

Symmetric Surfaces of Topological Superconductor

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Outline

- **Introduction**
 - Brief description of time reversal symmetric topological superconductor.
- **Coupled wire model**
 - Why coupled wire model ?
 - Coupled wire model for the gapless surface of topological superconductor.
- **Gapping interaction**
 - General gapping term for any N .
 - Show explicitly for odd N case.
- **Topological order of gapped surface**
 - Use bulk-boundary correspondence to get anyon content of the surface.
 - 32-fold topological order

BCS Theory

BCS Theory: Symmetry

Anti-unitary symmetries of BdG Hamiltonian

- Particle-Hole Symmetry (PHS) , $C \quad c_{\mathbf{k}\uparrow} \rightarrow c_{\mathbf{k}\uparrow}^\dagger$

$$C = \sigma_y \tau_y K \quad \{C, H_{BdG}(\mathbf{k})\} = 0$$

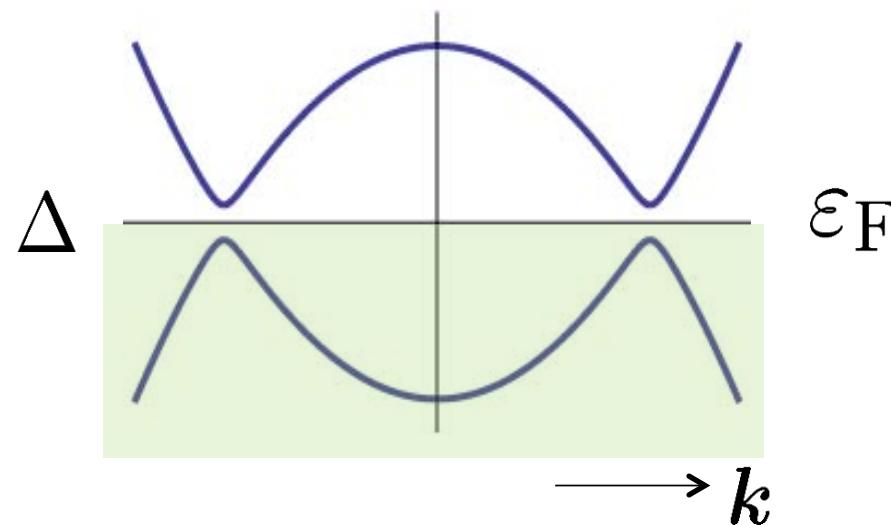
- In some cases, Time Reversal Symmetry (TRS) , $T \quad c_{\mathbf{k}\uparrow} \rightarrow c_{-\mathbf{k}\downarrow}$

$$T = i\sigma_y K \quad [T, H_{BdG}(\mathbf{k})] = 0$$

- We can define Chiral Symmetry (CS), $\Pi = iCT \quad \{\Pi, H_{BdG}(\mathbf{k})\} = 0$

BCS Theory (contd.)

- BdG Transformation $\gamma_{\mathbf{k}\uparrow} = u_{\mathbf{k}}^* c_{\mathbf{k}\uparrow} - v_{\mathbf{k}} c_{-\mathbf{k}\downarrow}^\dagger$
- Diagonalizing $\mathcal{H}_{BCS} = \sum_{\mathbf{k},\sigma} \sqrt{\epsilon_{\mathbf{k}}^2 + |\Delta_{\mathbf{k}}|^2} \gamma_{\mathbf{k}\sigma}^\dagger \gamma_{\mathbf{k}\sigma}$



- Topological superconductor has non-trivial band-structure.
- Depending on whether symmetries squares to \pm identity we have different class of topological superconductor.

Classification of Topological Insulators and Superconductors

		TRS	PHS	CS	d=1	d=2	d=3
Standard (Wigner-Dyson)	A (unitary)	0	0	0	-	\mathbb{Z}	-
	AI (orthogonal)	+1	0	0	-	-	-
	AII (symplectic)	-1	0	0	-	\mathbb{Z}_2	\mathbb{Z}_2
Chiral (sublattice)	AIII (chiral unitary)	0	0	1	\mathbb{Z}	-	\mathbb{Z}
	BDI (chiral orthogonal)	+1	+1	1	\mathbb{Z}	-	-
	CII (chiral symplectic)	-1	-1	1	\mathbb{Z}	-	\mathbb{Z}_2
BdG	D	0	+1	0	\mathbb{Z}_2	\mathbb{Z}	-
	C	0	-1	0	-	\mathbb{Z}_2	-
	DIII	-1	+1	1	\mathbb{Z}_2	\mathbb{Z}_2	Z
	CI	+1	-1	1	-	-	Z

[Schnyder, et.al., 08] [Kitaev, 08] [Qi et.al., 09]

Topological Superconductor

Class DIII topological superconductor:

$$H_{BdG} = X(\mathbf{k}) \otimes \tau_x + Z(\mathbf{k}) \otimes \tau_z$$

- 3D Brillouin zone $\xrightarrow{q(\mathbf{k})} GL(n, \mathbb{C}) \simeq U(n)$

$$q(\mathbf{k}) = X(\mathbf{k}) + iZ(\mathbf{k}), \text{ s.t. } \det(q) \neq 0$$

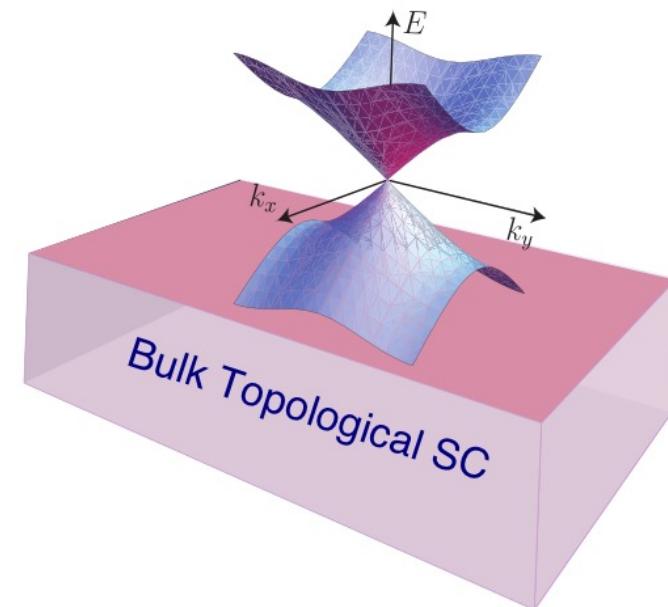
- Winding number,

$$\nu[q] = \int \frac{d^3 k}{24\pi^2} \epsilon^{\mu\nu\rho} Tr \left[(q^{-1} \partial_\mu q)(q^{-1} \partial_\nu q)(q^{-1} \partial_\rho q) \right] \in \mathbb{Z}$$

Because $\pi_3(U(n)) = \mathbb{Z}$

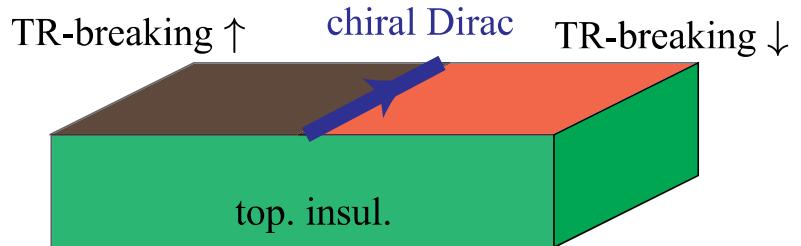
Topological Superconductor(contd.)

- Topological invariant is the winding number, N that takes integer value.
- How does the winding number, N appear in transport phenomena?
 N # of gapless Majorana cones on the surface.
- Adding any TR symmetric single-body perturbation will not open a gap as long as bulk gap is not closed.



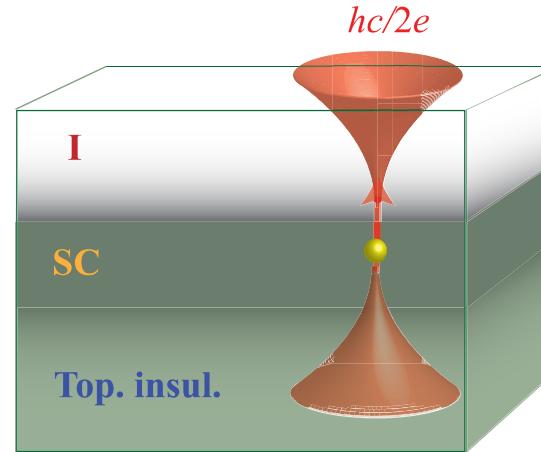
Gapped surfaces of Top. Insulator and Superconductor

- TR-breaking surface of TI

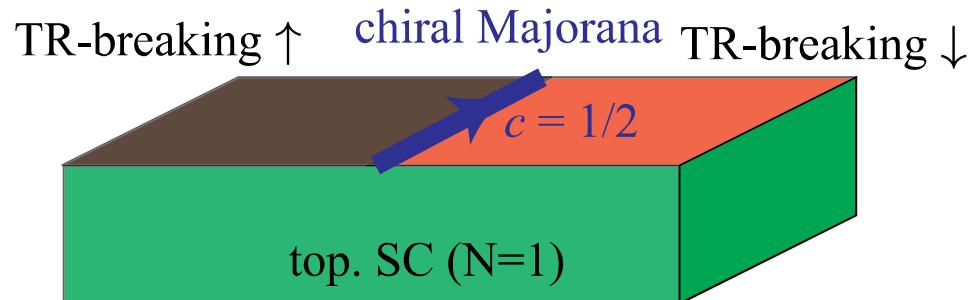


TR breaking through magnetic field

- Charge breaking surface of TI



- TR-breaking surface of TSc



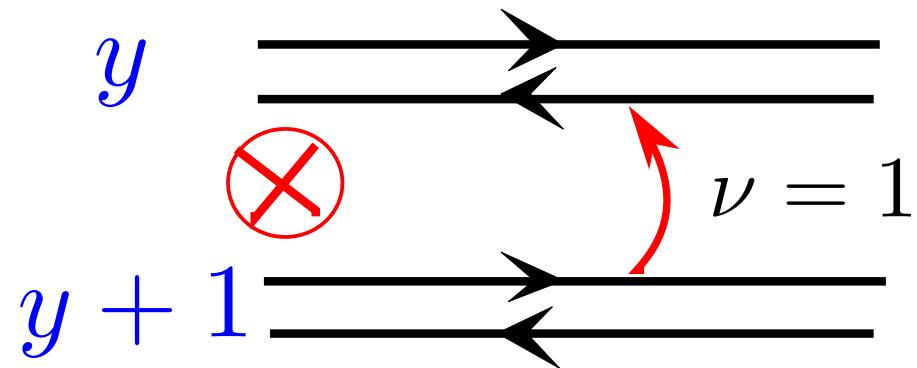
TR breaking when pairing phase $\neq 0$ or π

What about gapped surface that are symmetric ?

