Q^2/2M\nu$   
 $i$  = quark flavor  
 $e_i$  = quark charge  
 $q(x)$  = prob. dist.  
 $\Delta q(x) = q^\uparrow - q^\downarrow$

$F_1$  is defined as sum of the distribution of quark flavors inside the nucleon weighted by their squared charges.

$g_1$  is the sum of the helicity distributions of the quark flavors which have their spins aligned or anti-aligned with respect to the spin of the nucleon.

$F_2$  is the total four-momentum carried by the quarks which carry the momentum fraction  $x$  of the nucleon. It can be understood as spatial current density of the nucleon.

$g_2$  represents the quark flavors with transverse spin components to the nucleon spin. In the scaling limit, it is considered as zero.



# $\Gamma_1$ (The First Moment of $g_1$ )

Most of the spin dependent sum rules can be written in terms of the first moment of  $g_1$ . It represents the total spin carried by the quarks inside the nucleon.

$$\Gamma_1^p = \int_0^1 g_1^p(x) dx = \frac{1}{2} \int_0^1 \sum_i e_i^2 \Delta q_i(x) dx$$

For a simple model of proton from uud quarks and sea quarks (s)

$$\Gamma_1^p = \frac{1}{2} \left( \frac{4}{9} \Delta u + \frac{1}{9} \Delta d + \frac{1}{9} \Delta s \right) \quad \begin{array}{l} \text{In the scaling limit} \\ \text{(large } Q^2, \text{ free quarks)} \end{array}$$

Experimental results from neutron beta decay predicts  $\Delta u - \Delta d = 1.26$

Hyperon beta decay predicts  $\Delta u + \Delta d - 2\Delta s = 0.58$

Assuming  $\Delta s = 0$  gives  $\Gamma_1^p \sim 0.186$  &  $\Gamma_1^n \sim -0.024$  : larger than experimental limits

Comparing with  $\Gamma_1^p(Q^2 \rightarrow \text{big}) \sim 0.14$  from experiments give

$\Delta u \approx 0.81$ ,  $\Delta d \approx -0.46$ ,  $\Delta s \approx -0.12$  (nonzero and negative)

spin of the d quark is mostly opposite to that of the nucleon, same is true for sea quarks



$$\int_{\nu_0}^{\infty} \frac{d\nu}{\nu} [\sigma_{1/2}(\nu) - \sigma_{3/2}(\nu)] = -\frac{2\pi^2 \alpha_{em}}{M^2} K^2$$

photo-absorption  
threshold

For real photon ( $Q^2 = 0$ )

Anomalous magnetic  
moment of the nucleon

$$\sigma_T^{1/2}(\nu, Q^2) = -\frac{4\pi^2 \alpha}{MK} \left( F_1 + g_1 - \frac{2Mx}{\nu} g_2 \right)$$

$$\sigma_T^{3/2}(\nu, Q^2) = -\frac{4\pi^2 \alpha}{MK} \left( F_1 - g_1 + \frac{2Mx}{\nu} g_2 \right)$$

$$\gamma^2 = Q^2/\nu^2$$

$$I(Q^2) = \int_{Q^2/2M\nu}^{\infty} \frac{d\nu}{\nu} [\sigma_{1/2}(\nu, Q^2) - \sigma_{3/2}(\nu, Q^2)] = -\frac{8\pi^2 \alpha}{M} \int_0^{x_0} \frac{dx}{x} \left[ \frac{g_1(x, Q^2) - \gamma^2 g_2(x, Q^2)}{K} \right]$$

Generalized GDH Sum Rule

$$K = \nu(1-x)$$

Determining  $Q^2$  evolution of the GDH integral is one of the aims of the EG1 experiment



$$\int_0^1 [g_1^p(x, Q^2) - g_1^n(x, Q^2)] dx = \frac{1}{6} \left| \frac{g_A}{g_V} \right| f(Q^2) + HT$$

Axial vector coupling constant  
Current value

$$\frac{g_A}{g_V} = -1.2670 \pm 0.0035$$

Bjorken Limit

$$f(Q^2) = 1 - \frac{\alpha_s(Q^2)}{\pi} - 3.58 \left( \frac{\alpha_s(Q^2)}{\pi} \right)^2 - 20.21 \left( \frac{\alpha_s(Q^2)}{\pi} \right)^3 + \dots \equiv \mu_2^{p-n}(Q^2)$$

Leading Twist  
(DGLAP eqn.)

*Radiative corrections from higher order Feynman diagrams*

$$HT = \frac{\mu_4^{p-n}(Q^2)}{Q^2} + \frac{\mu_6^{p-n}(Q^2)}{Q^4} + O\left(\frac{1}{Q^6}\right)$$

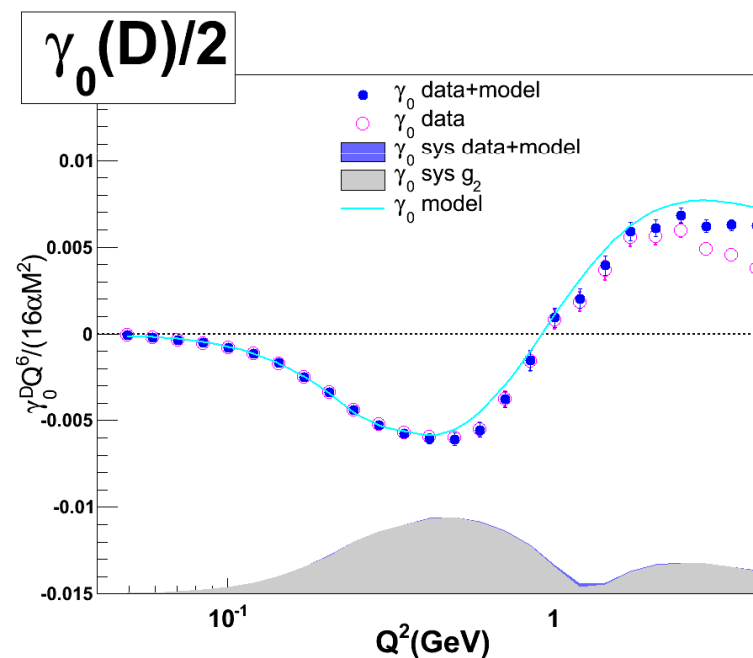
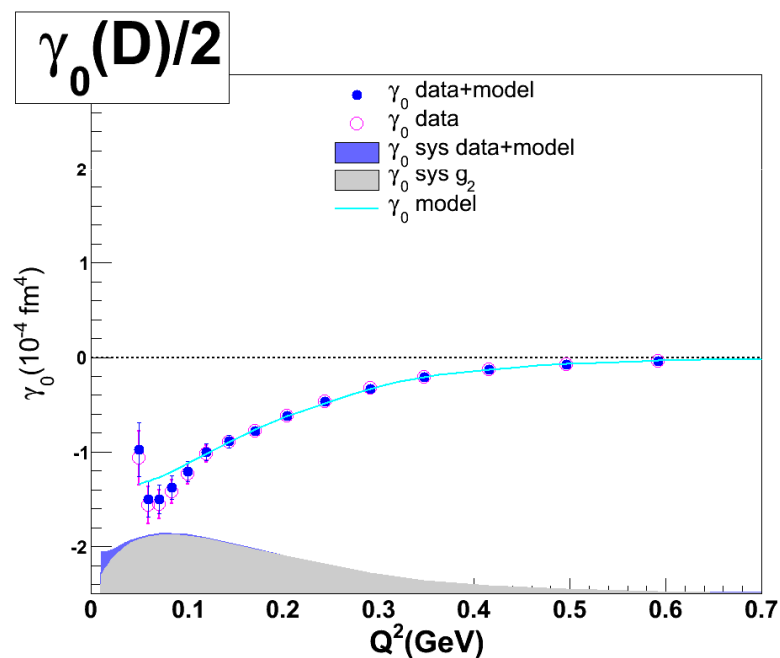
Higher Twist terms



# Forward Spin Polarizability $\gamma_0$ for the Deuteron

$$\gamma_0(Q^2) = C(Q^2) \int_0^{x_0} x^2 \left\{ g_1(x, Q^2) - \frac{4M^2}{Q^2} x^2 \underbrace{g_2(x, Q^2)}_{\text{Model}} \right\} dx$$

