

Resonant X-ray Scattering Study of Rare-earth Tertraborides

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- Resonant X-ray Scattering
: magnetic, structural ATS and orbital order
- GdB₄ : mode-selective spectroscopic probe
- DyB₄ : quadrupole-strain interaction
- Summary

Collaborations with

- X-ray diffraction : POSTECH & PAL
 - Prof. K.-B. Lee
 - Dr. C. Song (UCLA)
 - Dr. Japil Koo
 - Yong Jun Park



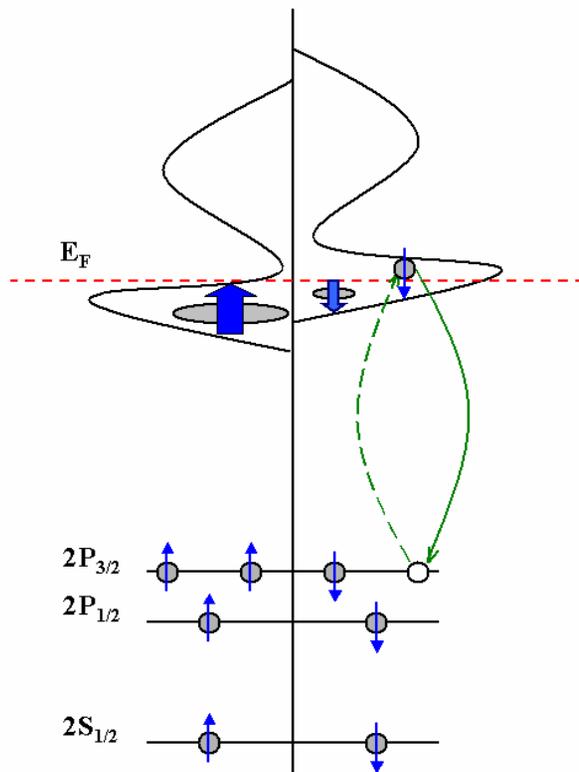
- Neutron diffraction : SKKU & HANARO
 - Prof. J.-G. Park.
 - Dr. Seongsu Lee (Rutgers Univ.)
 - Dr. G. Hong
 - C.-H. Lee



- Crystal growth : GIST & Hiroshima Univ.
 - Prof. B. K. Cho
 - J. Y. Kim
 - Prof. F. Iga



Resonance effects in magnetic & ATS reflections



schematics depicting the resonant scattering process emphasizing the spin polarized magnetic scattering process

On tuning the photon energy to an **absorption edge** of the target ion, an electron in the core level becomes excited to an **empty valence shell** and eventually de-excited to the core level by emitting the photon of the same energy. This resonance process explores a valence shell through the intermediate states giving rise to an enhanced sensitivity to valence charges.

Especially, the valence shell experiences spin polarizations (**magnetic ion**) as well as anisotropic charge distortion (**ATS**) due to the crystalline electric field, or various bondings/ hybridizations surrounded by other ions in solids.

Consequently, spin and chemical charge anisotropy can be effectively investigated by resonance x-ray scattering technique.

RXS for charge and spin anisotropy

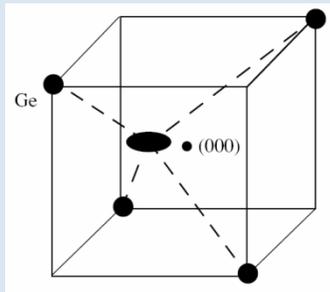
Scattering length of the electric dipole (E1) transition can be expressed with a 2nd rank tensor

$$f^{E1} \propto \epsilon_{out}^{+, \beta} \epsilon_{inc}^{\alpha} \sum_n p_a \frac{\langle b = a | R_{\beta} | n \rangle \langle n | R_{\alpha} | a \rangle}{E_n - E_a - \hbar\omega - i\Gamma_c}$$

$$f^{E1} \propto \epsilon_{out}^{+} \bullet \epsilon_{inc} T + i(\epsilon_{out}^{+} \times \epsilon_{inc}) \bullet \vec{M} + \epsilon_{out}^T \vec{\Phi} \epsilon_{inc}$$

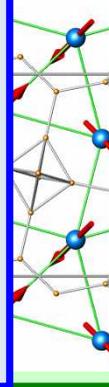
$$\vec{\Phi} \approx \frac{1}{2}(R_{\alpha\beta} + R_{\beta\alpha}) - \frac{1}{3}R_{\alpha\beta}\delta_{\alpha\beta}$$

Symmetric (traceless) part :
Structural ATS



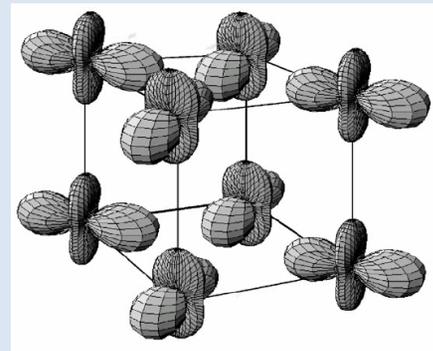
(0 0 4n+2):
Bragg forbidden
ATS allowed

($R_{\alpha\beta} - R_{\beta\alpha}$)
Antisymmetric tensor



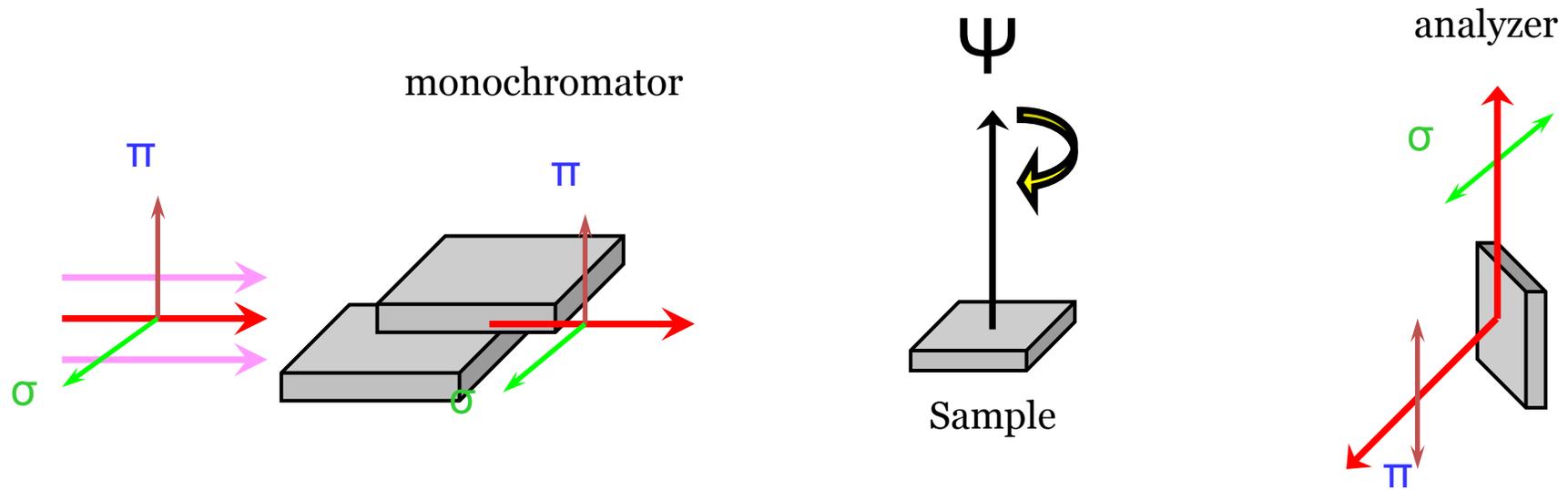
$$\vec{\Phi} \approx \frac{1}{2}(R_{\alpha\beta} + R_{\beta\alpha}) - \frac{1}{3}R_{\alpha\beta}\delta_{\alpha\beta}$$