Why coupled wire model ?

- This method allows us to write simple Hamiltonian in terms of local degrees of freedom rather than field theory description.
- Hamiltonian can be transformed using bosonization and can be exactly solved.

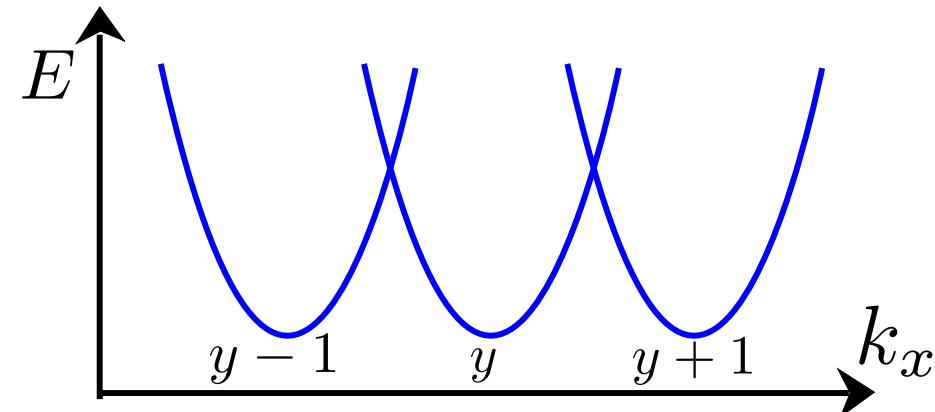
2D integer quantum Hall state



$$\mathcal{O} = \int dx c_y^{\dagger L} c_{y+1}^R$$

In Landau gauge $\mathbf{A} = -By\hat{x}$

$$E_y(k_x) = \frac{\hbar^2}{2m} \left(k_x - \frac{eBy}{\hbar c} \right)^2$$

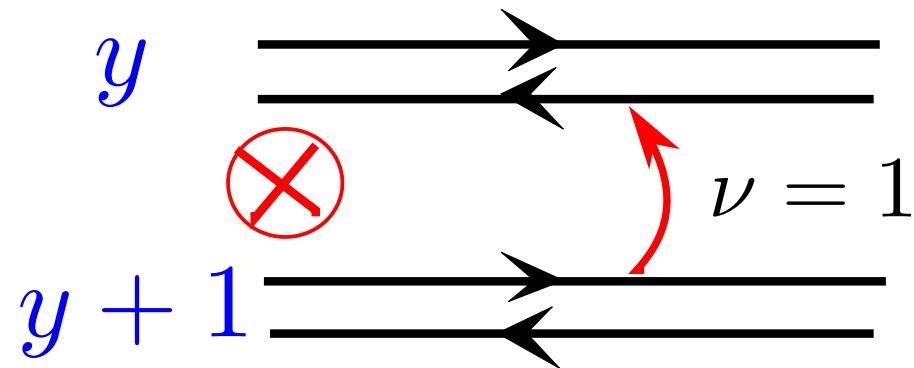


[Sondhi, Yang 2001] ; [Vishwanath, Carpentier 2001]

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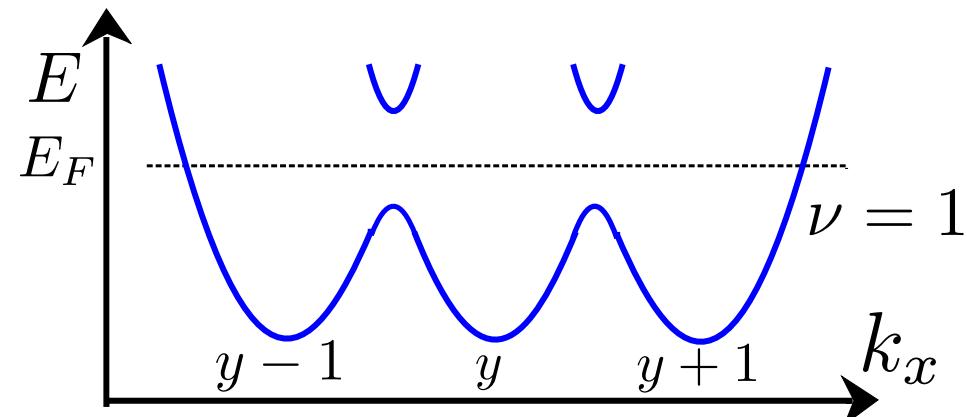


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[Sondhi, Yang 2001] ; [Vishwanath, Carpentier 2001]

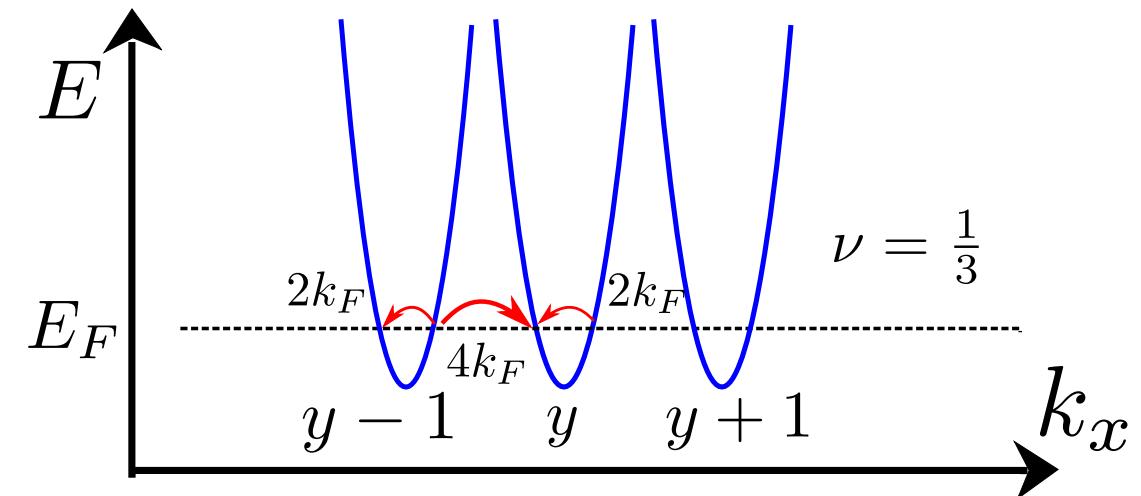
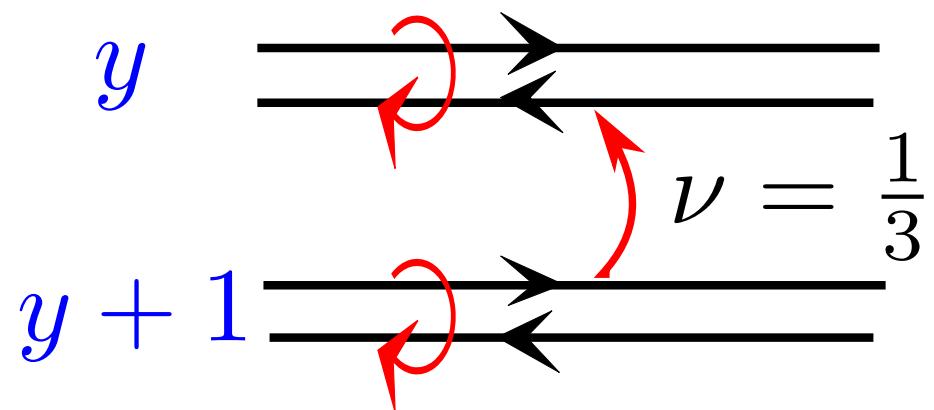
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Why coupled wire model ?

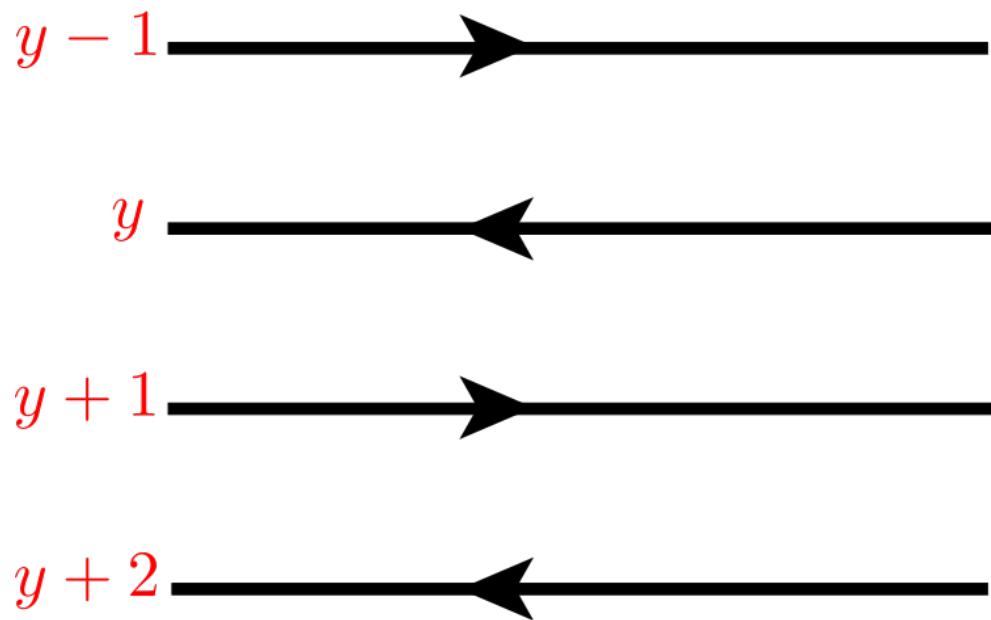
- How about fractional quantum hall effect? [Kane, Mukhopadyay, Lubensky 2002]



- We can also construct coupled wire model for the 2D surface of 3D topological states.
- Such model was proposed for 3D topological insulator