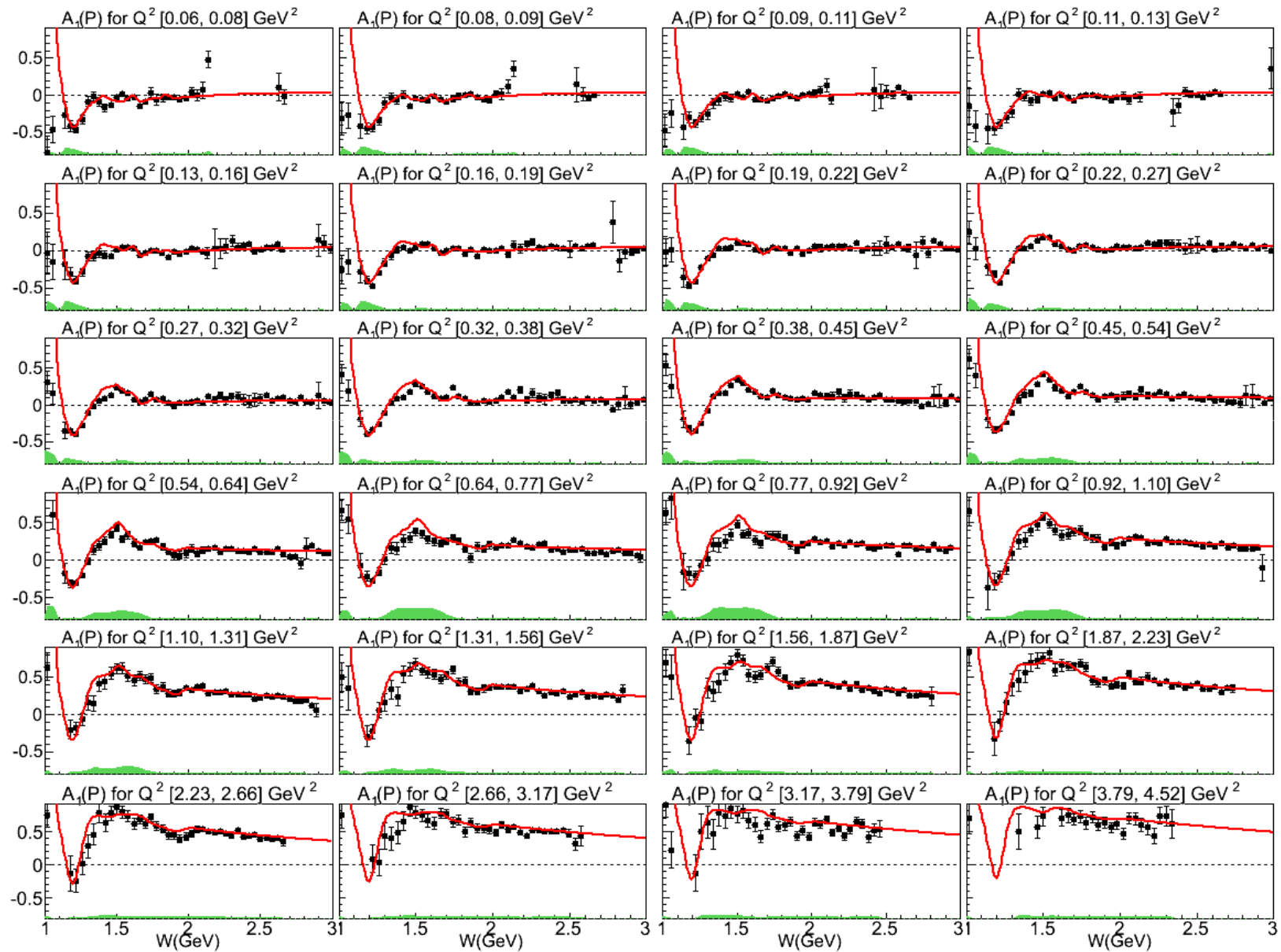
$C(Q^2) = 16\alpha M^2/Q^6$



There is also multiplication factor  
15.134 to convert to  $[10^{-4} \text{ fm}^4]$  unit

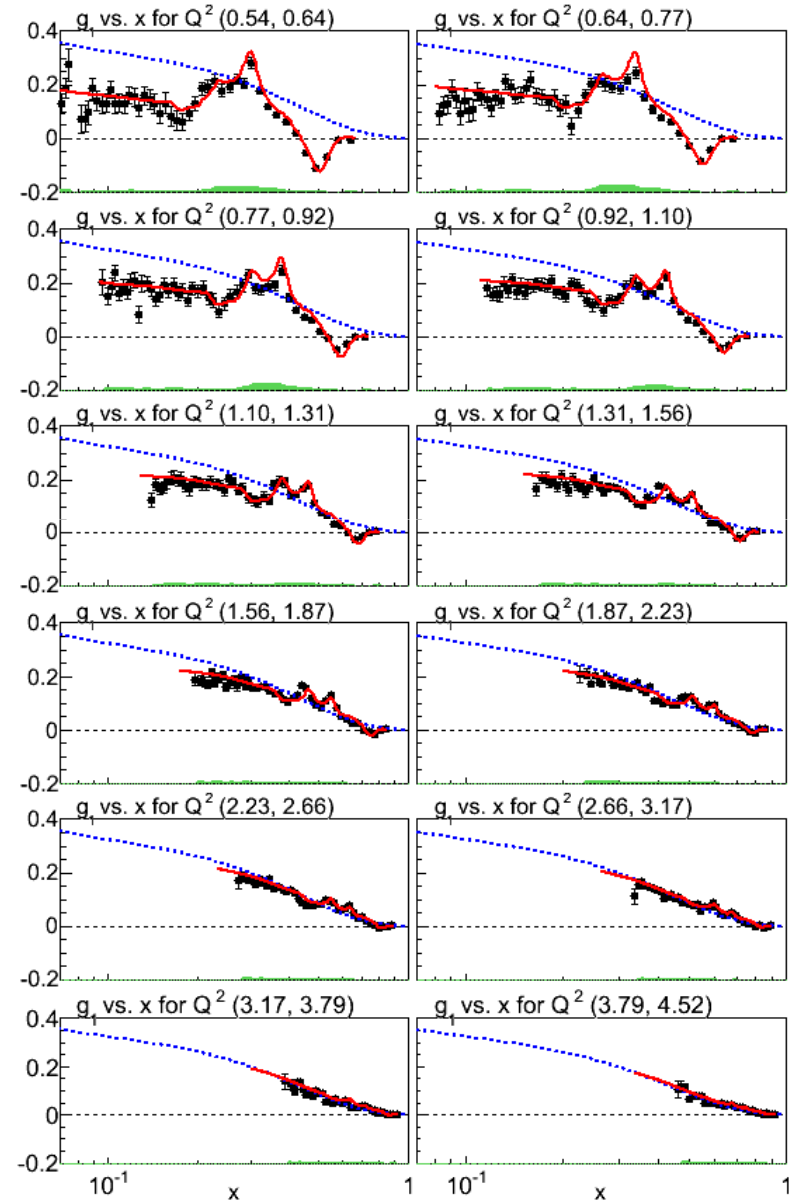
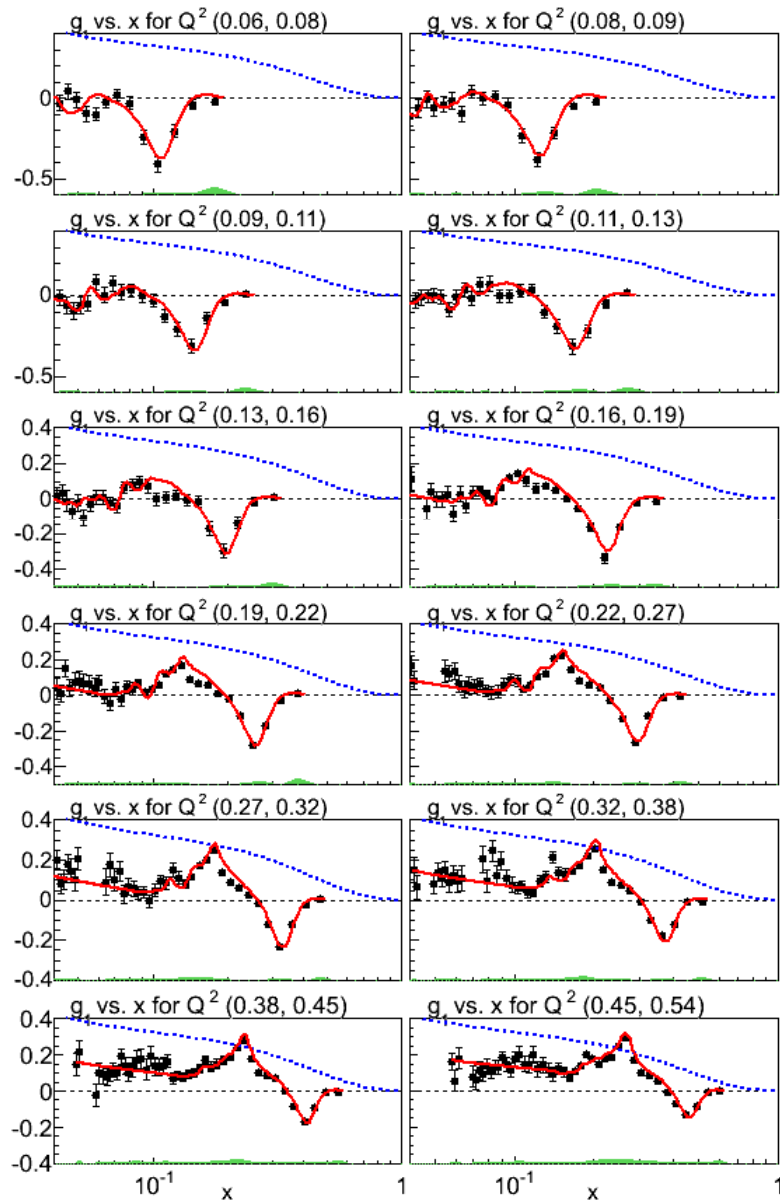