Symmetric (traceless) part :
Orbital (quadrupolar) order



Three distinctive features of RXS:

Experimental configurations



- Polarization dependence is achieved by the choice of electronic crystal symmetry of sample
 - ex) copper base spin ins, K-edge of transition metal for hard x-ray
- ATS : $\sigma - \sigma$ and $\sigma - \pi$

Brief review of past works and quests

Resonant X-ray Scattering: magnetic structure

- ✓ 1985 M. Blume
theoretical basis
- ✓ 1985 K. Namikawa, *et al.*
magnetic enhancement in Ni
(Zeeman interaction)
- ✓ 1986 G. van der Laan, *et al.*
MXD at M_5 -edge of TbIG
- ✓ **1988 Doon Gibbs, *et al.***
AF modulation in Ho: XRES

Has been complementary to neutron scattering

Resonant X-ray Scattering: chemical anisotropy (ATS)

- ✓ 1982 Templeton & Templeton
- ✓ 1983 Dmitrienko
formalism of ATS-symmetry
- ✓ 1992 Finkelstein, *et al.*
ATS in $\alpha\text{-Fe}_2\text{O}_3$
- ✓ **1998 Murakami, *et al.***
orbital orderings in LaMnO_3
- ✓ 2000 Hirota *et al.*
quadrupolar orderings in DyB_2C_2

Has been fascinating tools to characterize orbital physics

No works have been reported dealing with:

1. combined effects of magnetic and chemical anisotropy
2. possibility of RXS as a spectroscopic probe.
3. relation between orbital and lattice degrees of freedom

Synchrotron experiment – Pohang Light Source, 3C2

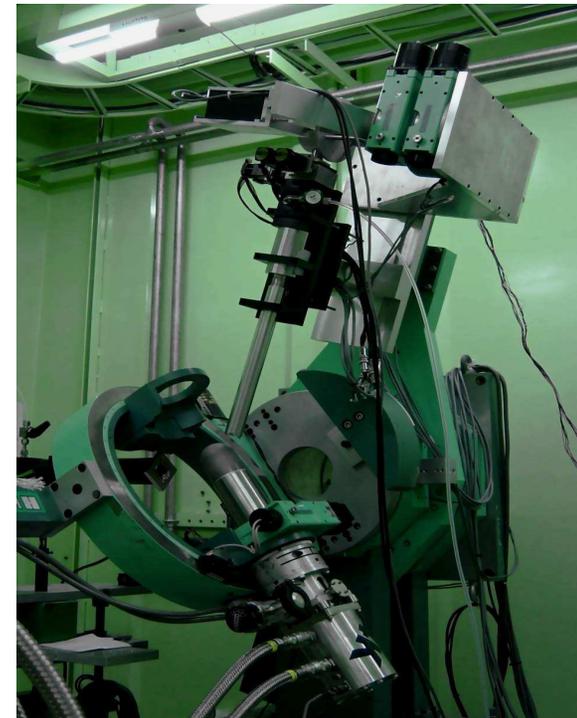


Pohang Light Source

- ✓ Power: 2.5GeV & 180mA
- ✓ Beamlines in operation
: 5 IDs & 22 BMs

3C2 Beamline

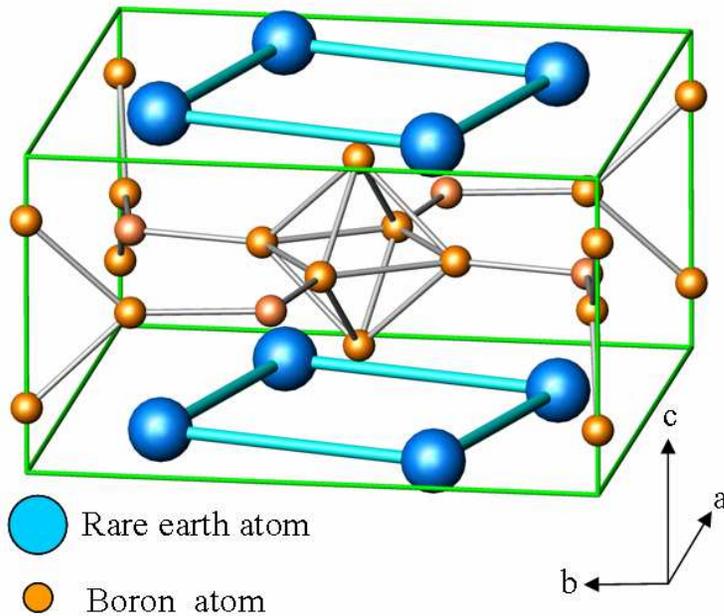
- ✓ E: 4keV~13keV, $\Delta E \sim 2\text{eV}$
- ✓ $\sim 10^9$ photons/sec (BM)
- ✓ Polarization analysis, 5K dispex



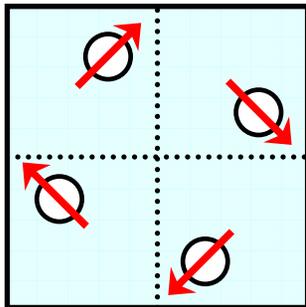
Combined effects & Mode Selective Spectroscopy : GdB4

GdB₄: coexistence of magnetic & ATS resonance

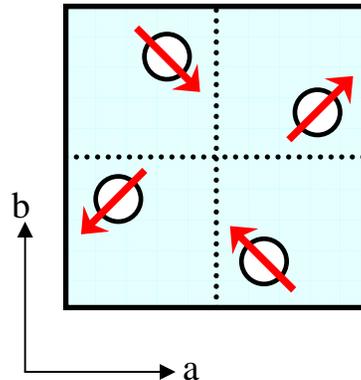
Crystal and magnetic structure of GdB₄



P4/m'b'm'(G2)



P4'/m'b'm(G6)