D. F. Mross, A. Essin, and J. Alicea, Phys. Rev. X 5, 011011 (2015)

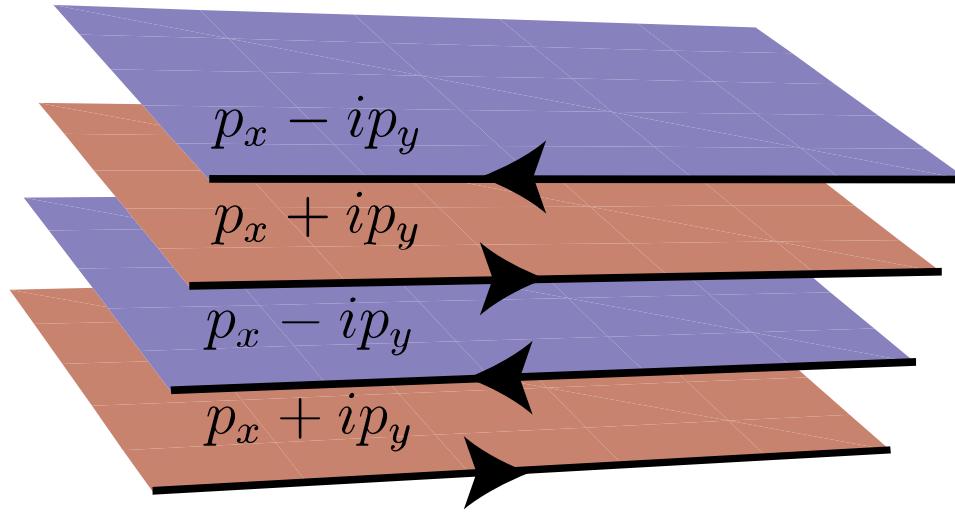
Coupled Wire Model



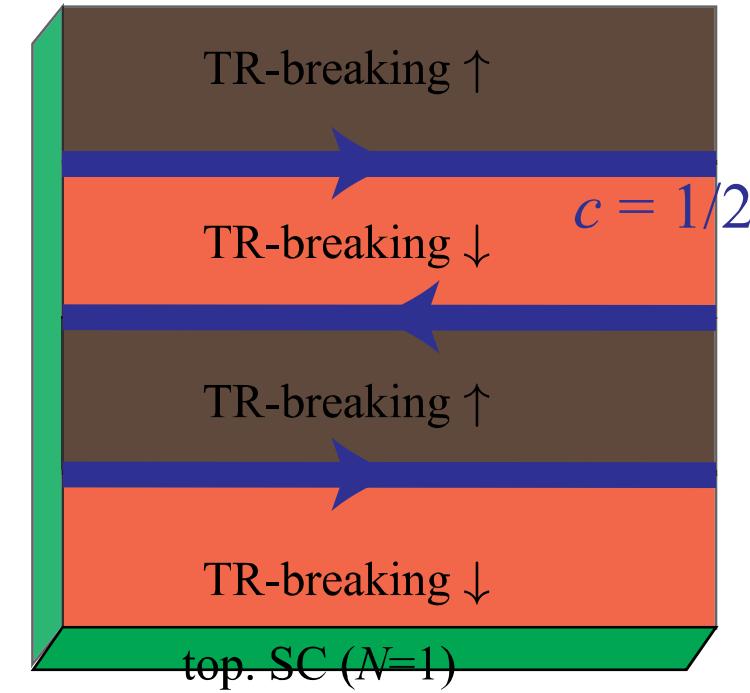
Majorana fermions

$$\psi_y = (\psi_y^1, \psi_y^2, \dots, \psi_y^N)$$

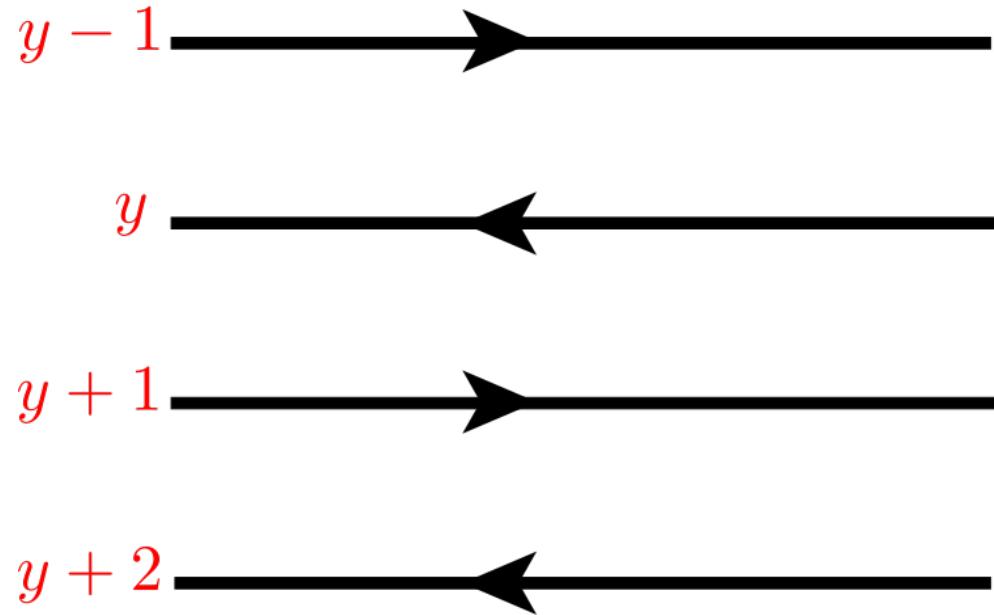
Coupled Wire Model (contd.)



Stack of alternating $p_x + ip_y$



Coupled Wire Model (contd.)

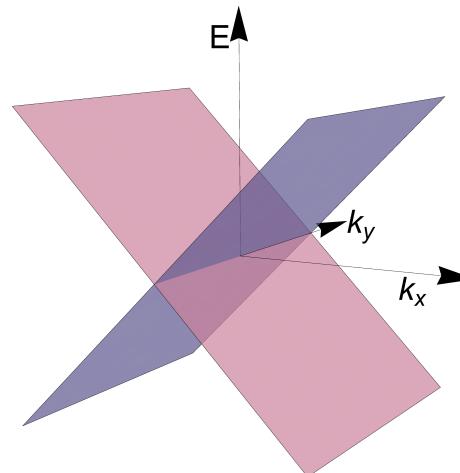


Majorana fermions

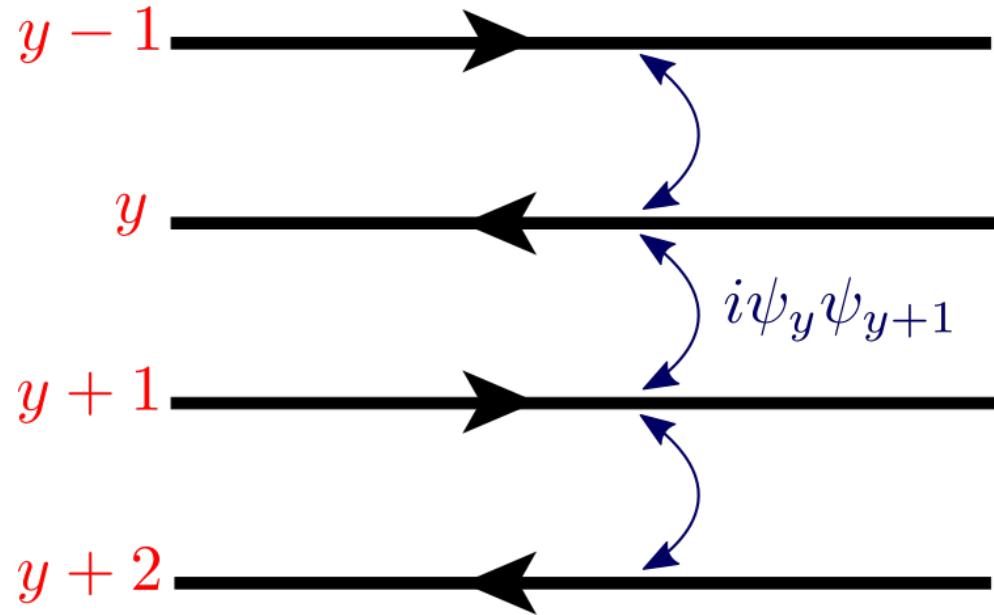
$$\psi_y = (\psi_y^1, \psi_y^2, \dots, \psi_y^N)$$

Kinetic energy for motion along the wires

$$\mathcal{H}_0 = \sum_{y=-\infty}^{\infty} i v_x (-1)^y \psi_y^T \partial_x \psi_y$$



Coupled Wire Model (contd.)



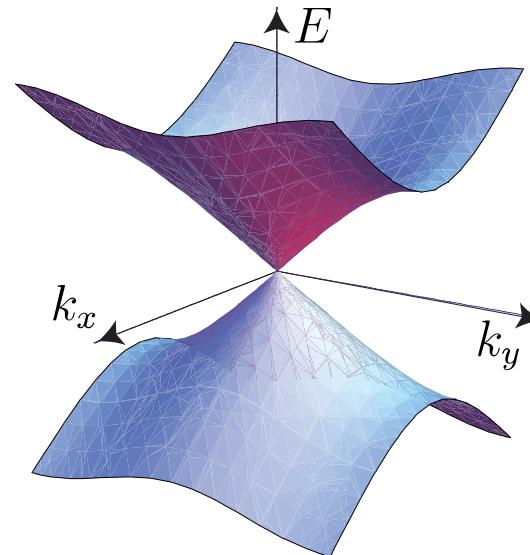
$$\mathcal{H}_0 = \sum_{\mathbf{k}} \boldsymbol{\xi}_{\mathbf{k}}^\dagger H_{\text{BdG}}^0(\mathbf{k}) \boldsymbol{\xi}_{\mathbf{k}}, \quad \boldsymbol{\xi}_{\mathbf{k}} = (c_{\mathbf{k}}^a, c_{-\mathbf{k}}^a)^\dagger$$

Nambu basis

Majorana fermions

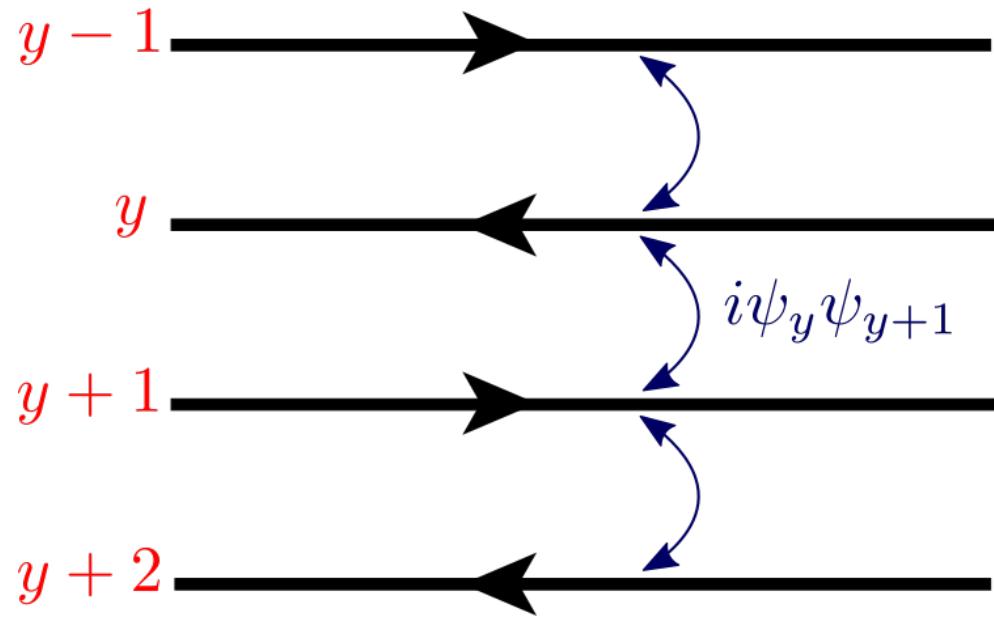
$$\boldsymbol{\psi}_y = (\psi_y^1, \psi_y^2, \dots, \psi_y^N)$$

$$\mathcal{H}_0 = \sum_{y=-\infty}^{\infty} iv_x(-1)^y \boldsymbol{\psi}_y^T \partial_x \boldsymbol{\psi}_y + iv_y \boldsymbol{\psi}_y^T \boldsymbol{\psi}_{y+1}$$



$$H_{\text{BdG}}^0(\mathbf{k}) = 2v_x k_x \tau_x + v_y [-\sin k_y \tau_y + (1 - \cos k_y) \tau_z]$$

Coupled Wire Model (contd.)