# A<sub>1</sub> Proton





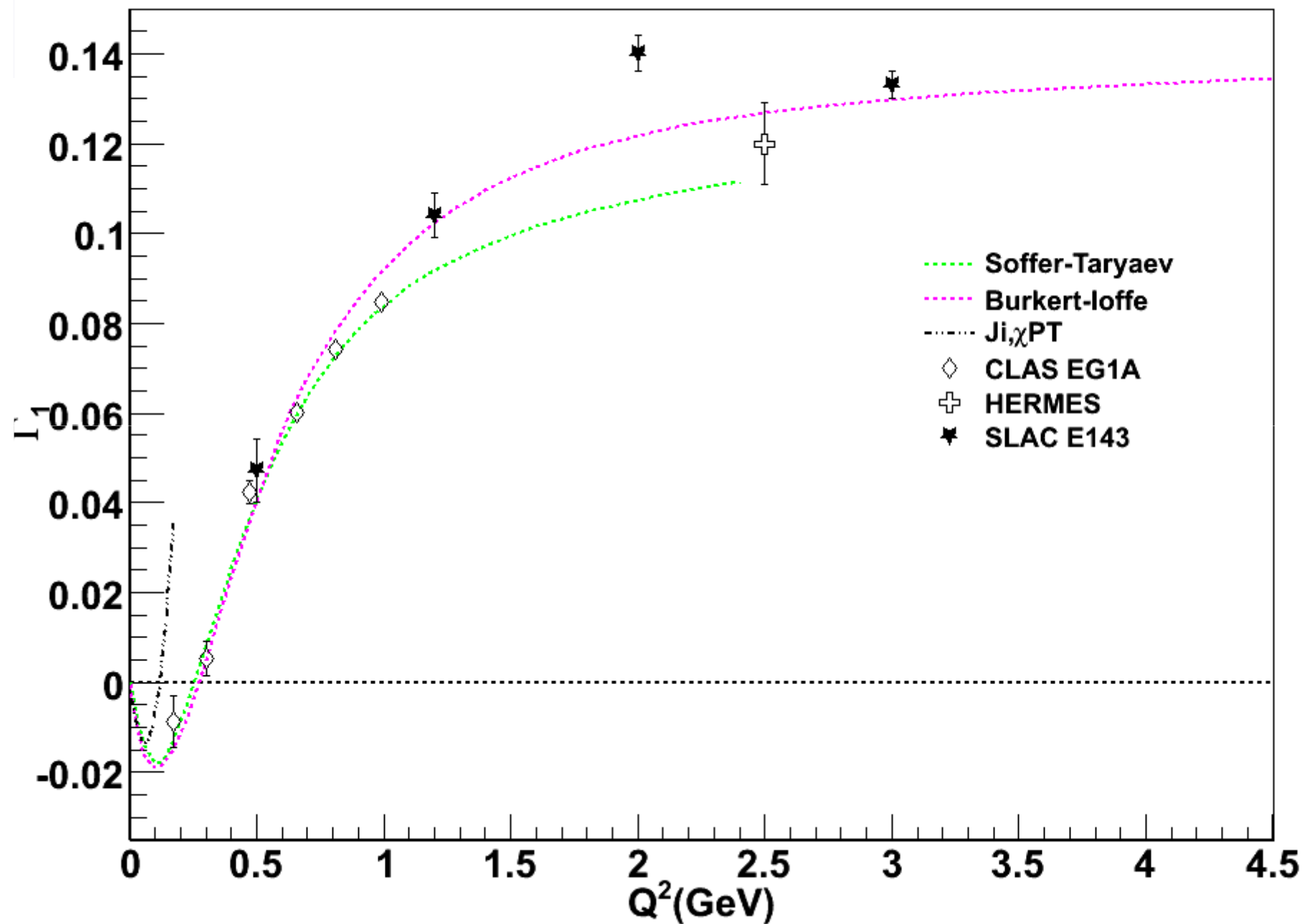
# $g_1$ Proton





$\Gamma_1(P)$ 

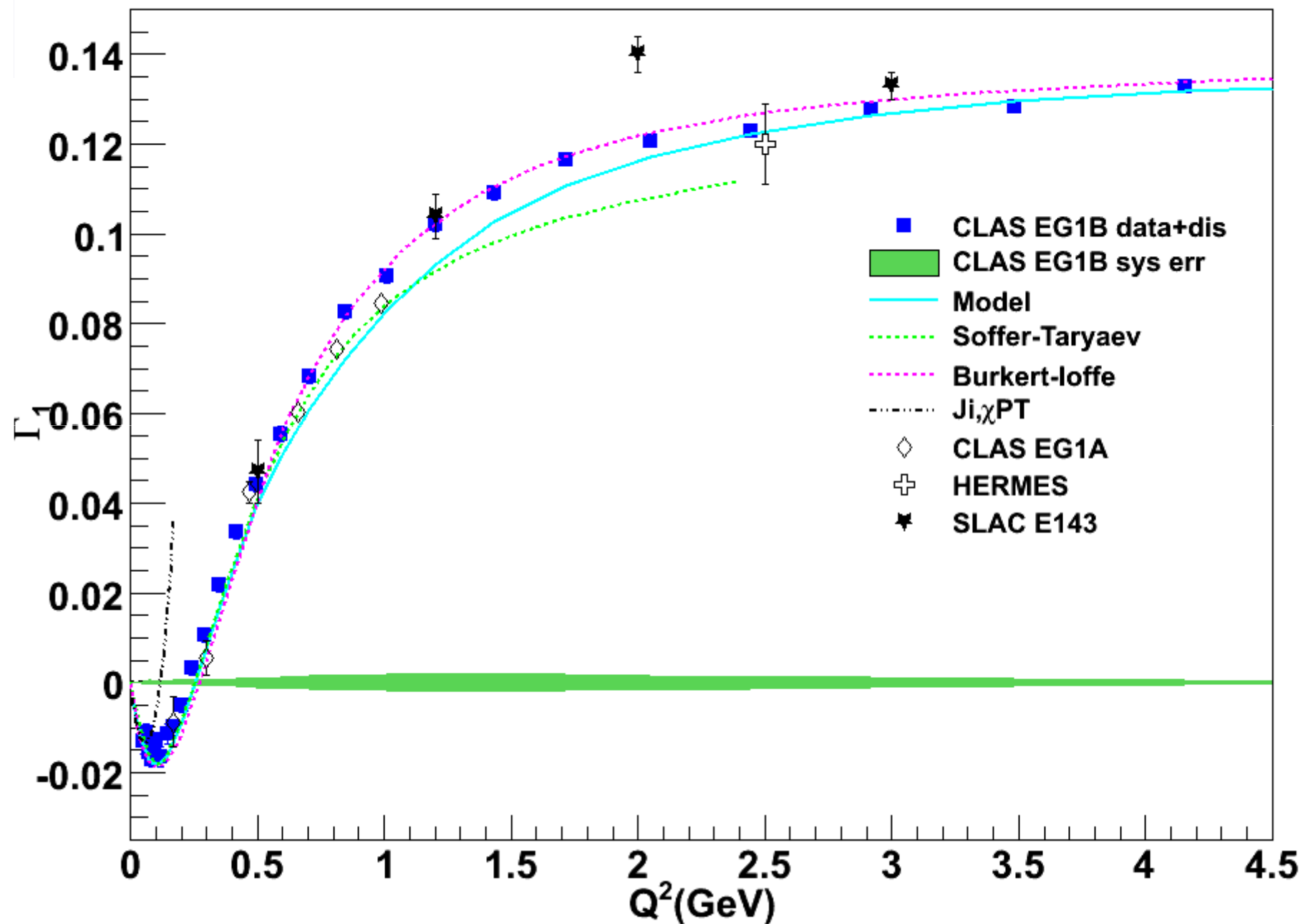
# $\Gamma_1$ Proton, Comparison to world data





$\Gamma_1(\mathbf{P})$ 

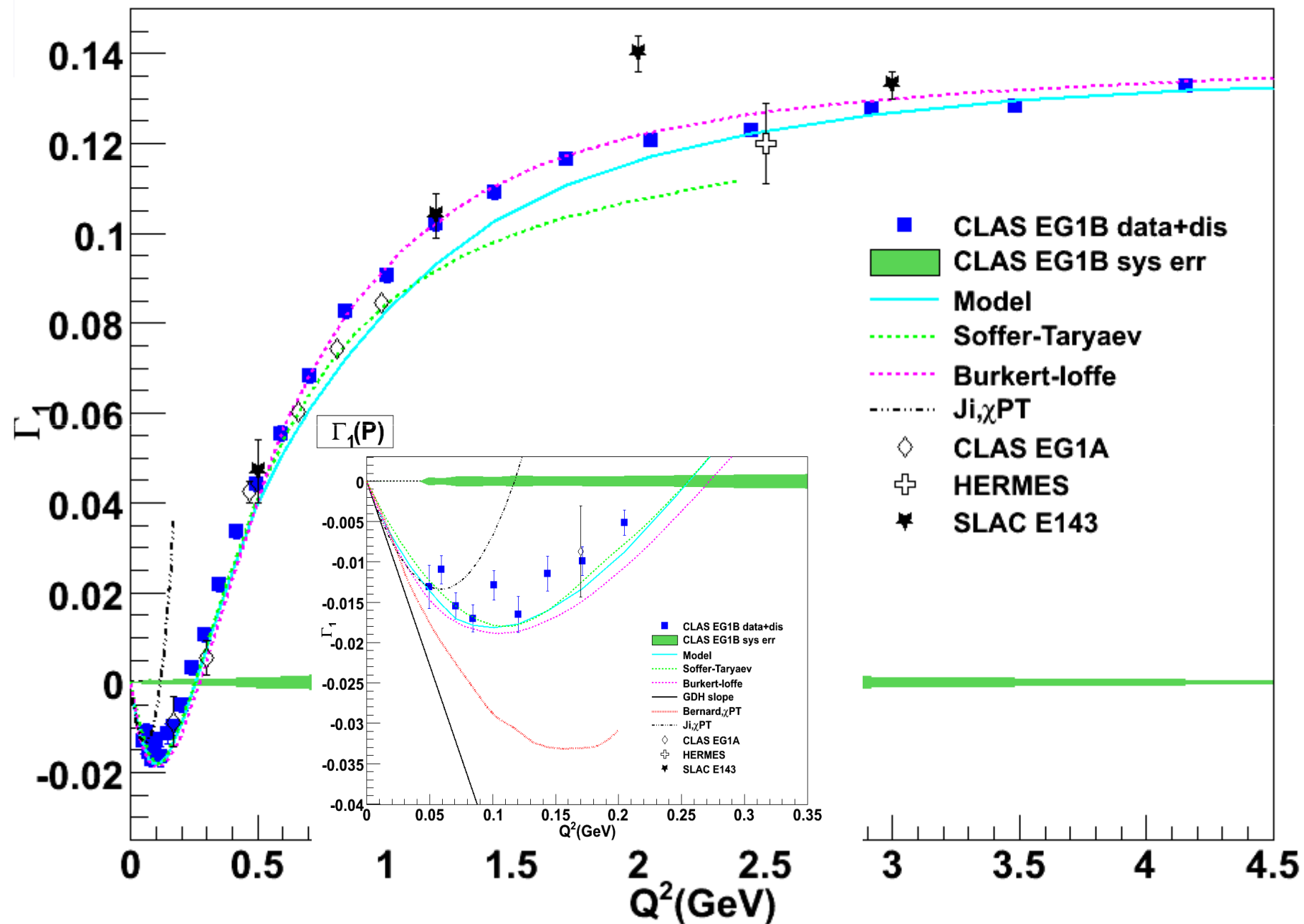
# $\Gamma_1$ Proton, Comparison to world data