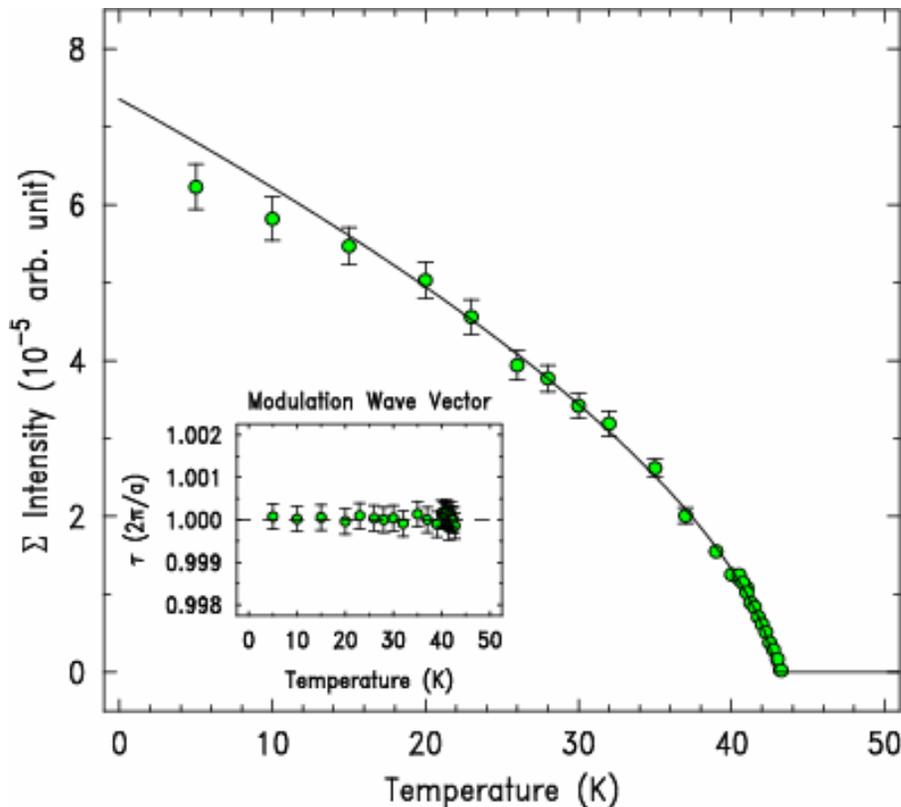


- ✓ Tetragonal structure (P4/mbm) with Gd ions at the 4g symmetry position
- ✓ Only one magnetic ion and antiferromagnetic ordering below 42K with *ab*-plane moments and a propagation vector of [1 0 0] → magnetic RXS is expected at (2n+1 0 0)
- ✓ (2n+1 0 0) reflections are glide-plane symmetry forbidden → Structural ATS is expected at the same Q-positions

Good candidate to demonstrate

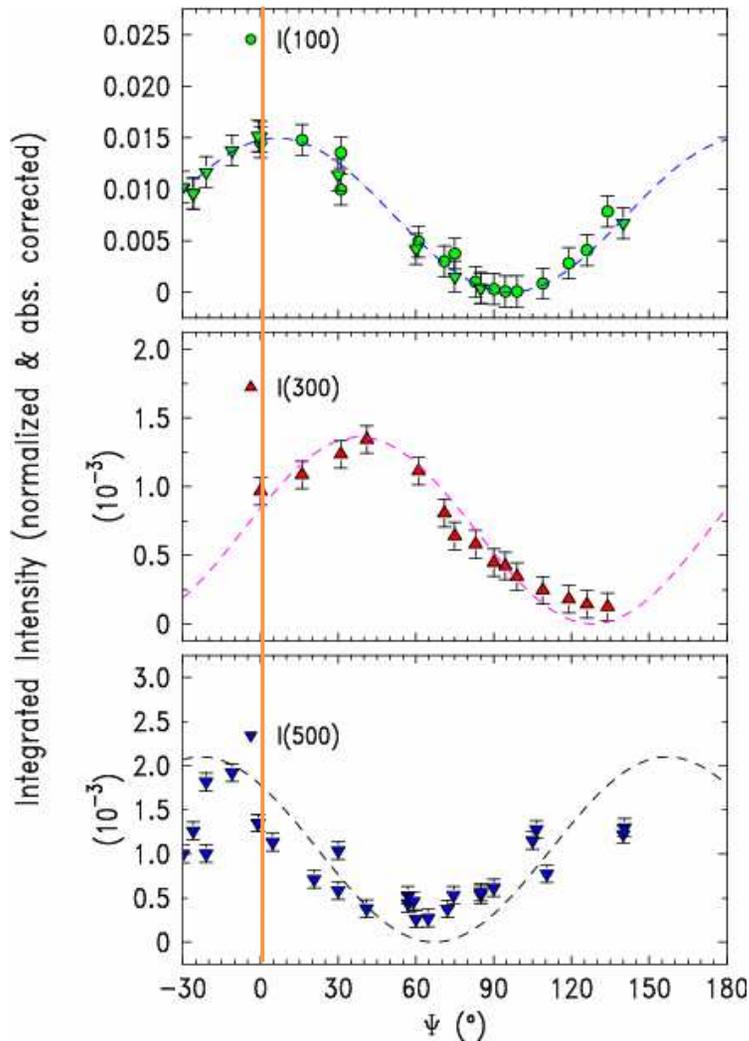
1. the combined effects between magnetic and ATS RXS
2. spectroscopic probe for spin and chemical anisotropy

Some results of magnetic scattering



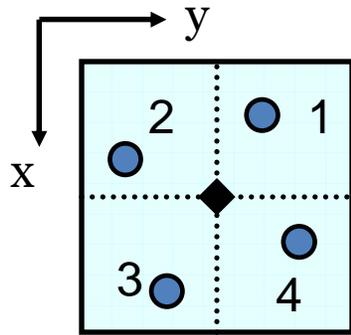
- ✓ Resonance signal enhancement at $E=7.245\text{keV}$ which is $2\sim 3\text{ eV}$ above the absorption edge implying the E1 transition process
- ✓ Scattering performed on σ -to- π polarization channel using the PG (0 0 6) reflections
- ✓ Sample mounted with the flat (h 0 0) planes parallel to the Q and the ab -plane is in the scattering plane (defining $\Psi = 0$)
- ✓ Magnetic order parameter obtained with $T_N=43\text{K}$. The solid line is a power law fit used as an eye-guide
- ✓ Modulation wave vector= $[1\ 0\ 0]$

Azimuthal rotation dependence



- ✓ The azimuthal dependence of the superstructure peaks, $(h\ 0\ 0)$, at 5K displays a sinusoidal modulation with the **phase shifted from zero** and the **shifted phases are different for each reflection plane**
- ✓ Modulation can be realized for a transverse spin ordering, but the phase shifts and Q-dependence of shifted phase is **not possible from magnetic reflection only**
- ✓ The broken lines are from a derived scattering factor considering both **magnetic and ATS reflections** whose details are described below
- ✓ Azimuthal rotation (Ψ) along $[1\ 0\ 0]$: $\Psi = 0$ (**c-axis // y**)

Analysis 1: ATS reflection (E1 transition)



$$r_1 = \left(x, \frac{1}{2} + x, 0\right) \quad r_2 = \left(\frac{1}{2} - x, x, 0\right) \quad r_3 = \left(-x, \frac{1}{2} - x, 0\right) \quad r_4 = \left(\frac{1}{2} + x, -x, 0\right)$$

$$F(h = 2n + 1, 0, 0) = \epsilon_{out}^+ \left[(f_1 - f_4) e^{i2\pi(2n+1)x} + (f_3 - f_2) e^{-i2\pi(2n+1)x} \right] \epsilon_{inc}$$

$$\propto \epsilon_{out}^+ \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \epsilon_{inc} \cos[2\pi(2n+1)x]$$

Symmetric traceless

$$f_n = \begin{pmatrix} a & b & c \\ b & d & e \\ c & e & -a-d \end{pmatrix}$$



$$(f_1 - f_4) = (f_3 - f_2) \propto \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

Symmetry invariant :

$$f(r') = R^+ f(r) R$$

$$R(\sigma_{001}) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -1 \end{pmatrix}$$

Reflection (001)

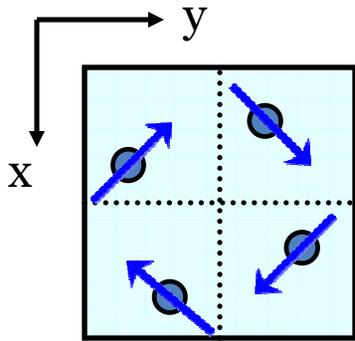
$$R(\sigma_{110}) = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

Reflection (110)