Majorana fermions

$$\psi_y = (\psi_y^1, \psi_y^2, \dots, \psi_y^N)$$

$$\mathcal{H}_0 = \sum_{y=-\infty}^{\infty} iv_x(-1)^y \psi_y^T \partial_x \psi_y + iv_y \psi_y^T \psi_{y+1}$$

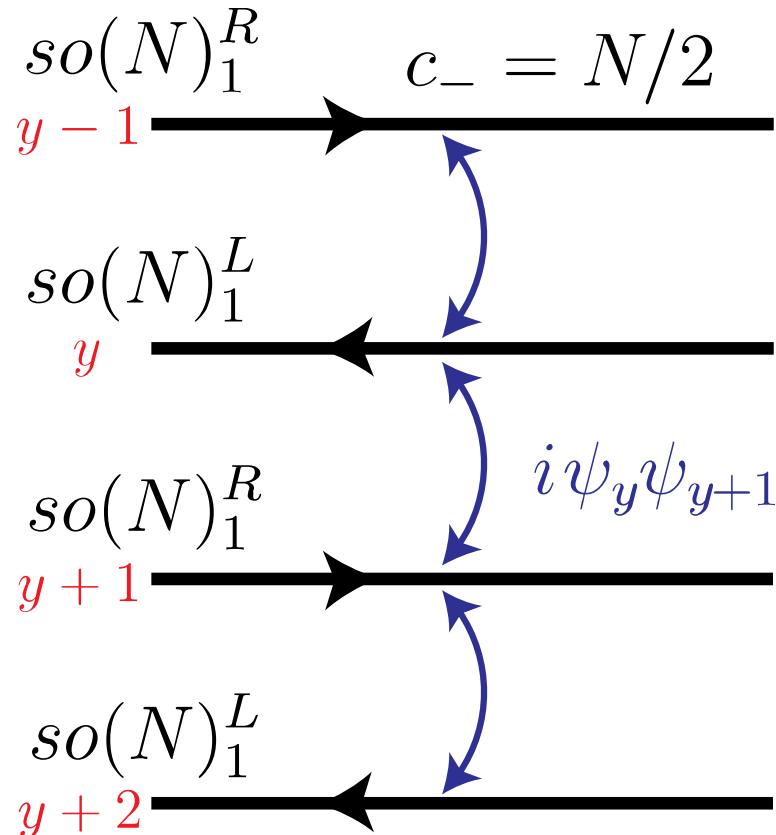
- Antiferromagnetic TRS,

$$T : \psi_y \rightarrow (-1)^y \psi_{y+1}$$

$\tau\eta_2$ translates by two lattice unit in γ direction (non-symomorphy symmetry)

New classification $\mathbb{Z}\wr 2$ instead of \mathbb{Z}

SO(N)₁ WZW CFT



- SO(N) symmetry

$$\psi_y^a \rightarrow O_a^b \psi_y^b, \quad \boldsymbol{\psi}_y = (\psi_y^1, \psi_y^2, \dots, \psi_y^N)$$

- Conserved current

$$J^\beta(z) = \frac{i}{2} \sum_{a,b} \psi_a(z) t_{ab}^\beta \psi_b(z)$$

- Energy momentum tensor operator product expansion (OPE) gives the central charge $c_- = N/2$.

$$c_- = N/2$$

$$I_T \approx c_- \frac{\pi^2 k_B^2}{6h} T^2$$

Gapping interaction

$$so(N)_1^R \xrightarrow[y-1]{} c_- = N/2$$

$$so(N)_1^L \xleftarrow[y]{} \quad$$

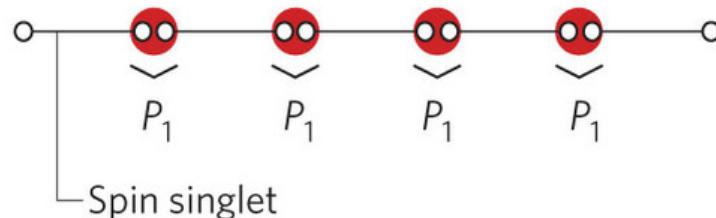
$$so(N)_1^R \xrightarrow[y+1]{} \quad$$

$$so(N)_1^L \xleftarrow[y+2]{} \quad$$

Fractionalization

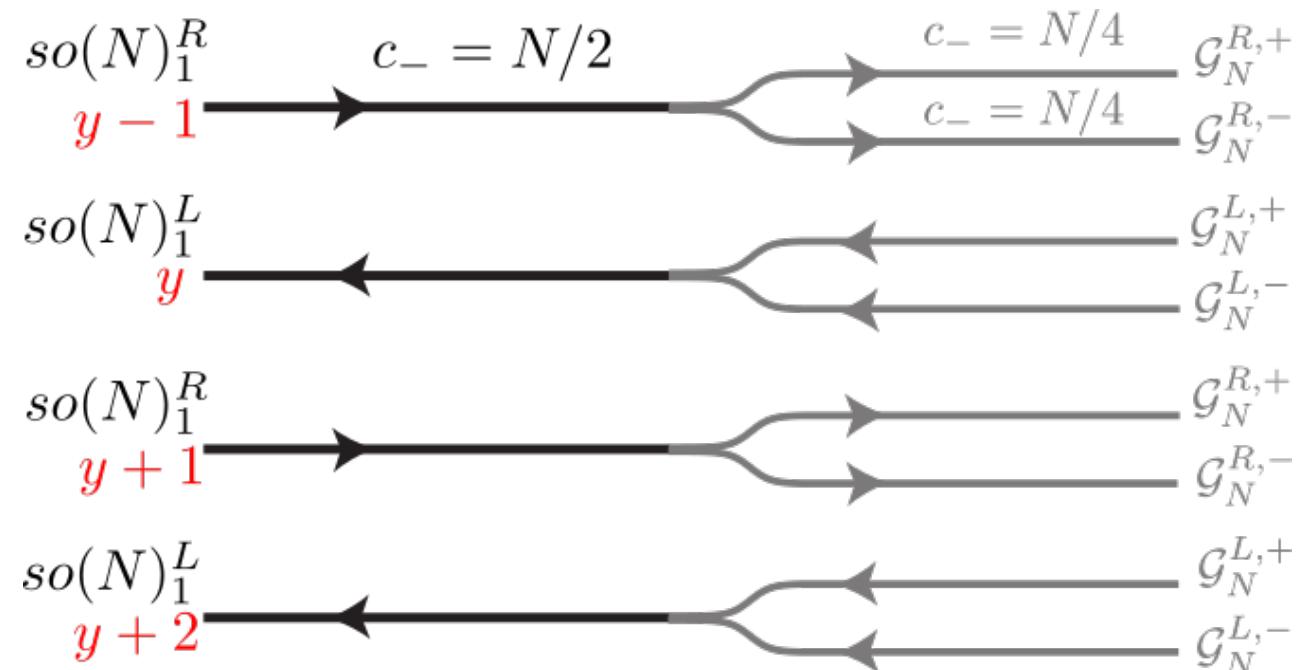
$$so(N)_1 \supseteq \mathcal{G}_N^+ \times \mathcal{G}_N^-$$

AKLT spin chain



Picture from doi:10.1038/nphys1829

Gapping interaction

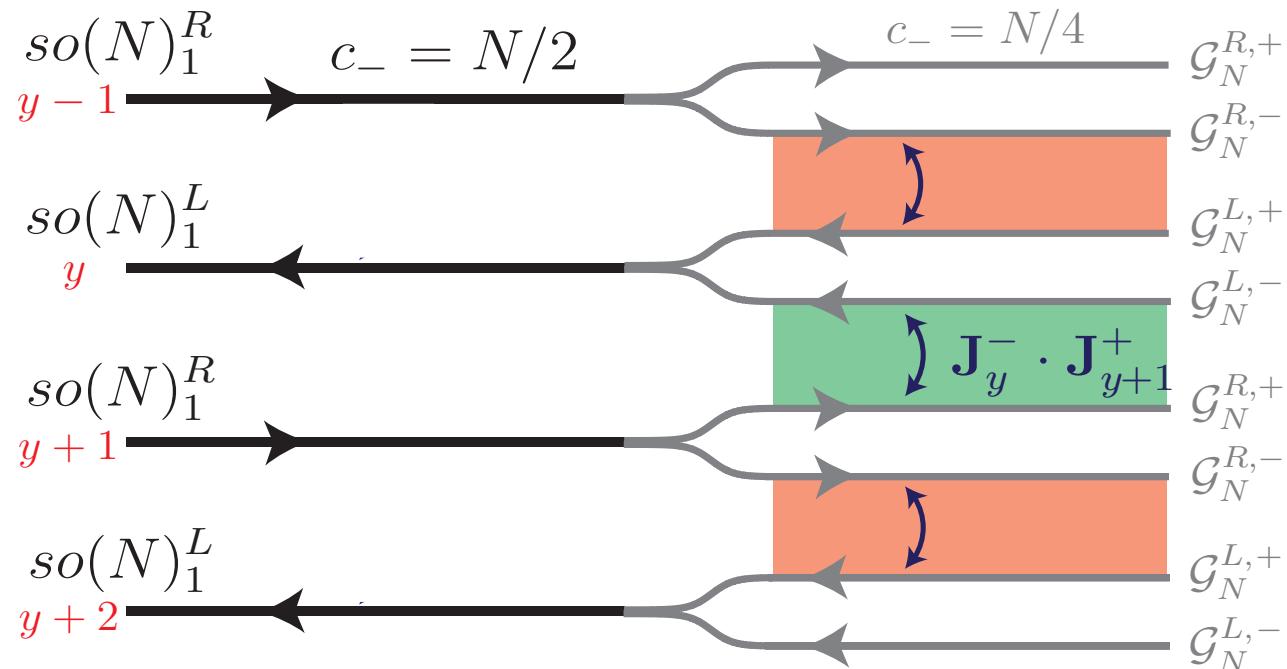


Fractionalization

$$so(N)_1 \supseteq \mathcal{G}_N^+ \times \mathcal{G}_N^-$$

$$\mathcal{G}_N = \begin{cases} so(r)_1, & \text{for EVEN } N = 2r \\ so(3)_3 \otimes so(r)_1, & \text{for ODD } N = 9 + 2r \end{cases}$$

Gapping interaction (Contd.)