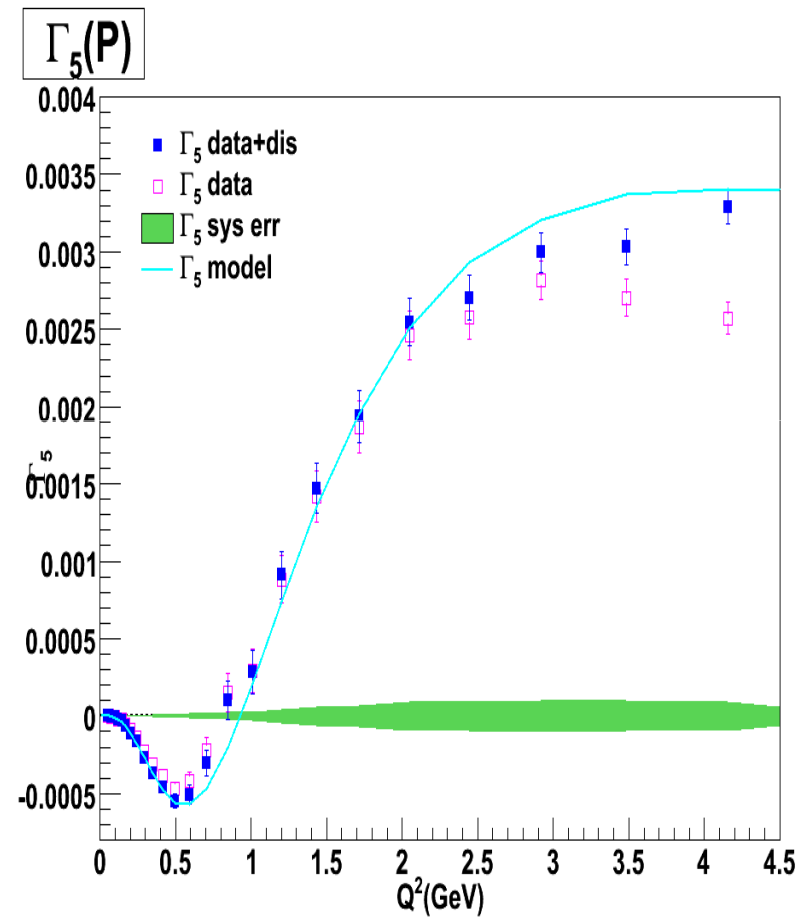
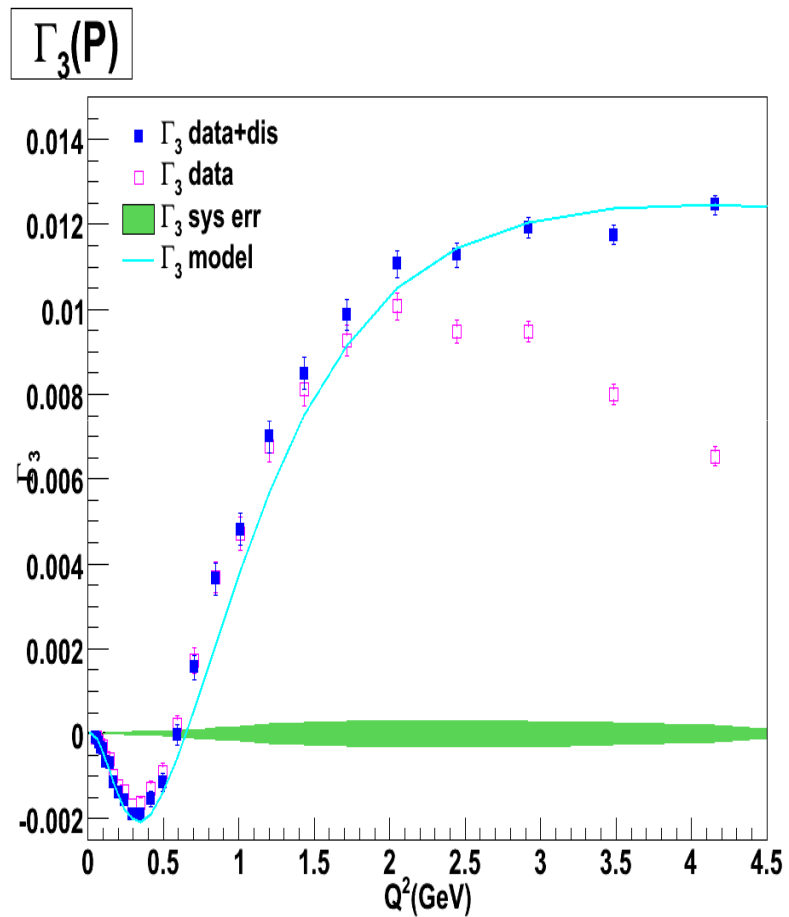
$\Gamma_1(P)$ 