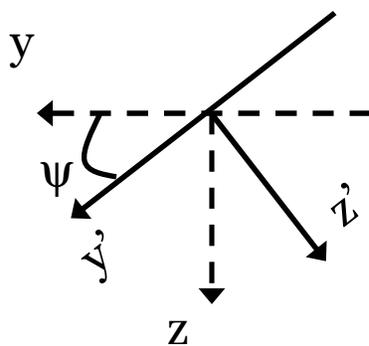
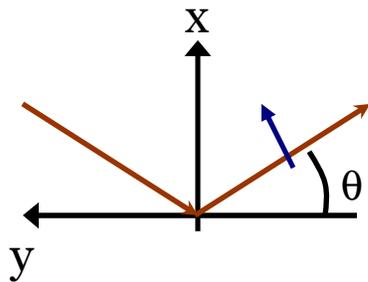
$$R(C_4) = \begin{pmatrix} 0 & -1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

4-fold rotation (001)

Analysis 2: magnetic structure & photon polarizations



$$F_{\text{MAG}}(h = 2n + 1, 0, 0) = (\boldsymbol{\varepsilon}_{\text{out}}^+ \times \boldsymbol{\varepsilon}_{\text{inc}})_y M \sin[2\pi(2n + 1)x]$$



$$\boldsymbol{\varepsilon}_{\sigma}^{\text{inc}}(\Psi) = \begin{pmatrix} 0 \\ -\sin \Psi \\ \cos \Psi \end{pmatrix}$$

$$\boldsymbol{\varepsilon}_{\pi}^{\text{out}}(\Psi) = \begin{pmatrix} \cos \theta \\ \sin \theta \cos \Psi \\ \sin \theta \sin \Psi \end{pmatrix}$$

rotated

$$R(\hat{\Psi} \parallel \hat{a}) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \Psi & -\sin \Psi \\ 0 & \sin \Psi & \cos \Psi \end{pmatrix}$$

Rotation matrix

$$\boldsymbol{\varepsilon}_{\sigma}^{\text{inc}}(0) = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$$

$$\boldsymbol{\varepsilon}_{\pi}^{\text{out}}(0) = \begin{pmatrix} \cos \theta \\ \sin \theta \\ 0 \end{pmatrix}$$

unrotated

The azimuthal dependence with interference

$$F(\Psi)_{\sigma \rightarrow \pi}^{E1, \text{MAG}} = M_{\text{MAG}} \cos\left(\frac{2\theta}{2}\right) \sin(2\pi(2n+1)x) \cos(\Psi)$$

$$\& \quad F(\Psi)_{\sigma \rightarrow \pi}^{E1, \text{ATS}} = \Phi_{\text{ATS}} \cos\left(\frac{2\theta}{2}\right) \cos(2\pi(2n+1)x) \sin(\Psi)$$

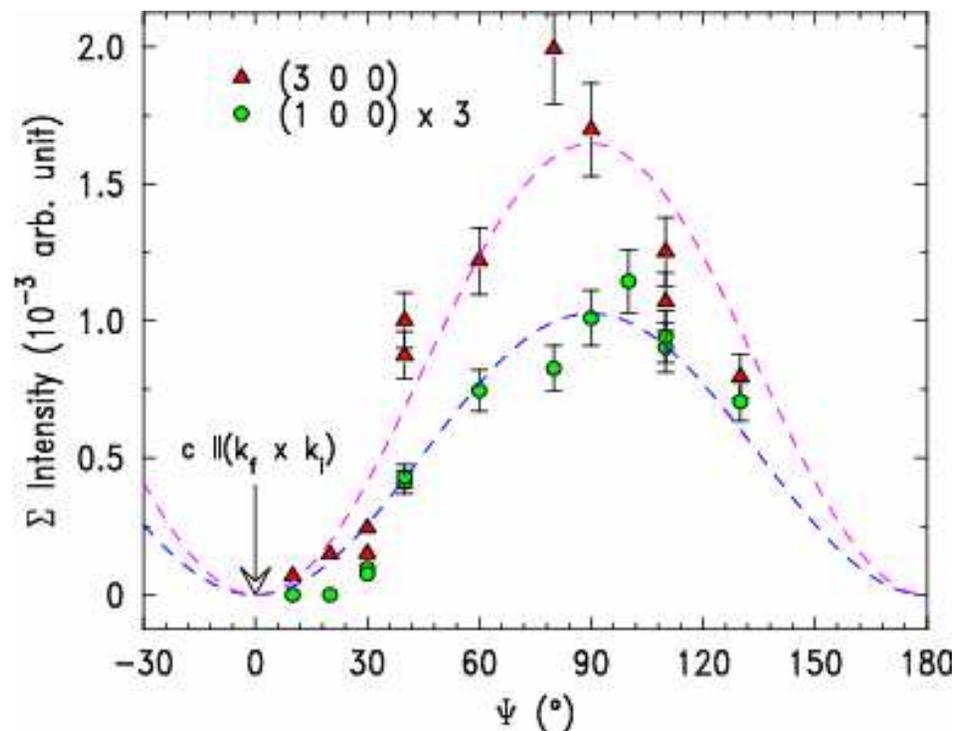
$$F(\Psi)_{\sigma \rightarrow \pi}^{E1, \text{M\&A}} = [M, \Phi] \cos\left(\frac{2\theta}{2}\right) \cos[\Psi - \Delta(Q, \xi)]$$

$$\text{with} \quad \tan \Delta = \frac{\{M, \Phi\}}{[M, \Phi]} \cot[2\pi(2n+1)x]$$

$$\text{for } |a\rangle|b\rangle \Rightarrow [a, b] = a \text{ and } \{a, b\} = b$$

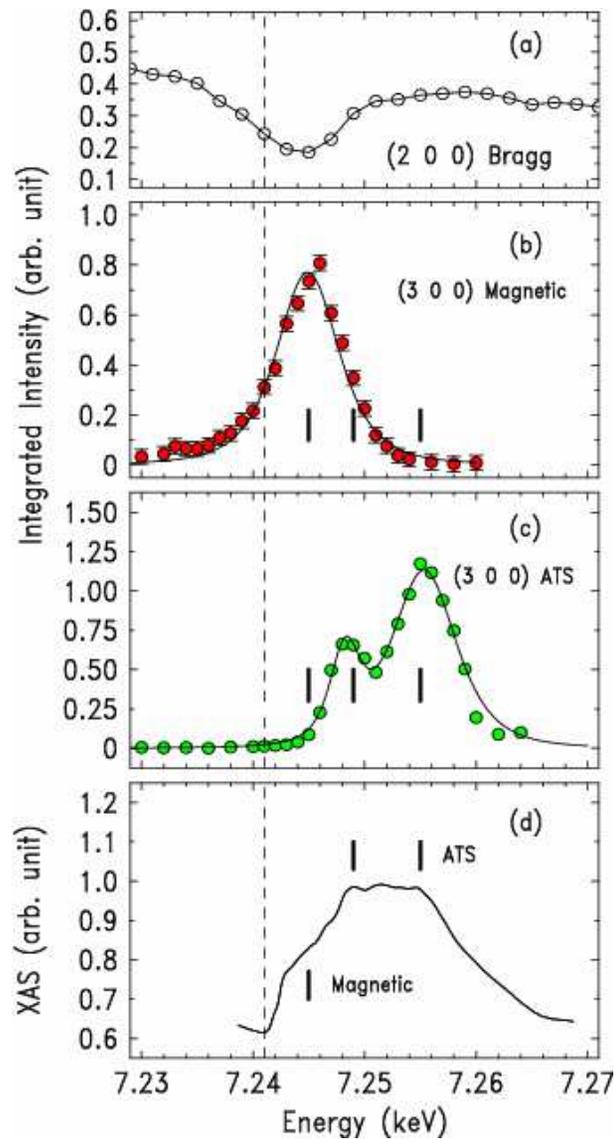
- ✓ σ -to- σ polarization remains forbidden for the E1 transition
- ✓ Coherent interference between magnetic and ATS reflections leads to phase shifted azimuthal dependence where the shifted phase (Δ) has Q-dependence
- ✓ The derived scattering factors well reproduce the experimental results
:three data set with parameters of \mathbf{x} (atomic position) and ξ , relative strength of magnetic and ATS. ($\mathbf{x}=0.317$, taken from TbB4)