Sahoo, Zhang, Teo
arXiv:1509.07133

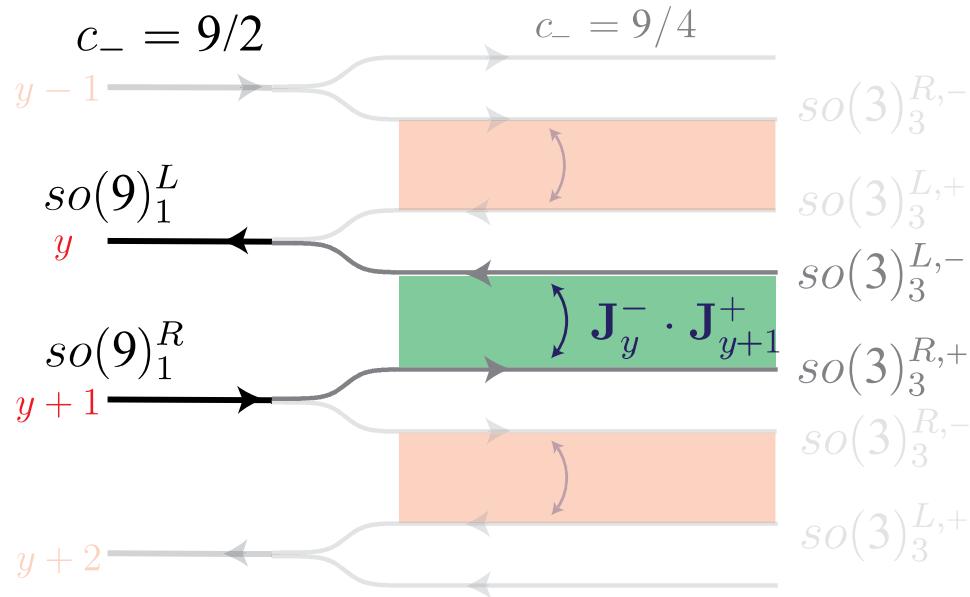
Fractionalization

$$so(N)_1 \supseteq \mathcal{G}_N^+ \times \mathcal{G}_N^-$$

4-Fermion gapping interaction

$$\mathcal{H}_{\text{int}} = u \sum_{y=-\infty}^{\infty} \mathbf{J}_{\mathcal{G}_N^-}^y \cdot \mathbf{J}_{\mathcal{G}_N^+}^{y+1}$$

Gapping Odd N Majorana's



Special case: $N = 9 = 3^2$

Conformal embedding (level rank duality):

$$so(9)_1 \supseteq so(3)_3 \times so(3)_3$$

Generator of $so(3)$: $\Sigma = (\Sigma_x, \Sigma_y, \Sigma_z)$

$$\Sigma_x = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & -1 & 0 \end{pmatrix}, \quad \Sigma_y = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ -1 & 0 & 0 \end{pmatrix}, \quad \Sigma_z = \begin{pmatrix} 0 & 1 & 0 \\ -1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

Embedding

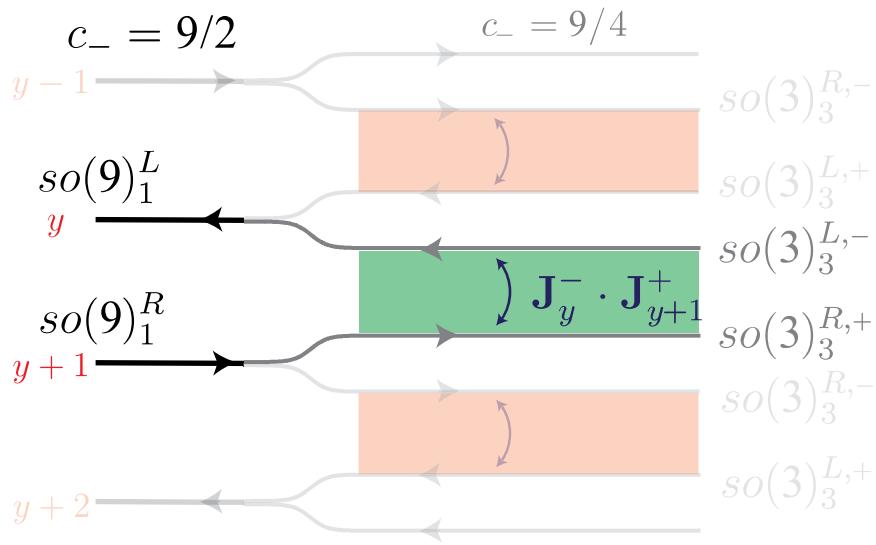
$$\Sigma^+ = \Sigma \otimes \mathbb{1}_3, \quad \Sigma^- = \mathbb{1}_3 \otimes \Sigma.$$

$$\mathbf{J}_{so(3)_3^\pm}(z) = \frac{i}{2} \psi^a(z) \Sigma_{ab}^\pm \psi^b(z)$$

$$\begin{aligned} J_x^+ &= i(\psi^{23} + \psi^{56} + \psi^{89}), & J_x^- &= i(\psi^{47} + \psi^{58} + \psi^{69}) \\ J_y^+ &= i(\psi^{13} + \psi^{46} + \psi^{79}), & J_y^- &= i(\psi^{17} + \psi^{28} + \psi^{39}) \\ J_z^+ &= i(\psi^{12} + \psi^{45} + \psi^{78}), & J_z^- &= i(\psi^{14} + \psi^{25} + \psi^{36}) \end{aligned}$$

for $\psi^{ab} = \psi^a \psi^b$

Gapping Odd N Majorana's



4-fermion TR-symmetric interaction between a pair of wires:

$$\mathcal{H}_{int} = u \mathbf{J}_{so(3)_3^-}^L \cdot \mathbf{J}_{so(3)_3^+}^R$$

Symmetric under antiferromagnetic time reversal,

$$\mathbf{J}_y^{L,+} \rightarrow \mathbf{J}_{y+1}^{R,+}$$

Marginally relevant

$$\frac{du}{dl} = +4\pi\alpha u^2$$

For $so(3) \downarrow 3 \uparrow L, J_{\pm}^{L,-} = i [(\psi^{4,L} \pm i\psi^{1,L})\psi^{7,L} + (\psi^{5,L} \pm i\psi^{2,L})\psi^{8,L} + (\psi^{6,L} \pm i\psi^{3,L})\psi^{9,L}]$

$$J_z^{L,-} = i [\psi^{1,L}\psi^{4,L} + \psi^{2,L}\psi^{5,L} + \psi^{3,L}\psi^{6,L}]$$

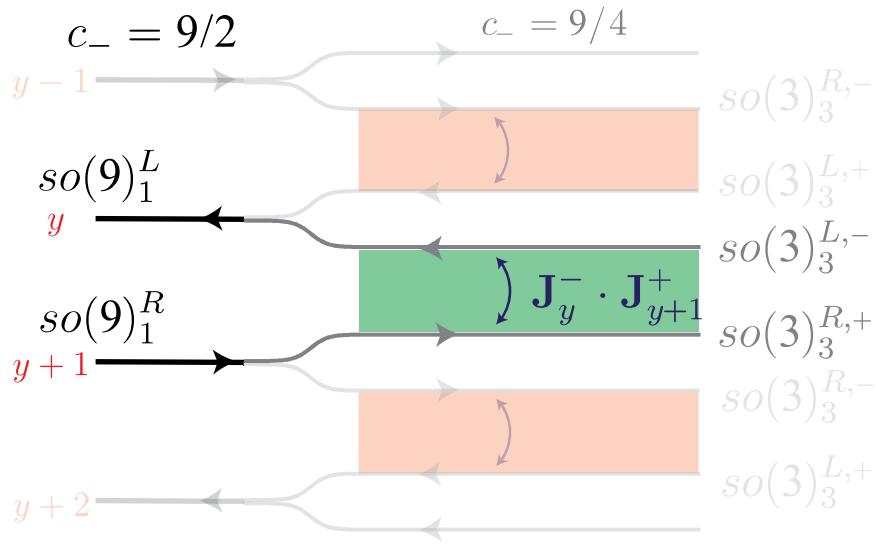
For $so(3) \downarrow 3 \uparrow R, J_{\pm}^{R,+} = i [(\psi^{2,R} \pm i\psi^{1,R})\psi^{3,R} + (\psi^{5,R} \pm i\psi^{4,R})\psi^{6,R} + (\psi^{8,R} \pm i\psi^{7,R})\psi^{9,R}]$

$$J_z^{R,+} = i [\psi^{1,R}\psi^{2,R} + \psi^{4,R}\psi^{5,R} + \psi^{7,R}\psi^{8,R}]$$

$$\mathcal{H}_{int} = J_z^{L,-} J_z^{R,+} + \frac{1}{2} (J_+^{L,-} J_-^{R,+} + J_-^{L,-} J_+^{R,+})$$

← Four fermion interaction

Gapping Odd N Majorana's



Interaction Hamiltonian is a sum of pairwise interactions.