# $\Gamma_1$ Proton, Comparison to world data





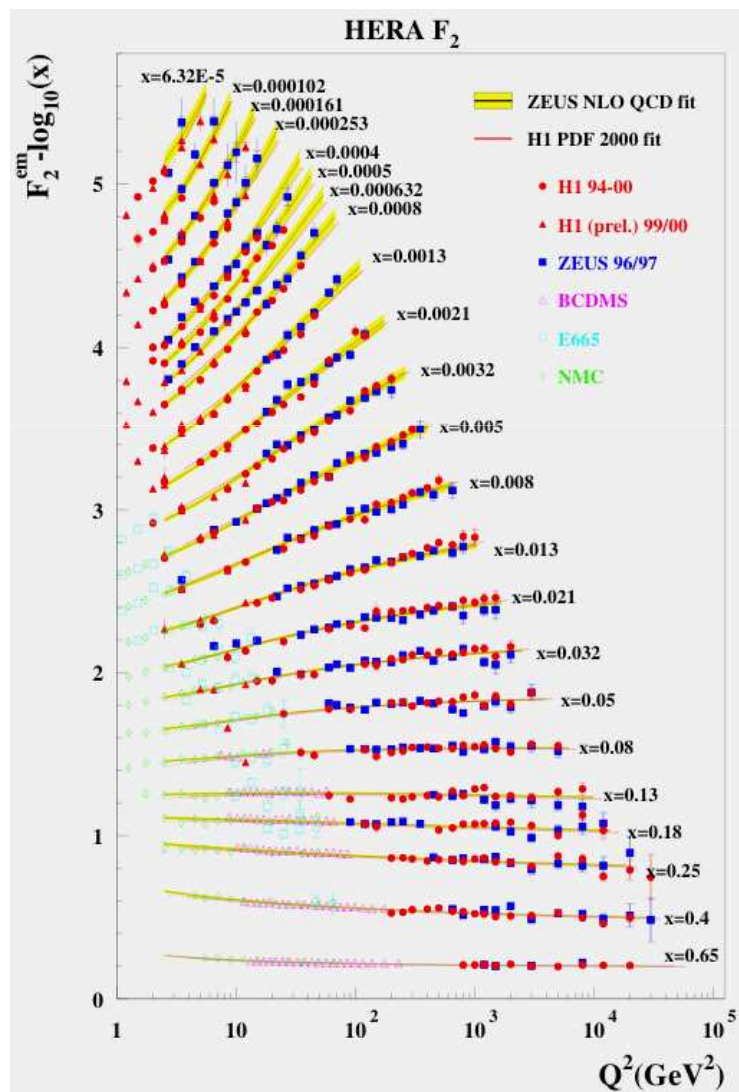
# $\Gamma_3$ and $\Gamma_5$ Proton





# Scaling Violations

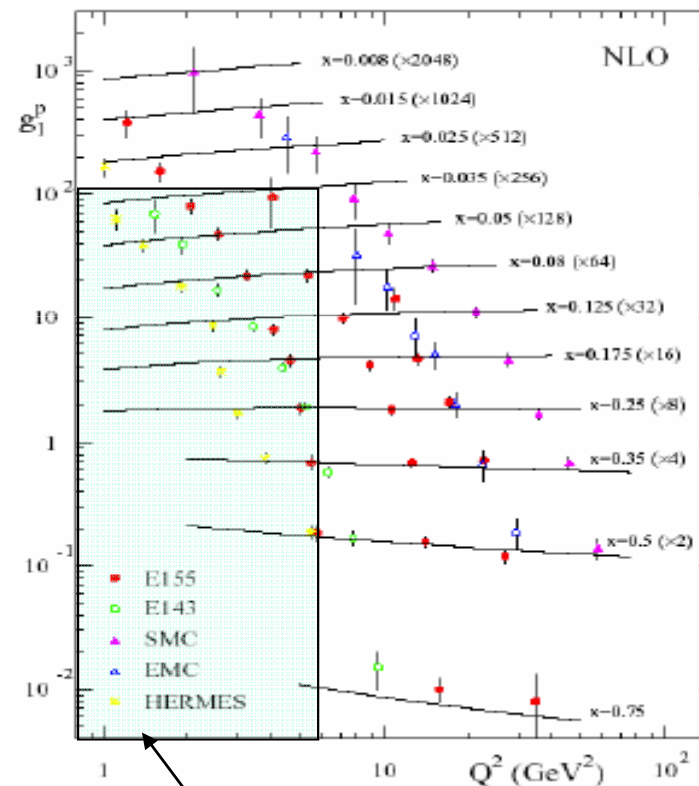
F2



$g_1^p$

Lot's of work to do for  $g_1$

Current data on scaling violation for  $g_1^p$



EG1b can fill this region



## Operator Product Expansion (OPE)

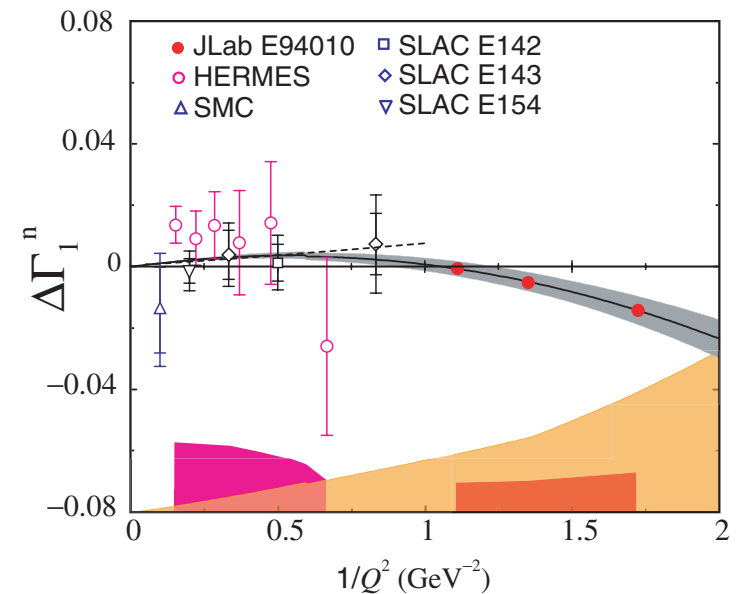
$$\Gamma_1^N(Q^2) \equiv \int_0^1 dx \, g_1^N(x, Q^2) = \sum_{\tau=2,4,\dots} \frac{\mu_\tau^N(Q^2)}{Q^{\tau-2}} = \underbrace{\mu_2^N(Q^2)}_{\text{Single Parton Behavior}} + \underbrace{\frac{\mu_4^N(Q^2)}{Q^2} + \frac{\mu_6^N(Q^2)}{Q^4} + \dots}_{\text{Higher Twist Terms}}$$

Single Parton  
Behavior


Higher Twist Terms  
(quark-quark and quark-gluon correlations)

## Higher Twist Contribution to the first moment of $g_1$

$$\begin{aligned} \Delta\Gamma_1^n(Q^2) &\equiv \Gamma_1^n(Q^2) - \mu_2^n(Q^2) \\ &= \frac{\mu_4^n(Q^2)}{Q^2} + \frac{\mu_6^n(Q^2)}{Q^4} + \mathcal{O}\left(\frac{1}{Q^6}\right) \end{aligned}$$

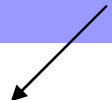




- 
- ❑ Higher twist contributions become important at low  $Q^2$  regions.
  - ❑ To understand the dynamics of quark-gluon interactions at large distances, this region should be studied carefully.
  - ❑ This is important to understand the **CONFINEMENT**, a weird property of QCD.
  - ❑ Also important to calculate the color polarizabilities for the nucleon

$$\mu_4 = \frac{1}{9} M^2 (a_2 + 4d_2 + 4f_2)$$

Target mass  
correction



$$\chi_E = \frac{2}{3} (2d_2 + f_2) \quad \text{Color electric}$$

$$\chi_B = \frac{1}{3} (4d_2 - f_2) \quad \text{Color magnetic}$$

**MODEL DEPENDENT PROPERTIES!**



- Complementarity between quark and hadron descriptions of observables. Physical phenomena can be described by using either of the definitions: hadronic or partonic pictures of the nucleon;

**either set of basis states should work.**

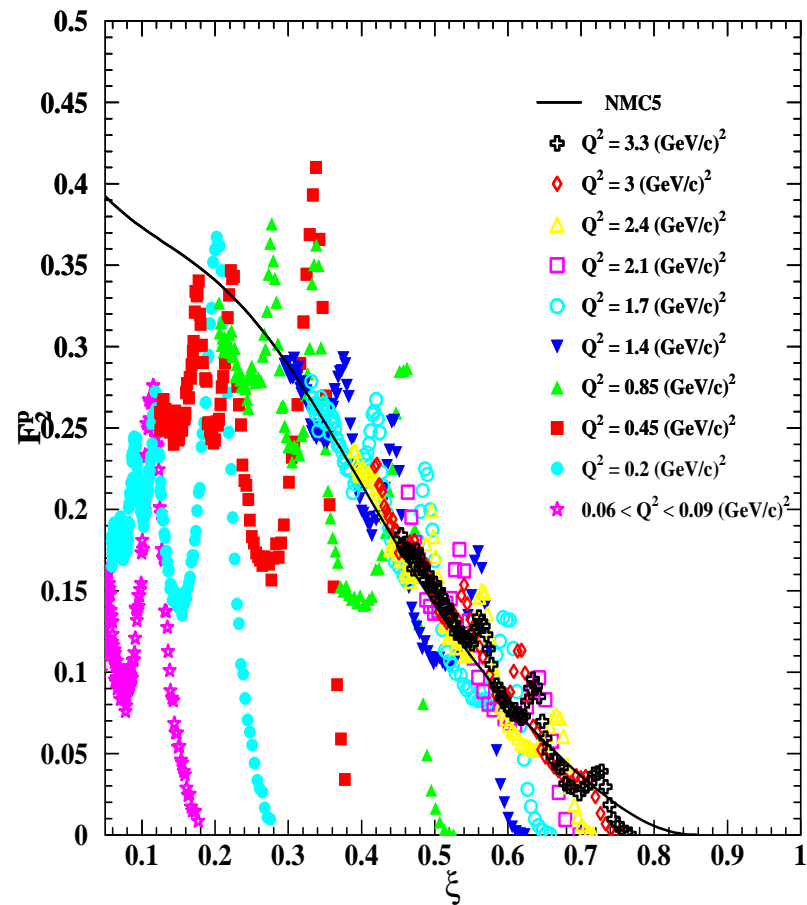
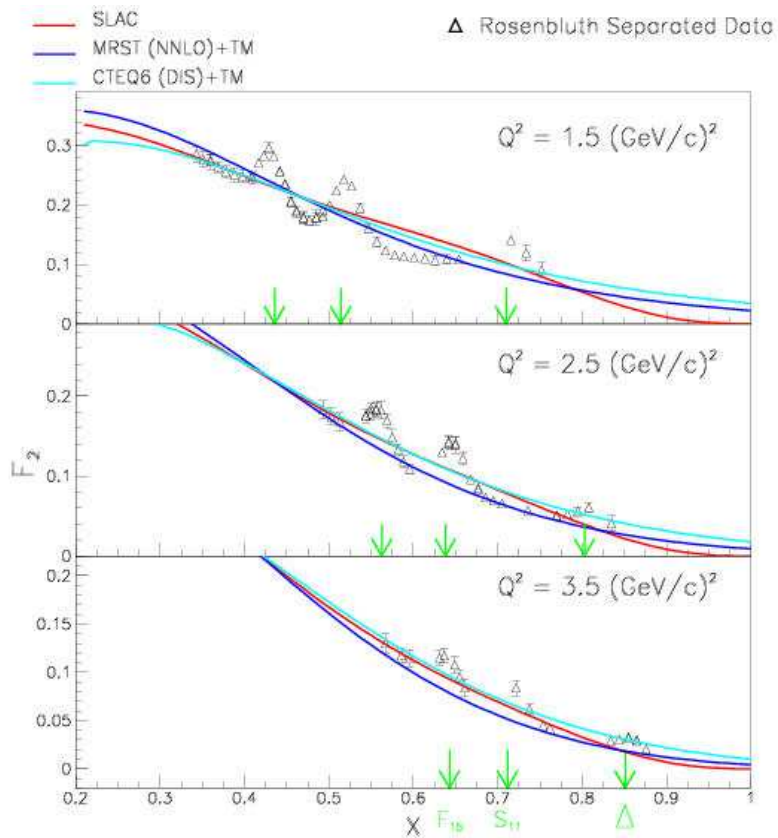
$$\sum_{\text{hadronic states}} = \sum_{\text{partonic states}}$$

pQCD should be able to describe the average behavior of hadronic observables.

Connection between low and high  $Q^2$  regions

- A universal curve should define hadronic cross sections averaged over appropriate energy range and partonic cross sections at the same time.
- Duality should break down as  $Q^2 \rightarrow 0$ : Total charge obtained from partonic picture by combining the squared charges of the valence quarks does make up the proton charge but does not make up the neutron charge.
- Where is the break down point of quark-hadron duality?