Azimuthal dependence of pure ATS reflections



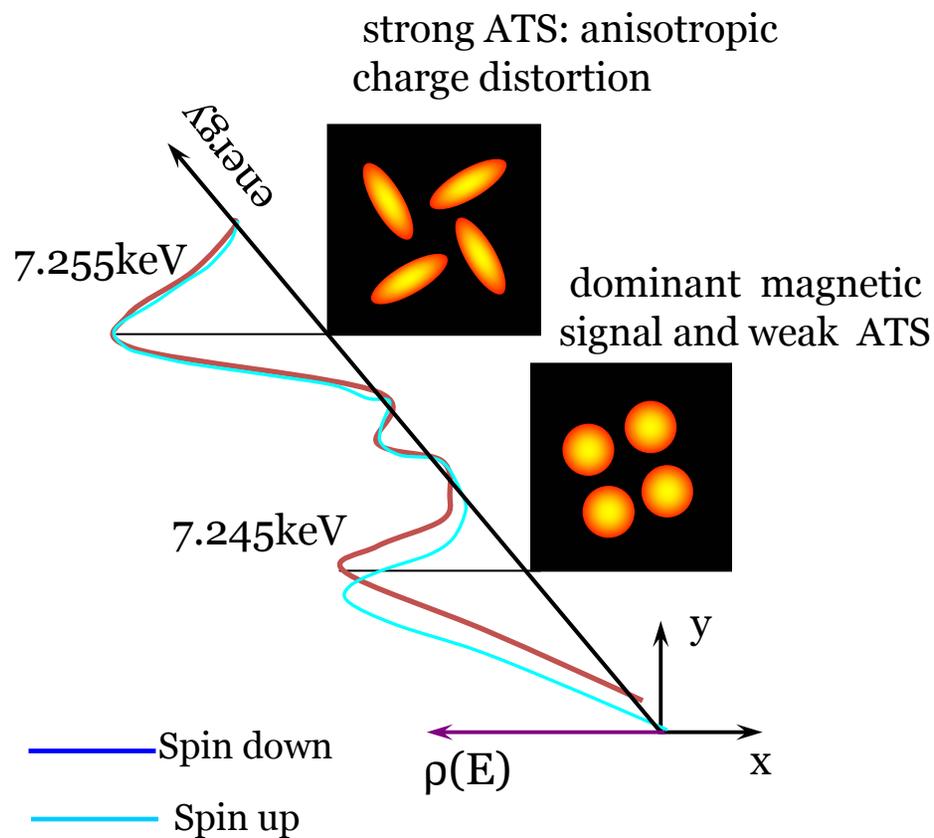
- ✓ To further confirm the results, azimuthal dependence of pure ATS reflections are obtained at 99K (above the $T_N=43K$)
- ✓ Azimuthal dependence displays **in-phase modulation** with a maximum intensity at $\Psi = 90$ degree
- ✓ Broken lines are from the derived scattering factor, but now having only the ATS scattering
- ✓ Overall, the results are self-consistent supporting that inclusion of the ATS reflection is inevitable to interpret the phase shifted azimuthal dependences in magnetic phase.

Resonance energy profiles



- ✓ Separation of the energy profiles becomes enabled by rotating the azimuthal angle:
 - pure magnetic: $\Psi = 0$
 - pure ATS : $\Psi = 90$
- ✓ Different resonance energies
 - $E_{\text{MAG}} = 7.245 \text{ keV}$
 - $E_{\text{ATS}} = 7.249 \text{ keV} \ \& \ 7.255 \text{ keV}$
- ✓ Involved transition: Gd $2p_{3/2}$ -to-5d
 - resonance energy profile (w/ three main peaks) reflects the electronic structure of Gd 5d band
- ✓ Ample distribution of the Gd 5d state shifted upward due to bonding with B 2p state: confirmation from the x-ray absorption spectrum near B K-edge

RXS: a mode-selective spectroscopy probe



Schematics of Gd 5d-state deduced from energy profiles of RXS

- ✓ Intra- & inter-atomic hybridizations of Gd 5d-states with Gd, 4f and B, 2p- state lead to a major split of Gd 5d -orbital
 - Gd 4f & 5d -> magnetic contribution
 - B 2p & Gd 5d -> ATS
- ✓ Higher energy state: Anisotropic charge distortion of the Gd 5d states after a bonding with B 2p states as observed from the B, 2p absorption spectrum
- ✓ Lower energy state: dominant magnetic resonance and weak ATS -> exchange split comes from direct hybridizations with spherical Gd 4f-state

Implications of the current works

- ✓ Anisotropy of the valence charge is sensitively reflected in RXS
 - ✓ Distortion of the local environment:
 - hybridization with neighbor atoms
 - crystal electric field effect
 - thermal motion & point defects
 - displacive lattice modulations, etc
 - ✓ Interplay of magnetic ordering and crystalline anisotropy
-

✓ **Resonant X-ray Scattering as a mode selective spectroscopy probe**

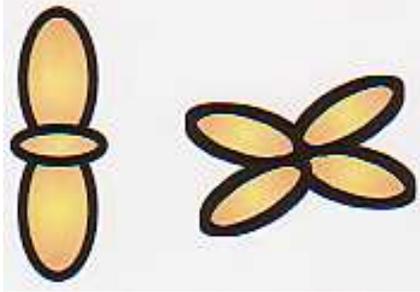
✓ **Resonant X-ray Scattering as a local environment sensitive probe**

- ✓ X-ray absorption spectroscopy
 - somewhat averaged information ($Q=0$)
- ✓ Angle resolved PES
 - energy level dispersion: $E(k)$
- ✓ Resonant X-ray Scattering
 - explicit visualization of a given orbital's physical characteristics
 - intrinsic Q -dependence