These terms commute i.e $[\mathbf{J}_y^- \cdot \mathbf{J}_{y+1}^+, \mathbf{J}_{y+1}^- \cdot \mathbf{J}_{y-1}^+] = 0$

This Hamiltonian is exactly solvable.

$$\sqrt{2}c^{1,L} \sim e^{i\phi^{1,L}} \quad \sqrt{2}c^{2,L} \sim e^{i\phi^{2,L}} \quad \sqrt{2}c^{3,L} \sim e^{i\phi^{3,L}}$$

For $so(3) \downarrow 3 \uparrow L, J_{\pm}^{L,-} = i [(\psi^{4,L} \pm i\psi^{1,L})\psi^{7,L} + (\psi^{5,L} \pm i\psi^{2,L})\psi^{8,L} + (\psi^{6,L} \pm i\psi^{3,L})\psi^{9,L}]$

$$J_z^{L,-} = i [\psi^{1,L}\psi^{4,L} + \psi^{2,L}\psi^{5,L} + \psi^{3,L}\psi^{6,L}]$$

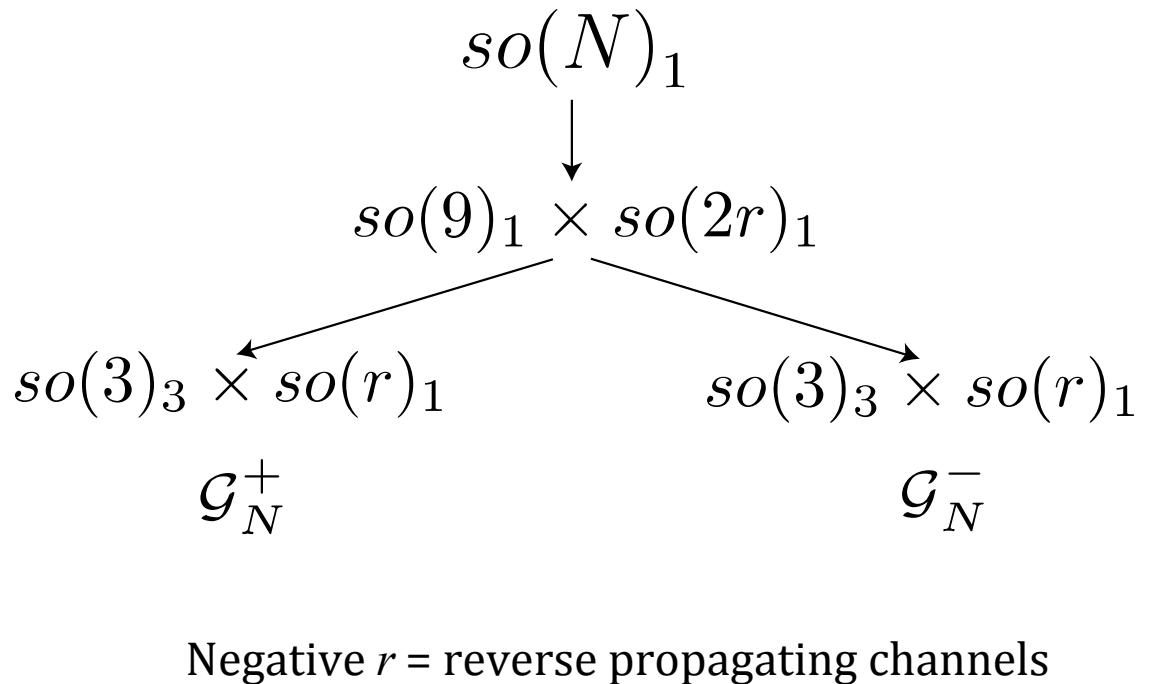
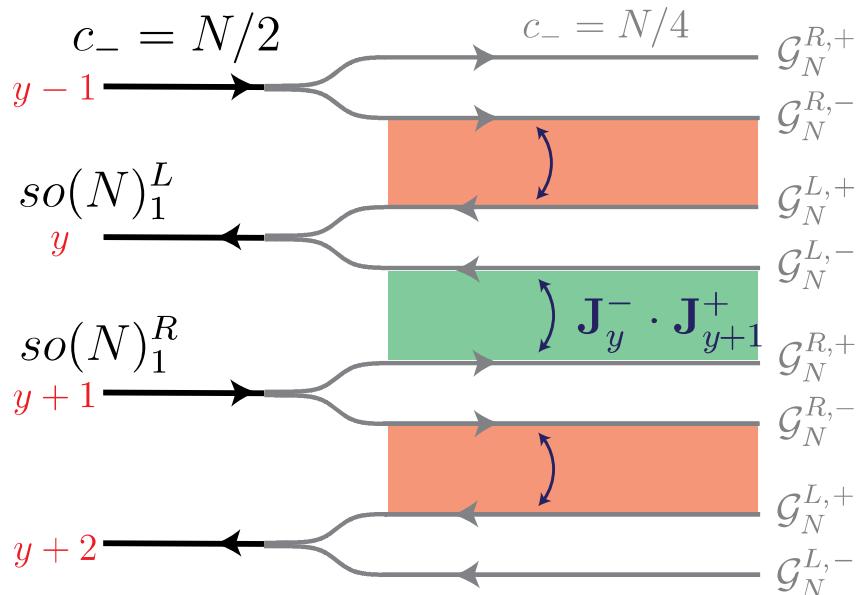
For $so(3) \downarrow 3 \uparrow R, J_{\pm}^{R,+} = i [(\psi^{2,R} \pm i\psi^{1,R})\psi^{3,R} + (\psi^{5,R} \pm i\psi^{4,R})\psi^{6,R} + (\psi^{8,R} \pm i\psi^{7,R})\psi^{9,R}]$

$$J_z^{R,+} = i [\psi^{1,R}\psi^{2,R} + \psi^{4,R}\psi^{5,R} + \psi^{7,R}\psi^{8,R}]$$

$$\mathcal{H}_{int} = J_z^{L,-} J_z^{R,+} + \frac{1}{2} (J_+^{L,-} J_-^{R,+} + J_-^{L,-} J_+^{R,+}) \quad \xleftarrow{\hspace{1cm}} \quad \text{Four fermion interaction}$$

Gapping Odd N Majorana's

$$N = 9 + 2r$$



$$\mathcal{H}_{\text{int}} = u \mathbf{J}_{\mathcal{G}_N^+} \cdot \mathbf{J}_{\mathcal{G}_N^-}$$

$$= u \mathbf{J}_{so(3)_3^+} \cdot \mathbf{J}_{so(3)_3^-} + u \mathbf{J}_{so(r)_1^+} \cdot \mathbf{J}_{so(r)_1^-}$$

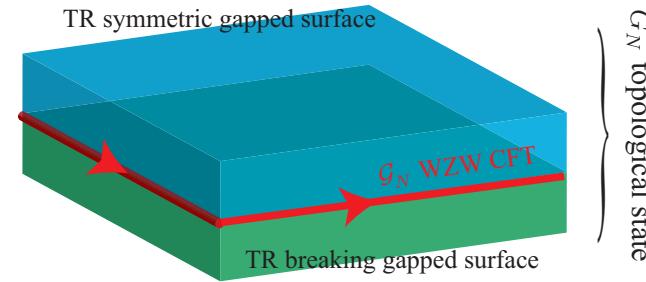
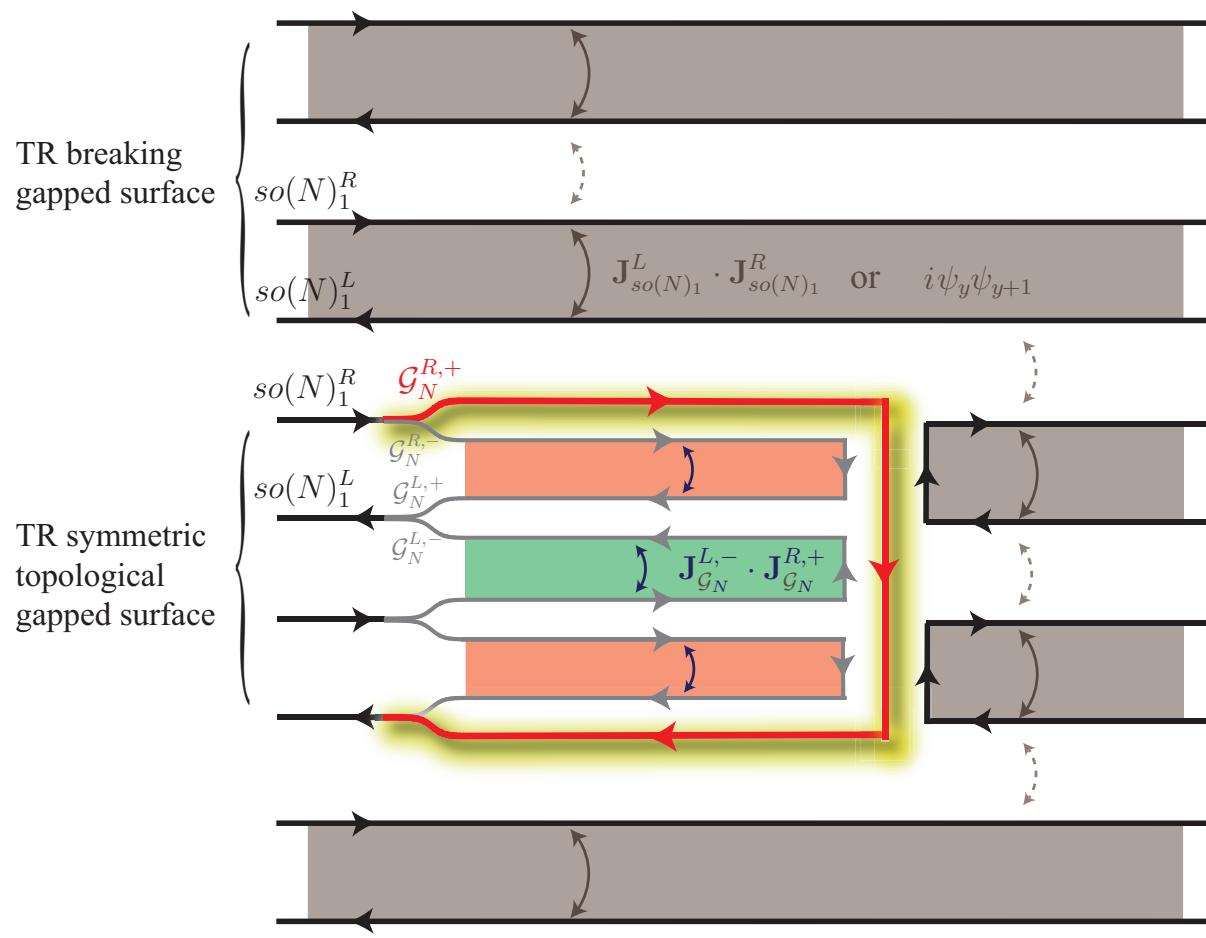
Bulk-Boundary correspondence

Bulk topological theory Boundary conformal field theory

- Quasi-particles
 - Fusion
 - Exchange statistics
 - Braiding
- Primary fields
 - Operator product expansion
 - Scaling dimension
 - Modular transformations