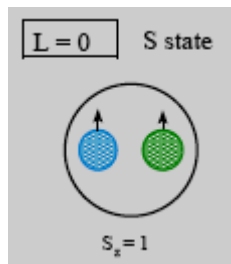


$Q^2 > 1.5 \text{ GeV}^2$  Duality holds for entire resonance region (**Global Duality**)  
and also for individual resonances (**Local Duality**)

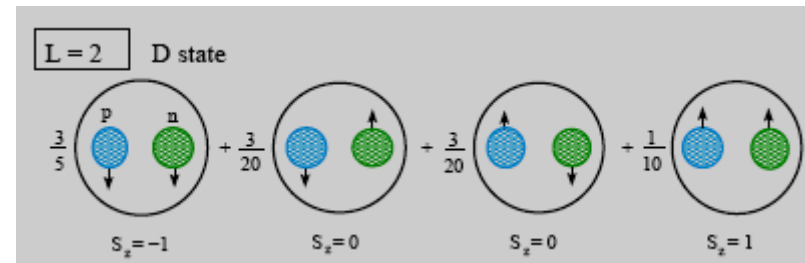


# Neutron (D-State Correction)

L=0 and L=2 configurations for the deuteron



Probability of finding the deuteron in D-state is  $\omega_D \sim 0.056$



Probability of finding a nucleon with spin opposite to the spin of the deuteron:

$$\left( \frac{1}{2} * \frac{3}{10} + \frac{3}{5} \right) \omega_D = \frac{3}{4} \omega_D$$

$$\sigma_D^{\uparrow\downarrow} = \left( 1 - \frac{3}{4} \omega_D \right) \sigma_N^{\uparrow\downarrow} + \frac{3}{4} \omega_D \sigma_N^{\uparrow\uparrow}$$

$$\sigma_D^{\uparrow\uparrow} = \frac{3}{4} \omega_D \sigma_N^{\uparrow\downarrow} + \left( 1 - \frac{3}{4} \omega_D \right) \sigma_N^{\uparrow\uparrow}$$

$$\sigma_D = \frac{1}{2} (\sigma_p + \sigma_n)$$

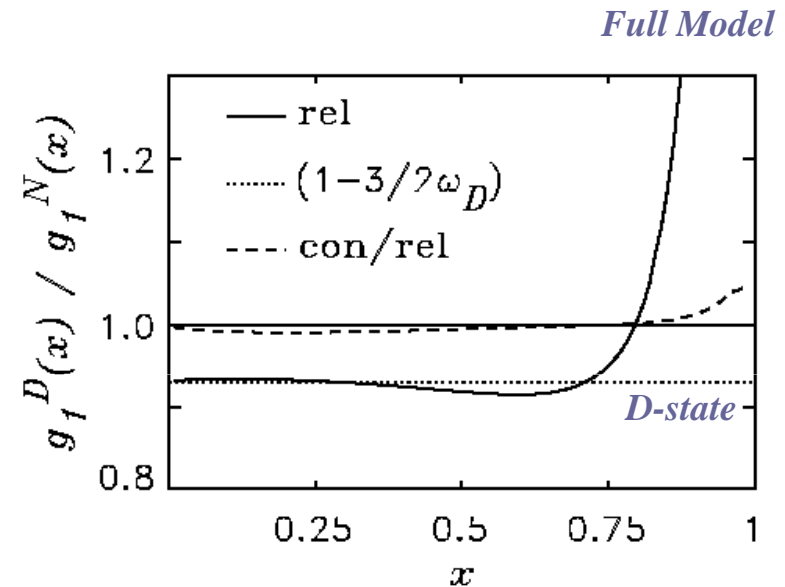
- Deuteron cross sections can be written in terms of proton and neutron cross sections
- Then asymmetries and structure functions can be calculated for the deuteron in terms of the proton and the neutron structure functions



# Neutron (D-State Correction)

$$g_1^D(x, Q^2) = [g_1^p(x, Q^2) + g_1^n(x, Q^2)] \left(1 - \frac{3}{2} \omega_D\right)$$

$$\Gamma_1^D = [\Gamma_1^p + \Gamma_1^n] \left(1 - \frac{3}{2} \omega_D\right)$$



D-state correction works for  $x < 0.7$

Other corrections required for Fermi motion and binding effects





# Nuclear Corrections

**1) EMC Effect:** This effect takes into account the distortion of the free-nucleon structure function by a nuclear medium.

**2) Fermi Motion:** Bound nucleons are moving inside the nucleus and this causes kinematic shifts and Doppler broadening of peaks in the cross section.

**3) Off-Shell Mass Effects:** A correction is required for the virtual photon interaction with an off-shell nucleon. (Because of the negative contribution coming from the binding energy to the overall mass of deuterium, both nucleons cannot be on the mass shell at the same time.)

**4) Effects of non-nucleonic states:**

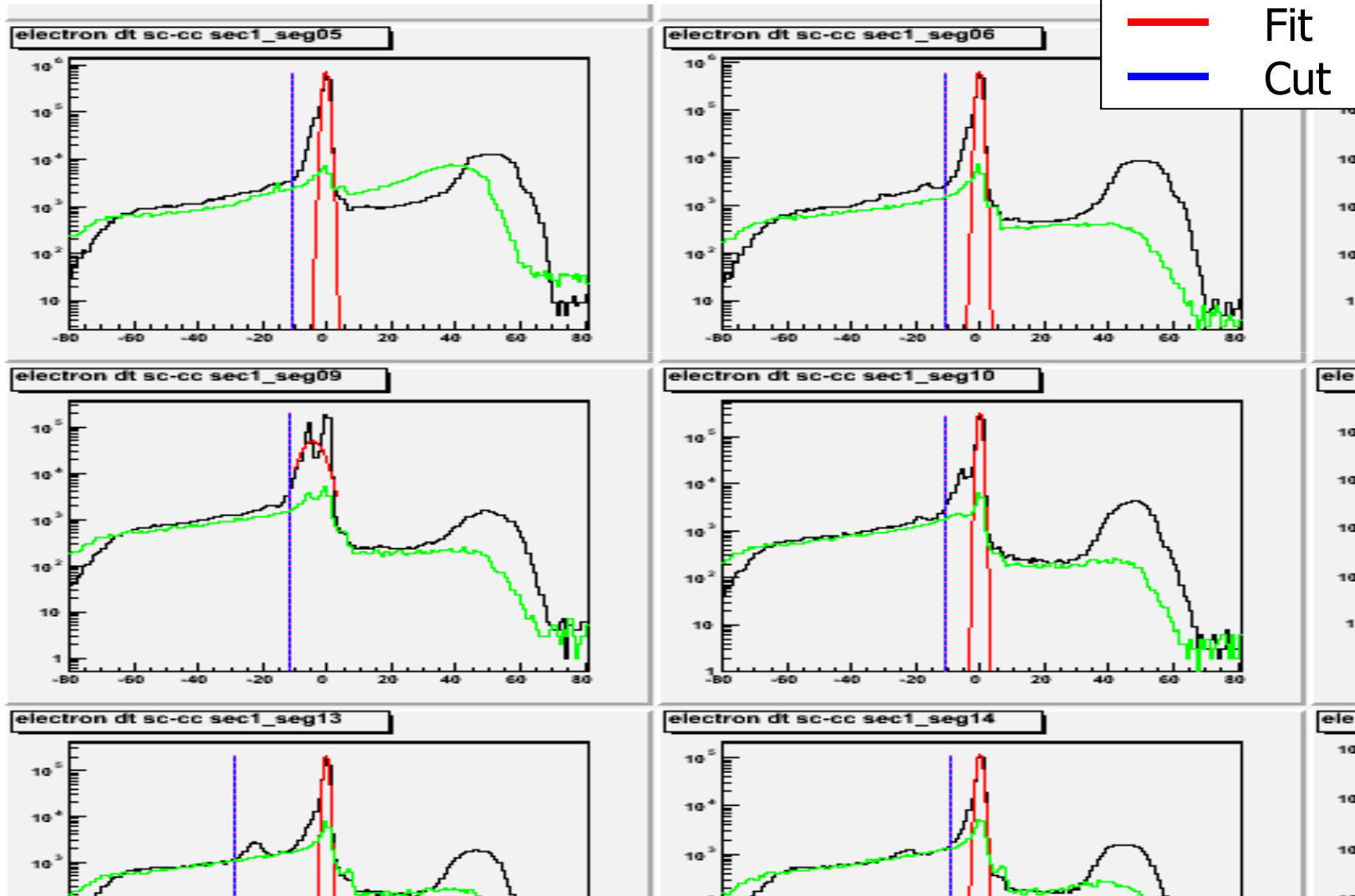
- Effects of nucleonic resonance states and pions (meson exchange currents).
- According to the six quark bag model of the deuteron, one should also include direct correlations between quarks and gluons in the proton and neutron.
- Nuclear shadowing (rescattering of the lepton from both nucleons in the deuteron or from the meson cloud within the nucleus.)



# Electron Identification (Timing cuts)

$$\Delta t^{\text{SC-CC}} = (\text{SC}_{\text{TIME}} - \text{CC}_{\text{TIME}})_{\text{OBSV}} - (\text{SC}_{\text{TIME}} - \text{CC}_{\text{TIME}})_{\text{CALC}}$$

- Pion
- Electron
- Fit
- Cut



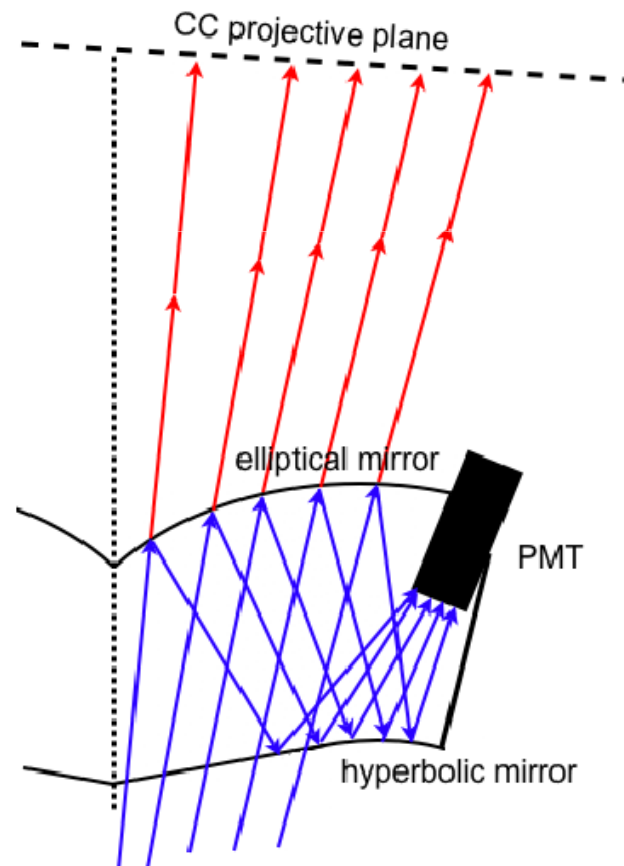
$\Delta t$  Distribution For Each Sector And Segment