Direct Observation of Quadrupole-Strain Interaction : DyB₄

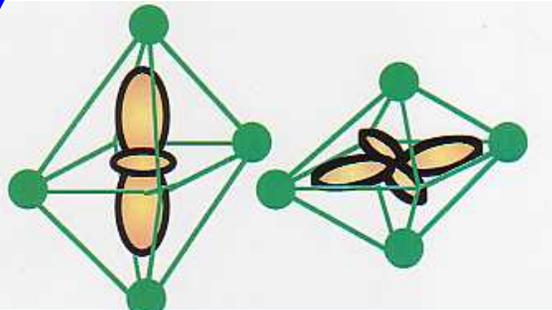
Quadrupole-Strain interaction

Orbital order : microscopic



Lattice-orbit coupling

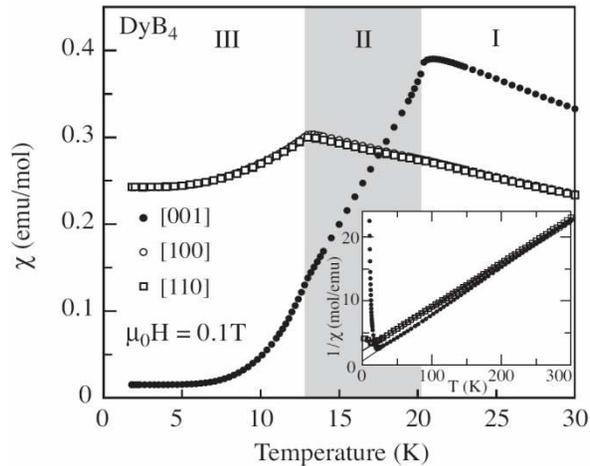
$$\epsilon_{ij} \propto Q_{ij}$$



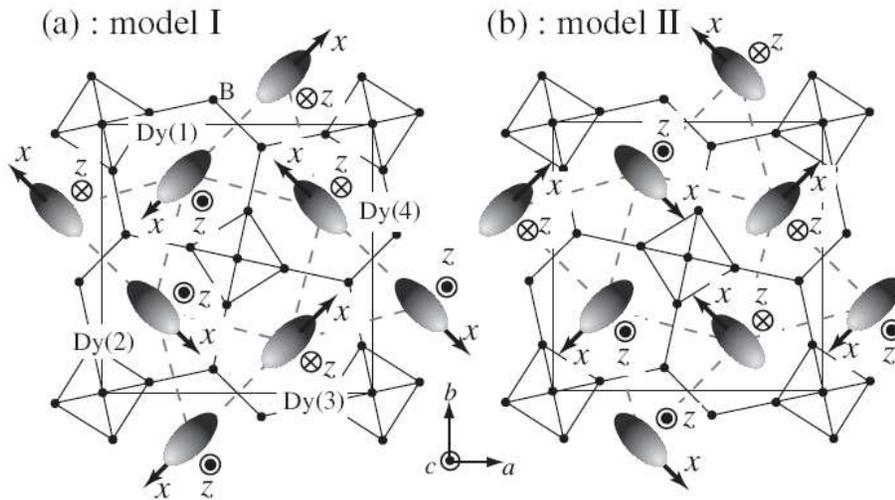
Lattice distortion : macroscopic

- Orbital and strain related phenomena in $3d$ - and $4f$ -electron systems
 - ✓ Magnetoelasticity
 - ✓ Jahn-Teller transition, etc.
- Quadrupole-Strain (Q-S) interaction
 - ✓ well known in 60's
 - ✓ analyzed mostly by strain
- No direct demonstration by experiments
 - ✓ Difficult to observe quadrupolar order
- Q-S interaction was investigated using resonant x-ray scattering.

DyB₄: quadrupolar ordered system



R. Watanuki *et al.*, JPSJ 74, 2169 (2005)

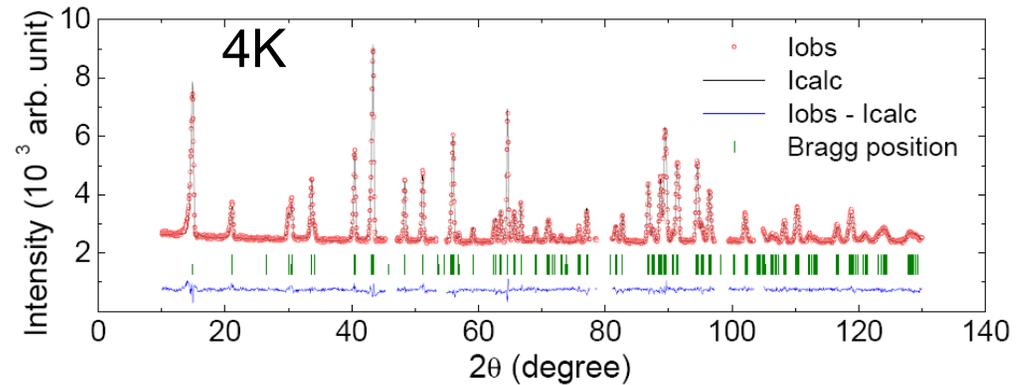
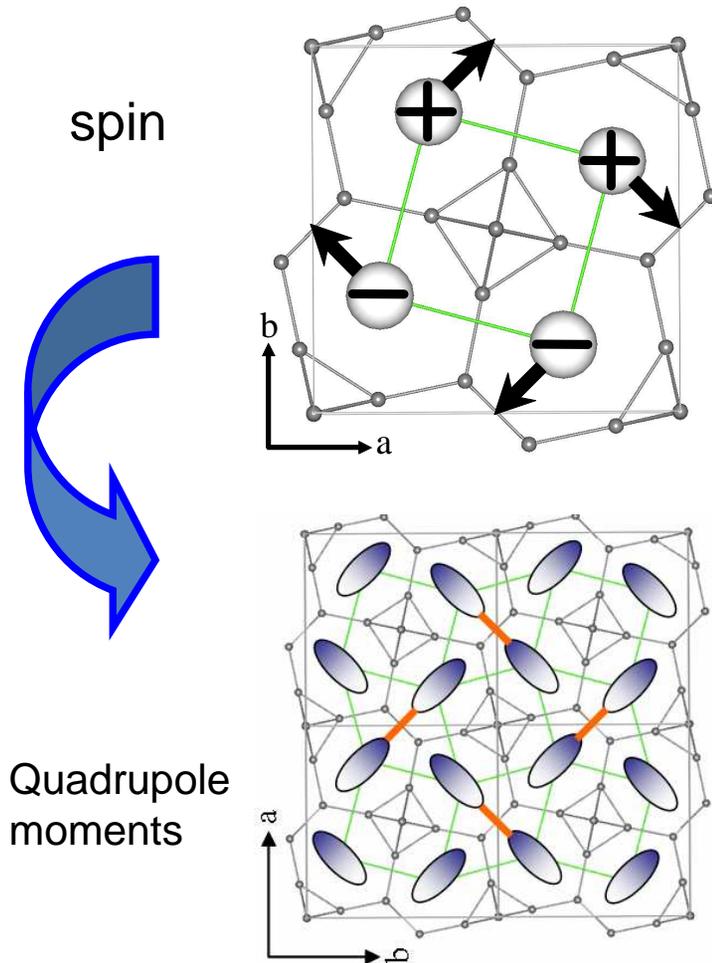


D. Okuyama *et al.*, JPSJ 74, 2434 (2005)

- Phase I ($T > T_{N1} = 21$ K)
 - paramagnet with tetragonal structure (P4/mbm)
- Phase II ($T_{N1} > T > T_{N2} = 12$ K)
 - collinear AFM (c-axis moments)
- **Phase III : AFQ + FQ**
 - Change of crystal & magnetic structures
 - bc-plane : AFQ \leftrightarrow RXS
 - ac-plane : FQ \leftrightarrow strain
- **Good candidate** to demonstrate the relation between quadrupole order and strain

Crystal & Magnetic Structures in phase III

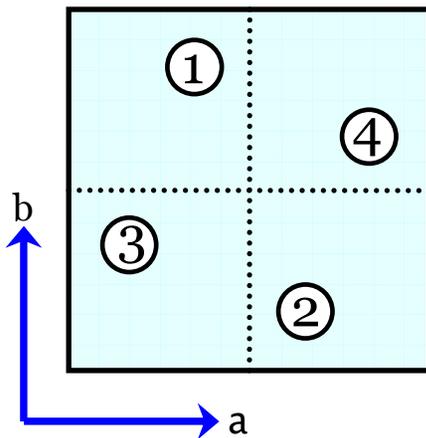
Monoclinic : $P 1 2_1/a' 1$



- Dy ion at each site has canted $[110]$'s local magnetic easy axes due to boron surroundings
- Due to strong LS coupling, principal axes of quadrupole moment **coincide with** spin directions
- AFQ (bc -plane) and FQ (ac -plane) are **proportional** to each other.