[Read, Moore 93']

Topological order in slab geometry



- Bulk is topological superconductor
- Upper surface is TR symmetric gapped
- Lower surface is TR breaking gapped
- Edge has gapless mode described by CFT

Summary of topological order

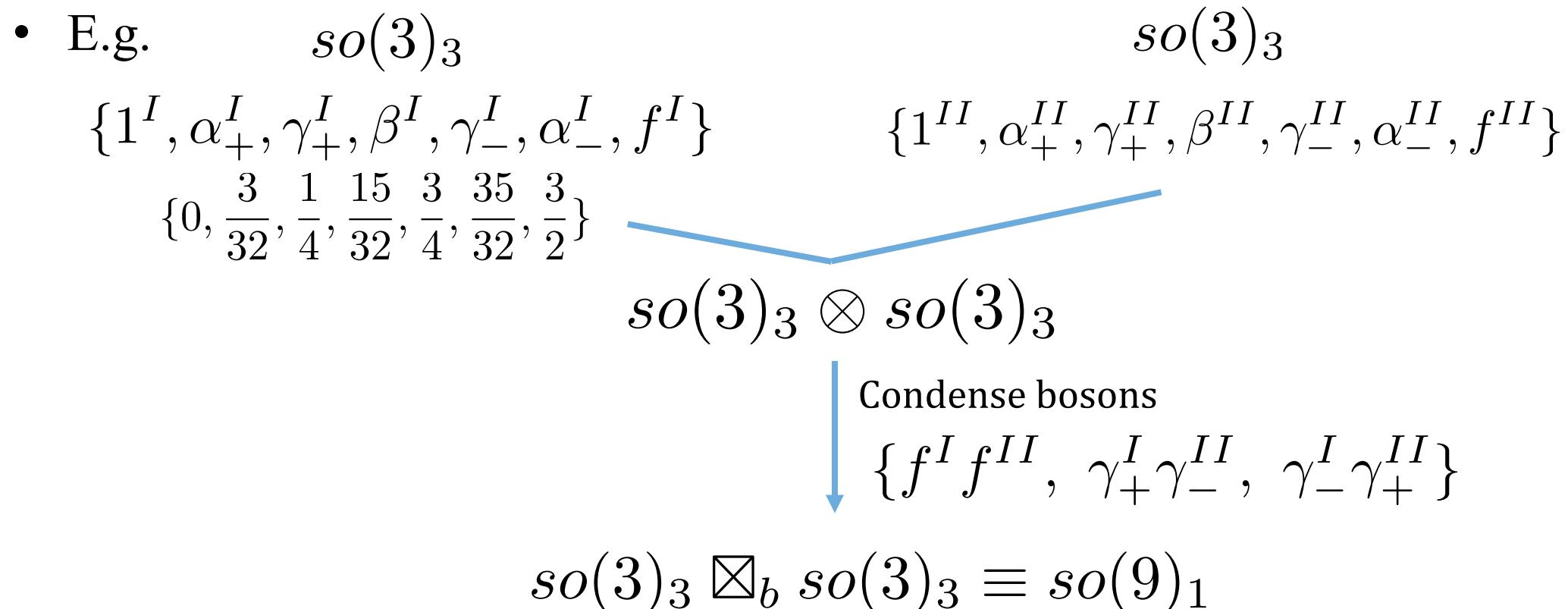
- $N=2r$ (even)

\mathbf{x}	r even				r odd		
	1	ψ	s_+	s_-	1	ψ	σ
$d_{\mathbf{x}}$	1	1	1	1	1	1	$\sqrt{2}$
$h_{\mathbf{x}}$	0	$1/2$	$r/16$	$r/16$	0	$1/2$	$r/16$

- $N = 9 + 2r$ (odd)

Relative Tensor Product Structure

- $G_M \boxtimes_b G_N \cong G_{M+N}$ $G_N = \begin{cases} SO(r)_1, & \text{for } N = 2r \\ SO(3)_3 \boxtimes_b SO(r)_1 & \text{for } N = 9 + 2r \end{cases}$



32-fold Structure

The 16-fold anyon structure is extended to a 32-fold periodicity of the surface topological order.

$$G_{N+32} \cong G_N$$

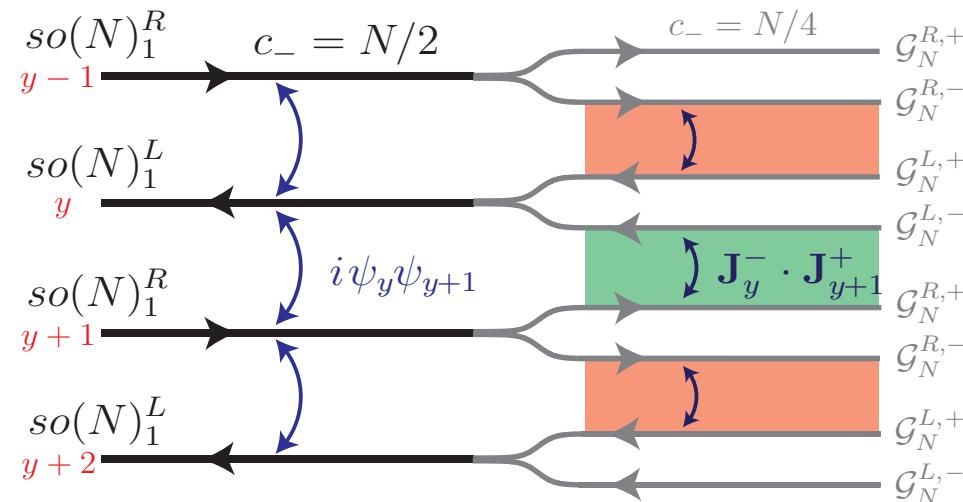
This means combining 32 copies of topologically ordered surface gives us a topologically trivial surface.

$$so(3)_3$$

$so(16) \wr 1 \subseteq E \wr 8$ is Topologically trivial

Conclusion

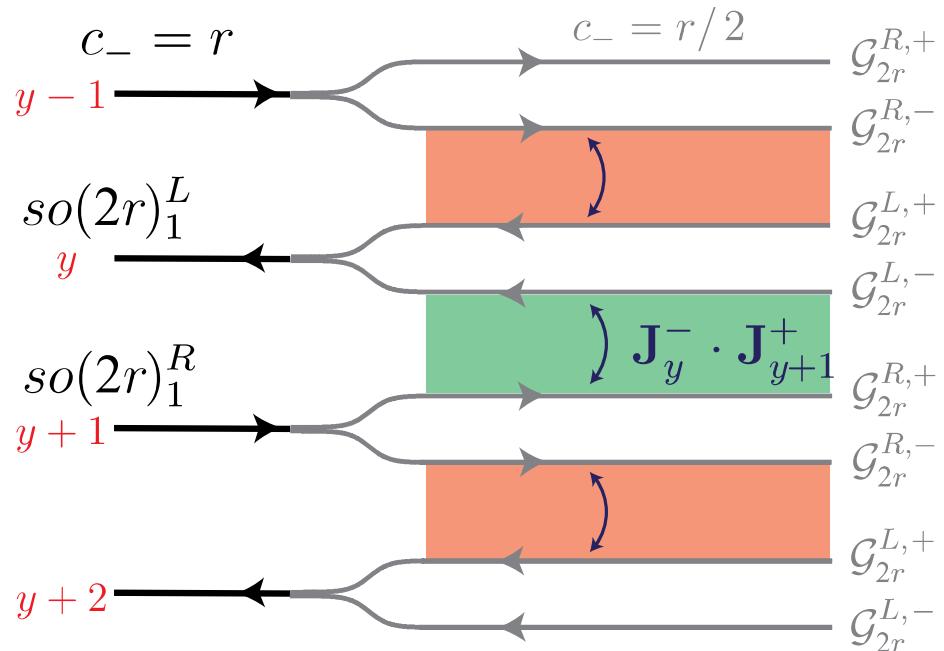
- We have a coupled wire description of the surface.
- Interaction term between fractionalized current can open a gap in the spectrum without breaking time reversal symmetry. This term is four fermion type.



- The resulting surface topological order has 32-fold periodicity.

Thank you!

Gapping even Majorana's



$$so(2r)_1 \supseteq \mathcal{G}_{2r}^+ \times \mathcal{G}_{2r}^- = so(r)_1^+ \times so(r)_1^-$$

$$\psi^1, \dots, \psi^r \quad \psi^{r+1}, \dots, \psi^{2r}$$

$$\mathcal{H}_{\text{int}} = u \sum_{y=-\infty}^{\infty} \sum_{1 \leq a < b \leq r} \psi_y^{r+a} \psi_y^{r+b} \psi_{y+1}^a \psi_{y+1}^b$$

- Symmetric under anti-ferromagnetic time reversal

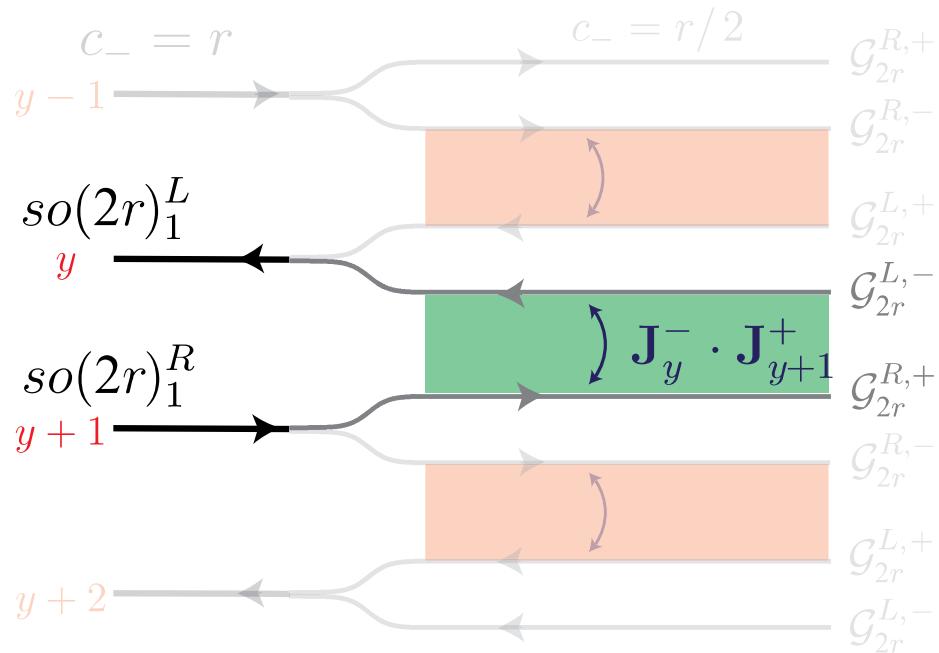
$$\mathcal{T} : \psi_y \rightarrow (-1)^y \psi_{y+1}$$

- Marginally relevant

$$\frac{du}{dl} = +4\pi(r-2)u^2$$

Gapping even Majorana's

$$N = 2r = 4n$$



$$\begin{aligned} \mathcal{H}_{GN} &\sim u \sum_{j=1}^n \partial_x \tilde{\phi}_R^j \partial_x \tilde{\phi}_L^j - u \sum_{j_1 \neq j_2} \sum_{\pm} \cos(2\Theta^{j_1} \pm 2\Theta^{j_2}) \\ &= u \sum_{j=1}^n \partial_x \tilde{\phi}_R^j \partial_x \tilde{\phi}_L^j - u \sum_{\alpha \in \Delta} \cos(\boldsymbol{\alpha} \cdot 2\boldsymbol{\Theta}) \end{aligned}$$

where $2\boldsymbol{\Theta} = (2\Theta^1, \dots, 2\Theta^n)$ and $2\Theta^j = \tilde{\phi}_R^j - \tilde{\phi}_L^j$ $\langle 2\Theta^j(x) \rangle = \pi m_\psi^j$, $m_\psi^j \in \mathbb{Z}$.