# Electron Identification (Geometric cuts)

Polar angle  $\theta_{\text{proj}}$  of the particle is calculated assuming particle continues on a straight line, but travels the same amount of path length

The polar angle is  $\theta_{\text{proj}}$  should be a narrow distribution around the center of the cc segment



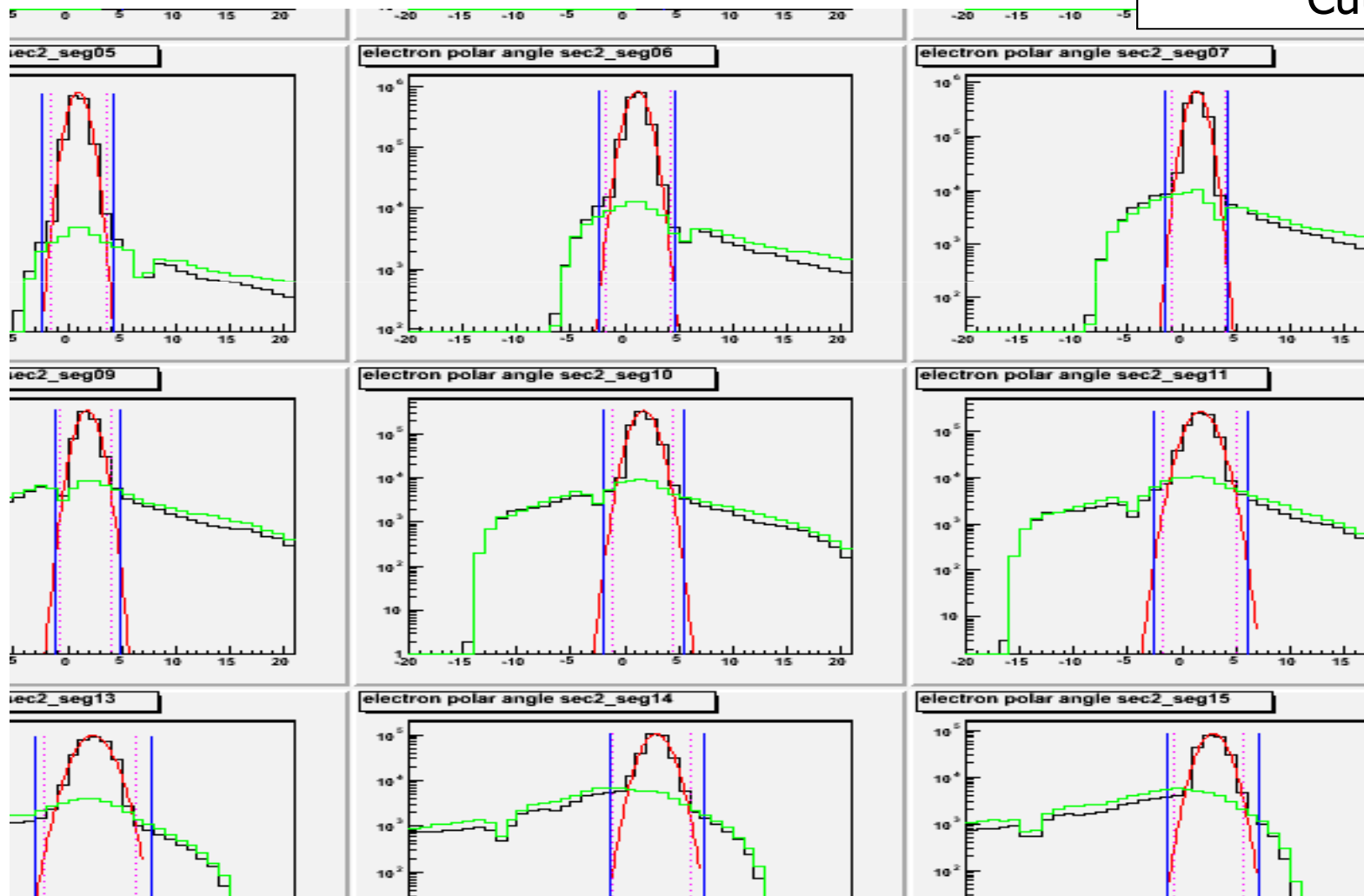


# Electron Identification (Geometric cuts)

$$(\theta_{\text{proj}} - \theta_{\text{seg\_centr}}) < 3\sigma$$

$\Delta\theta$  Distribution For Each Sector And Segment

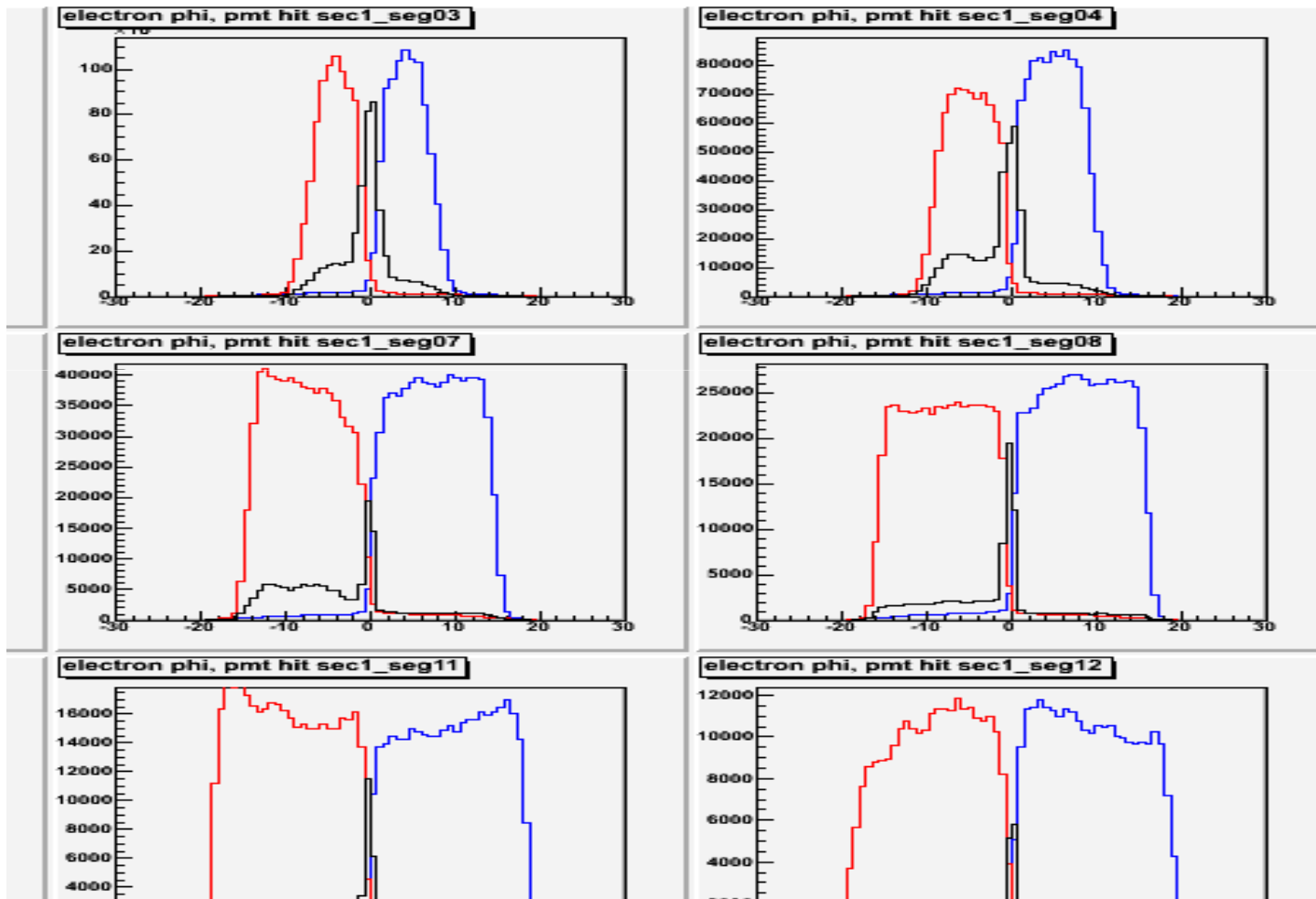
— Pion  
— Electron  
— Fit  
— Cut





# Electron Identification (Geometric cuts)

PMT signal (left, right) vs. azimuthal angle ( $-30 < \Phi < 30$ ) examined.