RXS factor calculation in phase III

Under monoclinic ($P 1 2_1/a 1$) symmetry
Atomic scattering factor of Dy via
 E_1 transition



exist even in tetragonal structure : structural ATS

$$f_1 = f_2 = \begin{pmatrix} 0 & f_{ab} & f_{ac} \\ f_{ab} & 0 & f_{bc} \\ f_{ac} & f_{bc} & 0 \end{pmatrix}$$

Ferro-type

Can not be measured

$$f_3 = f_4 = \begin{pmatrix} 0 & -f_{ab} & f_{ac} \\ -f_{ab} & 0 & -f_{bc} \\ f_{ac} & -f_{bc} & 0 \end{pmatrix}$$

Antiferro-type

Measurable by RXS

Structure factor :
($h=2n+1$ 0 0)

$$(f_1 - f_4)e^{2\pi i h x} + (f_2 - f_3)e^{-2\pi i h x}$$

RXS factor calculation in phase III (continued)

Structure factors of forbidden reflection ($h, k = \text{odd integer}$)
for each domain and scattering channel:

For ($h\ 0\ 0$) domain,

$$F_{\sigma-\sigma} \propto f_{bc} \sin(2\Psi) \cos(2\pi hx),$$

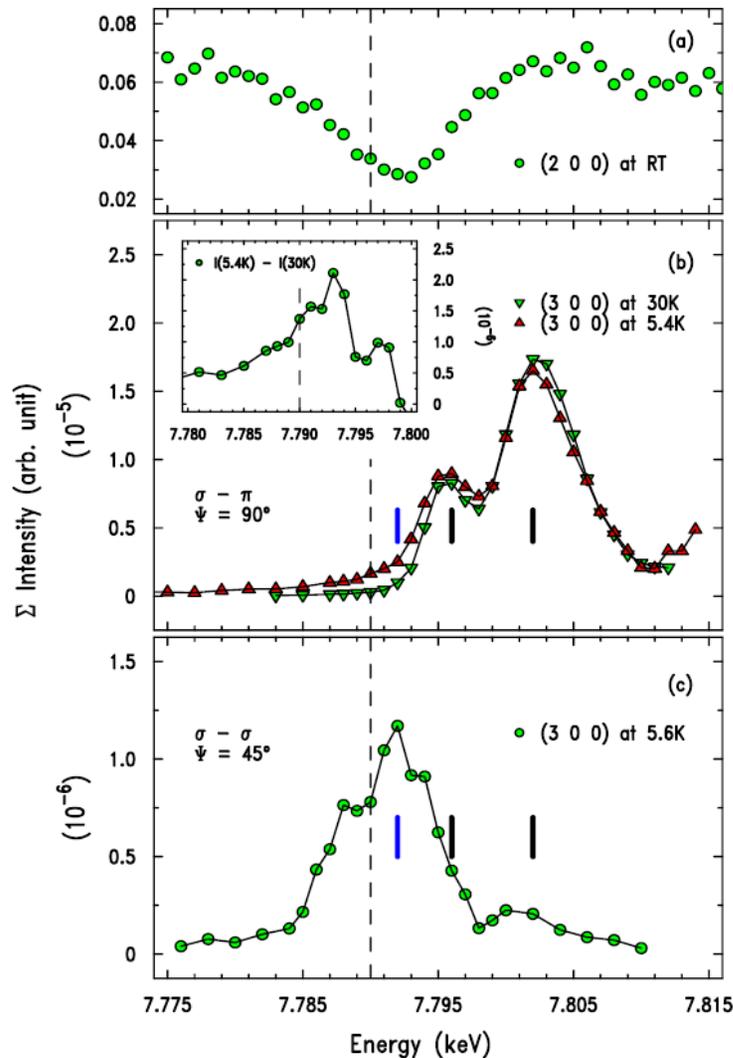
$$F_{\sigma-\pi} \propto \cos(2\pi hx) (f_{ab} \sin\Psi \cos\theta - f_{bc} \cos 2\Psi \sin\theta) \\ - \sin(2\pi hx) M_b \cos\Psi \cos\theta$$

For ($0\ k\ 0$) domain,

$$F_{\sigma-\sigma} = 0,$$

$$F_{\sigma-\pi} \propto \cos(2\pi ky) (f_{ab} \sin\Psi \cos\theta - f_{bc} \cos\Psi \cos\theta) \\ + \sin(2\pi ky) (M_a \cos\Psi \cos\theta + M_c \sin\Psi \cos\theta)$$

Energy Profiles: observation of AFQ order



Near Dy L_3 -edge,
at (3 0 0) forbidden reflection

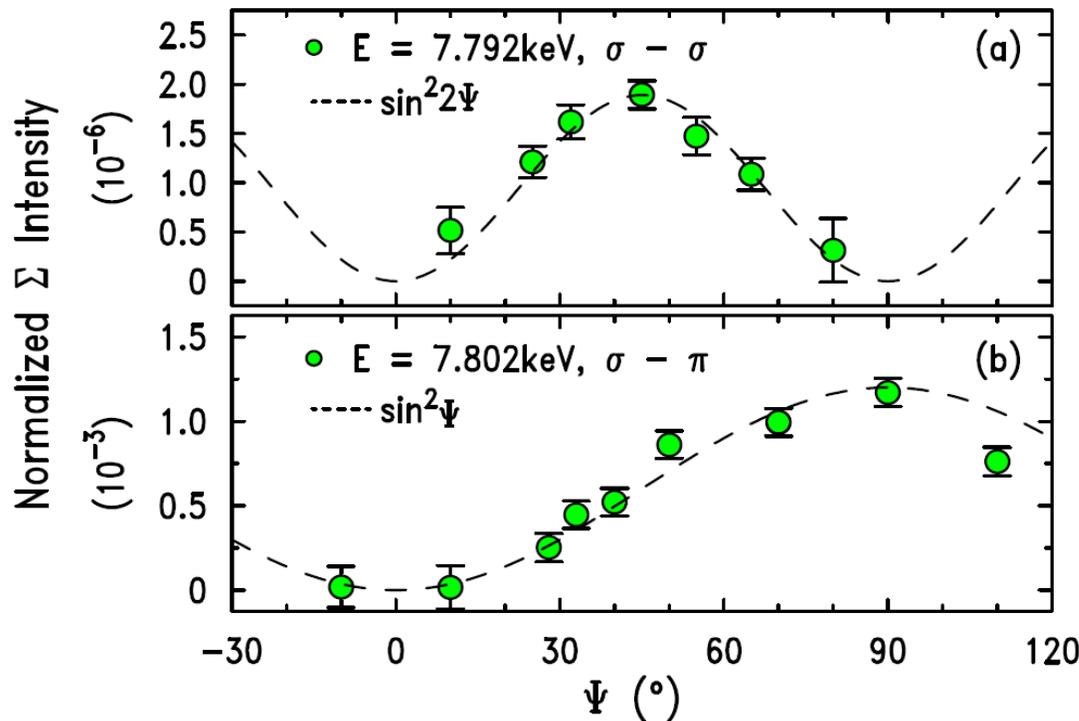
$\sigma - \pi$ channel

- dominant Structural ATS
 - 7.796 and 7.802 keV
 - Hybridization of B 2p and Dy 5d
- MAG + f_{bc} : 7.792 keV
 - Hybridization of Dy 4f and 5d