$O(r)$ Gross-Neveu (GN) model

$$\mathcal{H}_{GN} = -\frac{u}{2} (\boldsymbol{\psi}_R \cdot \boldsymbol{\psi}_L)^2$$

$$\psi_y^{r+a} = \psi_R^a \text{ and } \psi_{y+1}^a = \psi_L^a, \text{ for } a = 1, \dots, r.$$

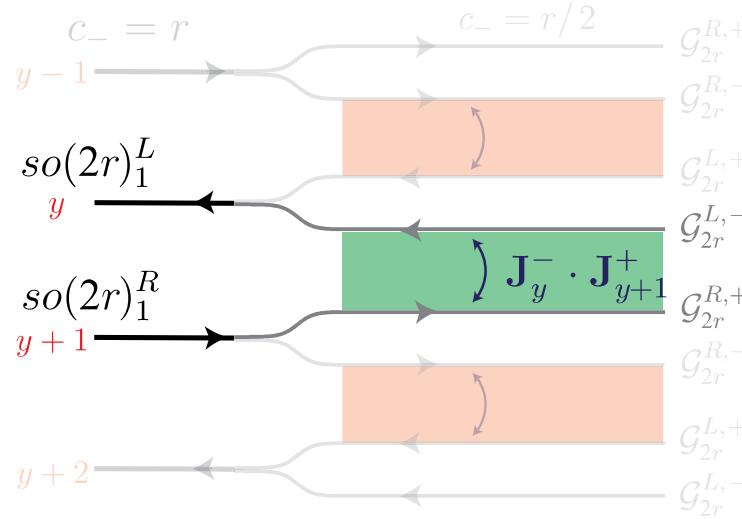
Bosonization

$$c_{R/L}^j = (\psi_{R/L}^{2j-1} + i\psi_{R/L}^{2j})/\sqrt{2} \sim e^{i\tilde{\phi}_{R/L}^j}$$

$$j = 1, \dots, n$$

Gapping even Majorana's

$$N = 2r = 4n + 2$$



$O(r)$ Gross-Neveu (GN) model

$$\mathcal{H}_{\text{GN}} = -\frac{u}{2} (\psi_R \cdot \psi_L)^2$$

$$\psi_y^{r+a} = \psi_R^a \text{ and } \psi_{y+1}^a = \psi_L^a, \text{ for } a = 1, \dots, r.$$

$$\mathcal{H}_{\text{GN}} \sim -u \sum_{\alpha \in \Delta_{so(2n)}} \cos(\alpha \cdot 2\Theta)$$

$$\langle 2\Theta^j(x) \rangle = \pi m_\psi^j, \quad m_\psi^j \in \mathbb{Z}.$$

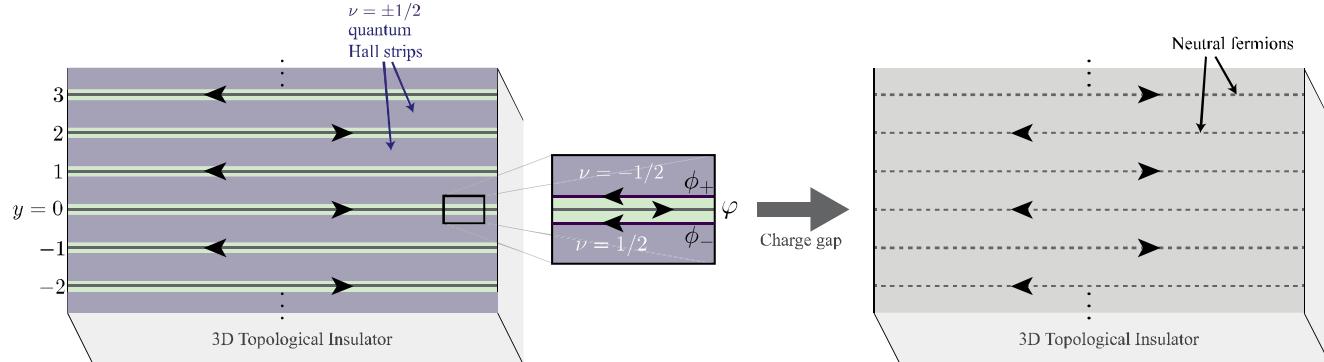
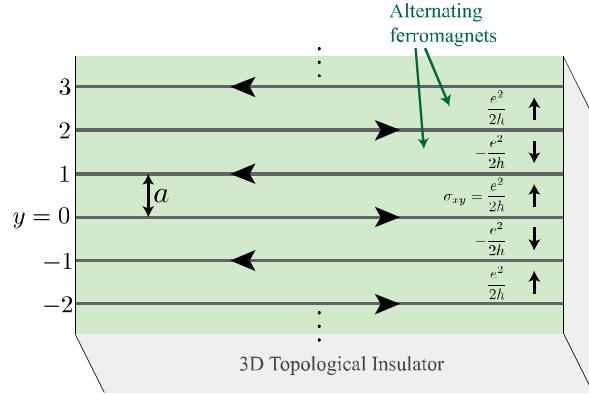
$$- u \left[\sum_{j=1}^n \cos(2\Theta^j) \right] i\psi_R^r \psi_L^r$$

$$\mathcal{H}_{\text{GN}} \sim -2n(n-1)u - nu(-1)^{m_\psi} i\psi_R^r \psi_L^r$$

where $2\Theta = (2\Theta^1, \dots, 2\Theta^n)$ and $2\Theta^j = \tilde{\phi}_R^j - \tilde{\phi}_L^j$

Coupled wire model of TI surface

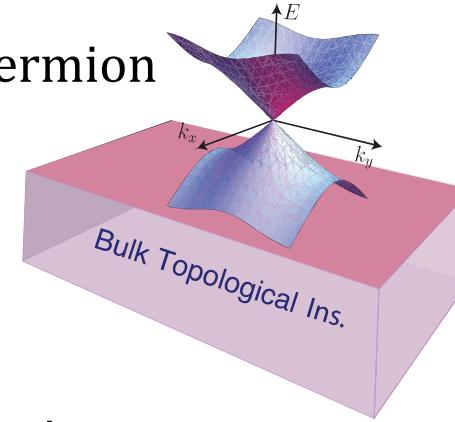
D. F. Mross, A. Essin, and J. Alicea, Phys. Rev. X 5, 011011 (2015)



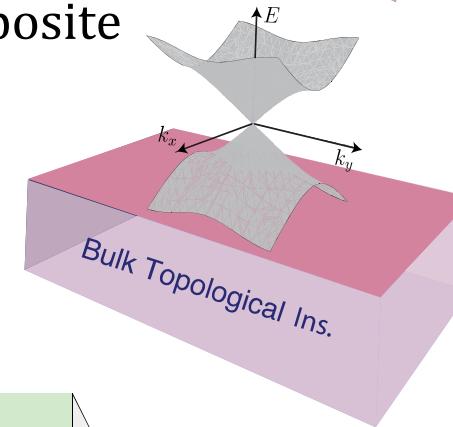
$$H = \sum_y (-1)^y \int_x [-i\hbar v_x \psi_y^\dagger \partial_x \psi_y - t(\psi_y^\dagger \psi_{y+1} + \text{H.c.})].$$

$$\tilde{\mathcal{T}} : \psi_y \rightarrow (-1)^y \psi_{y+1}.$$

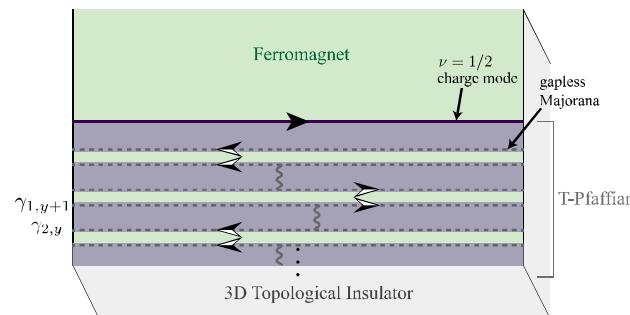
charged Dirac fermion



neutral composite
Dirac liquid



Gapped by pairing Majorana modes.
T-pfaffian has both charge and TR
symmetry



Future Plans

- T-Pfaffian state -> TR symmetric topological surface state of Topological Insulator

Filling fraction, $\nu=1/2$

$$\begin{array}{l} \text{T-Pfaffian} = \text{Ising (spin)} \times U(1) \wr 8 \text{ (charge)} \\ (I, \psi, \sigma) \qquad \qquad (e_0, e_1, e_2, e_3, e_4, e_5, e_6, e_7) \end{array}$$

- TR symmetric surface states for Fractional Topological Insulator ?

$$\text{Topological order} = \text{Ising (spin)} \times Z \wr 3 \text{ gauge} \times U(1) \wr 8 \text{ (charge)}$$

Filling fraction, $\nu=1/6$

Future Plans

Kramer's Theorem -> T^2 phase -1 is doublet and +1 is singlet.

ψ_4 has spin $1/2$ and phase -1 under T^2 -> electron

Anomalous 2D topological state

ψ_4 is a fermionic Kramer's singlet as it has spin $1/2$ and T^2 phase -1 .

ψ_0 is a bosonic Kramer's doublet as it has spin 0 and T^2 phase +1 .

How T^2 transforms anyons in other gapped TR symmetric surface ?