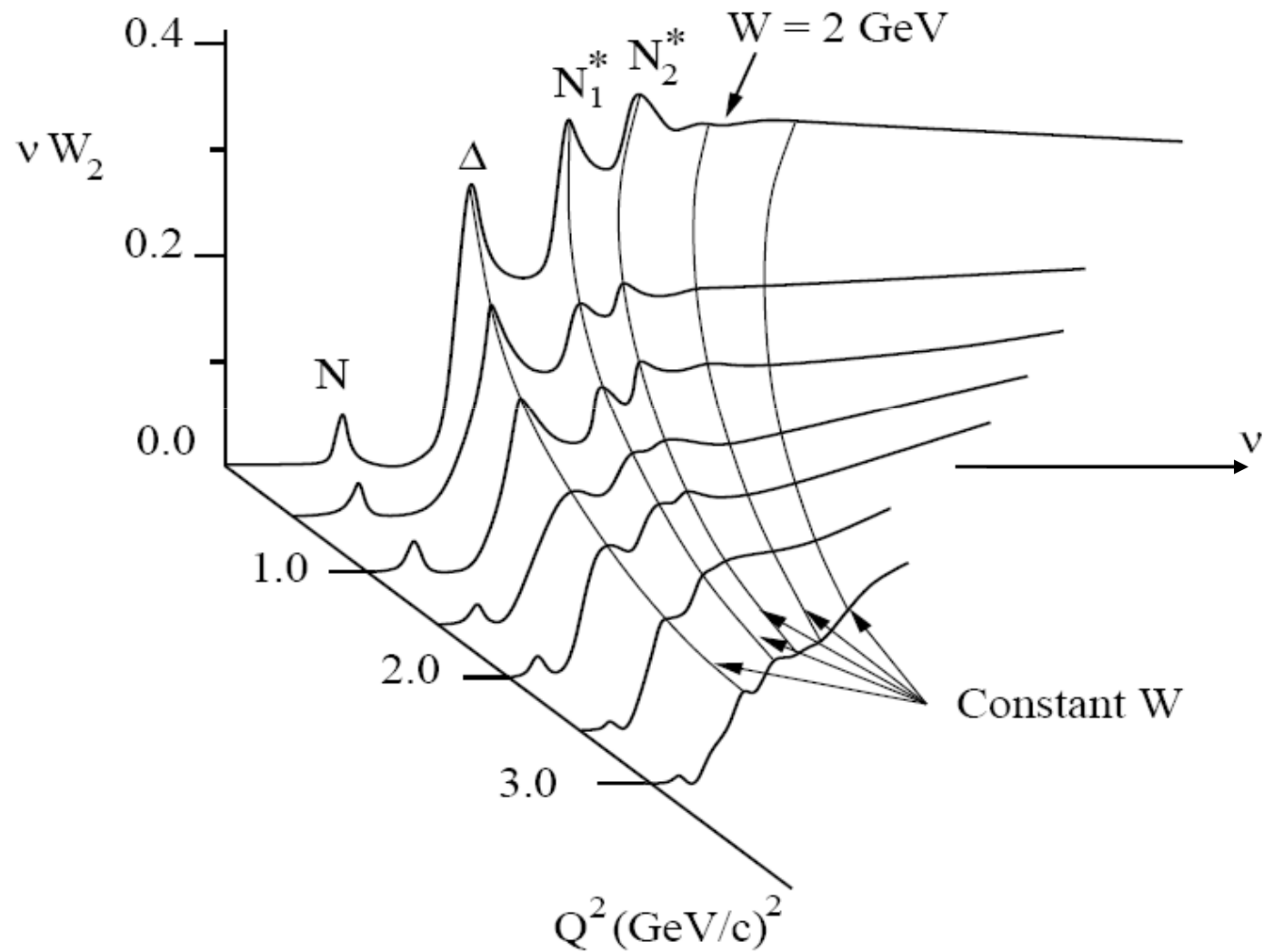


If  $\Phi < 0 \rightarrow$  Left PMT signals

If  $\Phi < 0 \rightarrow$  Right PMT signals



# Resonance excitations

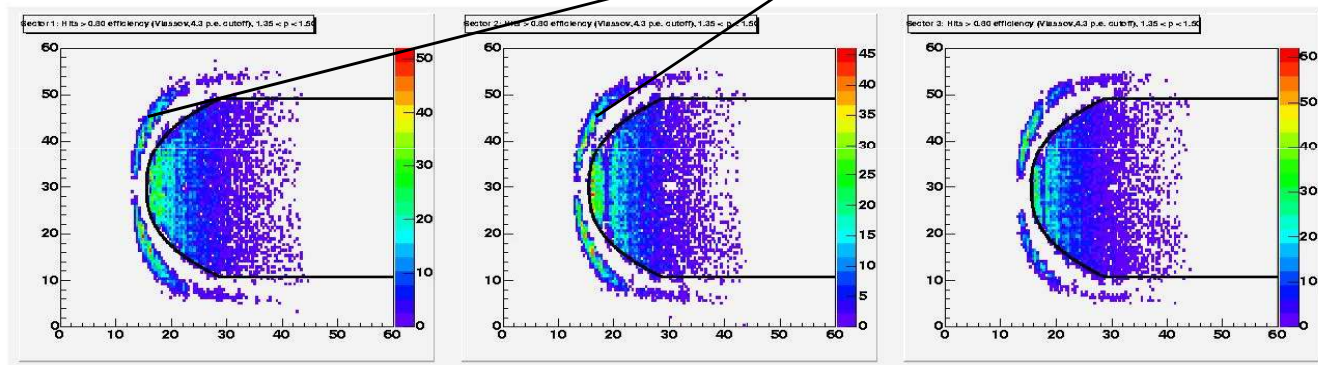




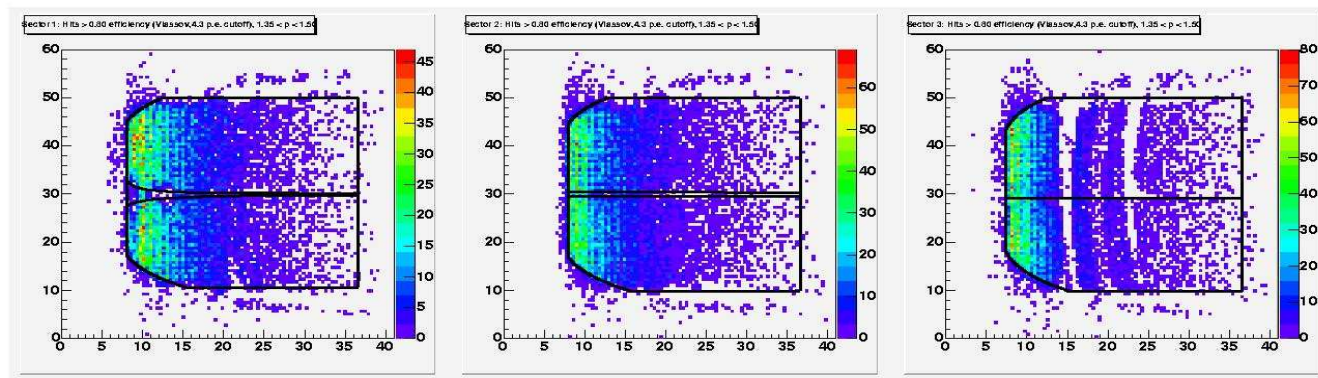
# Fiducial cuts

Data from inefficient regions of the detector (the fringes at the edge of CC detector) are removed. Acceptance is not important for the asymmetry itself but it is important to estimate the background (where  $^{12}\text{C}$  target is used and the acceptance of  $^{12}\text{C}$  and  $\text{ND}_3$  (or  $\text{NH}_3$ ) must be the same).

2.3 +I  
Data



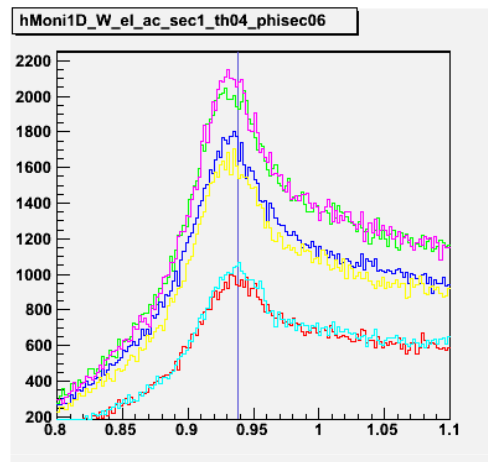
2.5 -I  
Data



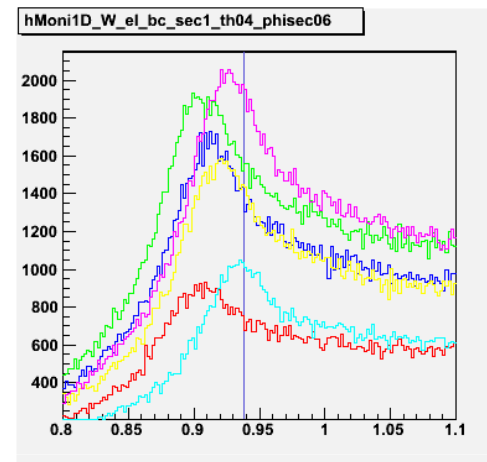


# Kinematic Corrections

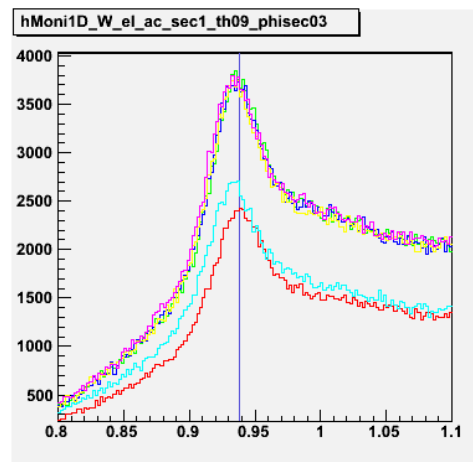
Elastic Invariant Mass Distributions for Different Azimuthal Angles



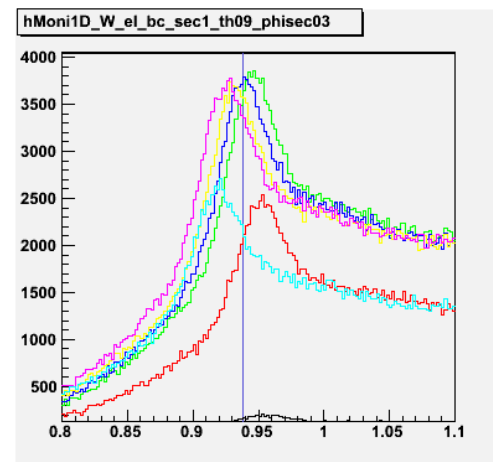
4.2 GeV Outb After Corr



4.2 GeV Outb Before Corr



2.3 GeV Inb After Corr



2.3 GeV Inb Before Corr



# Kinematic Corrections