$\sigma - \sigma$ channel

- f_{bc} component only
- f_{bc} reflect AFQ order of Dy 4f electrons

Observation of AFQ order : azimuthal dependence



$E = 7.792 \text{ keV}$

AFQ $f_{bc} \sin(2\Psi)$

$E = 7.802 \text{ keV}$

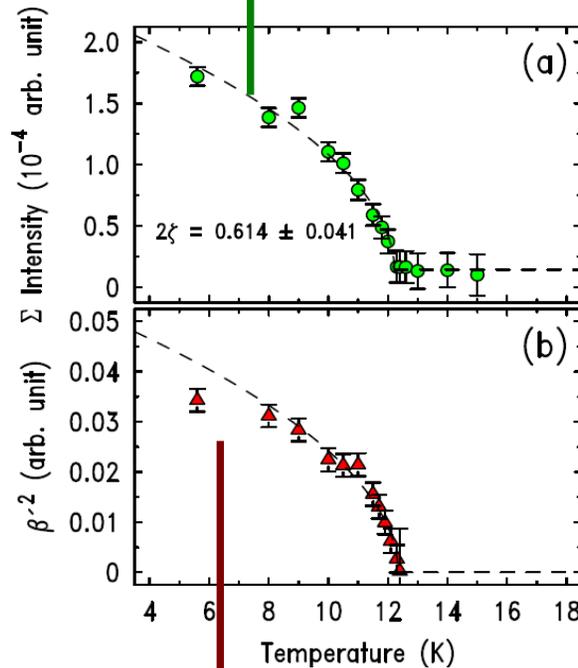
Structural ATS $f_{ab} \sin\Psi$

- confirm that f_{bc} originates from AFQ order of Dy 4f electrons

AFQ order and Strain

T-dep of AFQ order: $I_{Q.O.} \propto \langle f_{bc} \rangle^2 \propto \langle Q_{bc} \rangle^2$

obtained from (300)
AFQ reflection

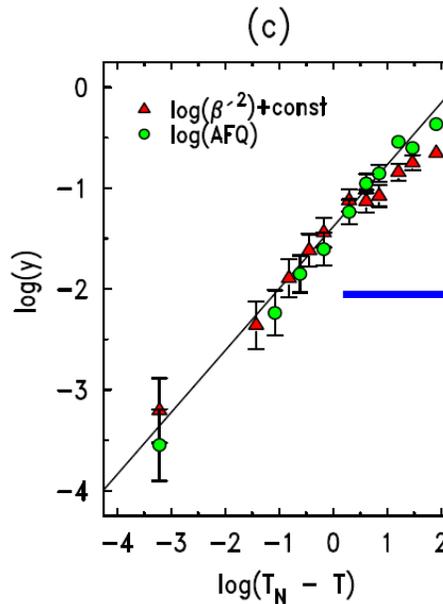


T-dep of monoclinicity: $\beta^2 \propto \epsilon_{ca}^2$

AFQ proportional to strain

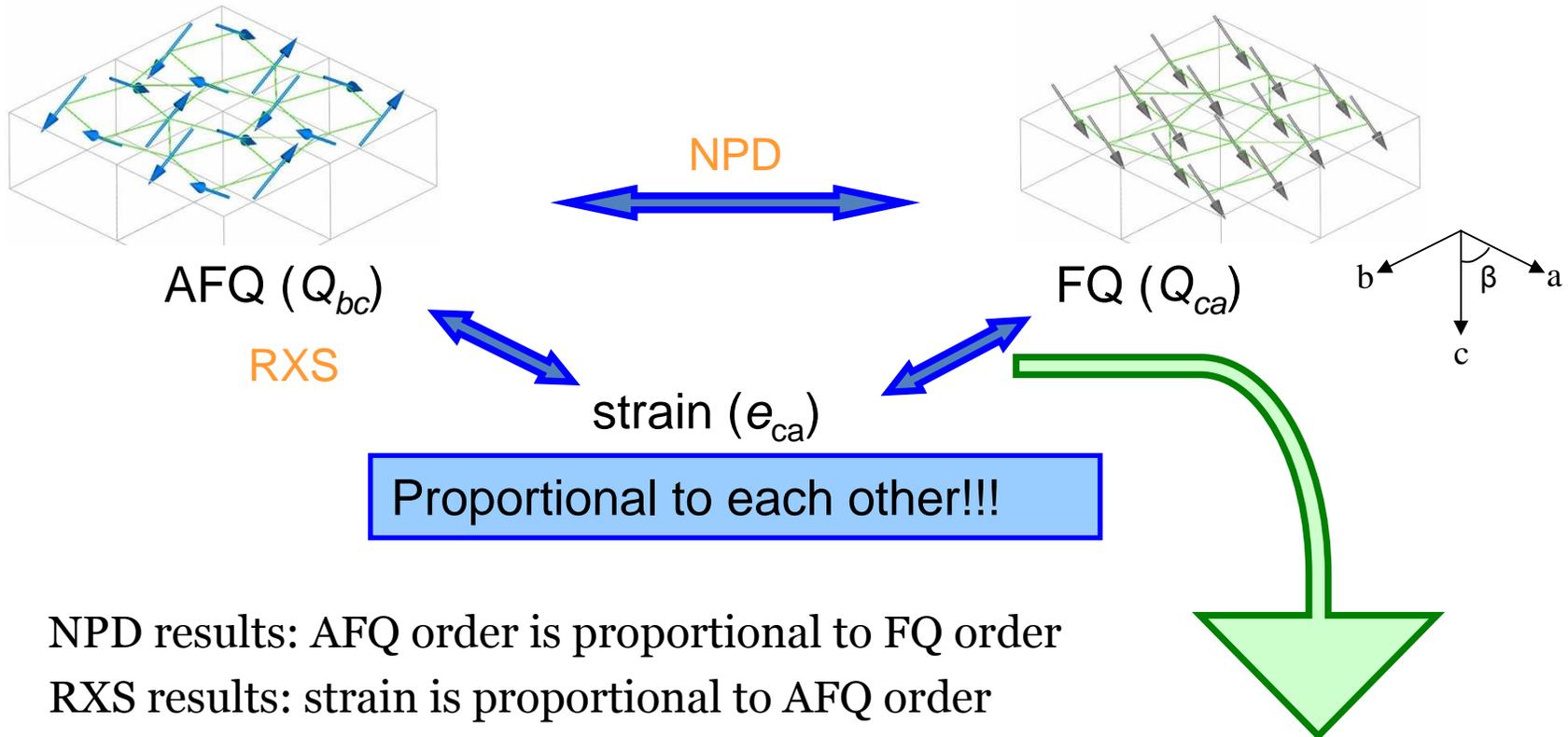
$$\langle Q_{bc} \rangle \propto \epsilon_{ca}$$

Obtained from (401)
Bragg peak splittings



Conclusion:

Direct demonstration of Q-S interaction



- NPD results: AFQ order is proportional to FQ order
- RXS results: strain is proportional to AFQ order
- Consequently, monoclinic strain is proportional to FQ order.

The quadrupole-strain coupling is directly demonstrated in DyB_4

S. Ji *et al.*, PRL 99, 076401 (2007)

Summary

Through the RXS study of rare-earth tetraborides, we show followings:

- ✓ Explicit evidence of **interference** between magnetic and chemical anisotropy (ATS) reflections
- ✓ Resonance energy profiles of magnetic, structural ATS and quadrupolar order are separately obtained which explain physical characteristics of split rare-earth 5d-band
 - lower energy band: intra-atomic mixing (with Gd/Dy 4f)
 - > reflect magnetic and orbital anisotropy dominantly.
 - upper band : inter-atomic mixing (with B 2p)
 - > major contribution to the structural ATS : anisotropic distortion due to bonding with B
- ✓ **Quadrupole-strain** interaction which has been understood theoretically or phenomenologically can be measured directly.